443.1.4.1

443 Prices and Eligibility

Overview

- 1.0 Prices and Fees for Standard Mail
- 2.0 Content Standards for Standard Mail Parcels
- 3.0 Basic Standards for Standard Mail Parcels
- 4.0 Price Eligibility for Standard Mail
- 5.0 Additional Eligibility Standards for Presorted Standard Mail Pieces
- 6.0 Additional Eligibility Standards for Enhanced Carrier Route Standard Mail Parcels

1.0 Prices and Fees for Standard Mail

1.1 Standard Mail Price Application

Standard Mail prices are based on the weight of the pieces as follows:

- a. The appropriate minimum per piece price applies to any Standard Mail piece that weighs 3.3 ounces (0.2063 pound) or less.
- A price determined by adding the per piece charge and the corresponding per pound charge applies to any Standard Mail piece that weighs more than 3.3 ounces.

1.2 Regular and Nonprofit Standard Mail—Presorted and Enhanced Carrier Route Prices

For prices, see Notice 123-Price List.

1.3 Regular and Nonprofit Standard Mail—Not Flat-Machinable Prices For prices, see Notice 123–Price List.

1.4 Presort MailingFee

1.4.1 Annual Mailing Fee

Mailing fee required, per 12-month period. See Notice 123—Price List.



443.1.4.2

1.4.2 Weighted Fee

For return of pieces bearing the ancillary service markings "Address Service Requested" and "Forwarding Service Requested." Weighted fee equals single-piece First-Class Mail or Priority Mail price multiplied by 2.472.

1.5 Computing Postage for Standard Mail

1.5.1 Weight

To compute the total weight of the addressed pieces in a mailing or mailing segment for:

- a. Identical-weight pieces, multiply the computed average weight of a single piece by the corresponding number of pieces; do not round the product.
- b. Nonidentical-weight pieces, add the actual weight of the individual pieces or weigh the entire mailing in bulk; do not round either result.

1.5.2 Determining Single-Piece Weight

To determine single-piece weight in a mailing of identical-weight pieces, weigh a sample group of at least 10 randomly selected pieces and divide the total sample weight by the number of pieces in the sample. To determine single-piece weight in a mailing of nonidentical-weight pieces, weigh each piece individually. Express all single-piece weights in decimal pounds, rounded off to four decimal places.

1.5.3 Net Postage

Postage is computed at the applicable prices on the entire mailing to be mailed at one time. The net postage price is either the applicable minimum per piece price or the piece/pound price, as adjusted for any discounts and surcharges.

1.5.4 Per Piece and Per Pound Charges

The per piece charge is computed based on the total number of addressed pieces for each price category claimed. The minimum price may apply to each piece as detailed in 1.1, *Standard Mail Price Application*. Otherwise, the per piece charge must be added to the per pound charge to determine total postage. Where applicable, the per pound charge is computed based on the total weight of the addressed pieces for each price category claimed, and is added to the per piece charge to determine total postage. For example, a quantity of pieces weighing 100.25 pounds is charged 100.25 times the applicable price per pound, based on the price claimed, plus one unit of the applicable per piece charge for each addressed piece.

1.5.5 Computing Affixed Postage

To compute postage to be affixed to each piece, multiply the weight of the piece (in pounds) by the applicable price per pound; add the applicable per piece charge and any surcharge; and round the sum up to the next tenth of a cent. The applicable minimum per piece charge must be affixed if it is more than the total computed per piece postage.

1.5.6 Permit Imprint

In any permit imprint mailing:

443.2.5.1

- a. For each price or price category, multiply the number of addressed pieces by the corresponding price per piece and (if applicable) multiply the *unrounded* total weight of the pieces by the corresponding price per pound; round off each product to four decimal places.
- b. Add the per piece and per pound charges and round off the total postage to the nearest whole cent.

2.0 Content Standards for Standard Mail Parcels

2.1 Definition and Weight

Standard Mail consists of mailable matter that is neither mailed or required to be mailed as First-Class Mail nor entered as Periodicals (unless permitted or required by standard) and that weighs less than 16 ounces.

2.2 Personal Information

Personal information may not be included in a Standard Mail mailpiece unless all of the following conditions are met:

- a. The mailpiece contains explicit advertising for a product or service for sale or lease or an explicit solicitation for a donation.
- b. All of the personal information is directly related to the advertising or solicitation.
- c. The exclusive reason for inclusion of all of the personal information is to support the advertising or solicitation in the mailpiece.

2.3 Bills and Statements of Account

Mail containing bills or statements of account as defined in 433.2.2 may not be entered as Standard Mail except under the conditions described in 2.5.2.

2.4 Handwritten and Typewritten Matter

Mail containing handwritten or typewritten matter may not be entered as Standard Mail except under the conditions described in 2.6.

2.5 Attachments and Enclosures

2.5.1 Invoice

An invoice, whether it also serves as a bill, may be placed either inside a Standard Mail piece or in an envelope marked "Invoice Enclosed" and attached to the outside of the piece if the invoice relates solely to the matter with which it is mailed. The invoice may show this information:

- a. Names and addresses of the sender and addressee.
- b. Names and quantities of the articles enclosed, descriptions of each (e.g., price, tax, style, stock number, size, and quality, and, if defective, nature of defects).
- c. Order or file number, date of order, date and manner of shipment, shipping weight, postage paid, and initials or name of packer or checker.



443.2.5.2

2.5.2 Incidental First-Class Mail Attachments and Enclosures

Incidental First-Class Mail matter may be enclosed in or attached to Standard Mail merchandise (including books but excluding merchandise samples) without payment of First-Class Mail postage. Incidental First-Class Mail matter may not be enclosed in or attached to matter mailed as Customized MarketMail under 705.1.0. An incidental First-Class Mail attachment or enclosure must be matter that, if mailed separately, would require First-Class Mail postage, is closely associated with but secondary to the host piece, and is prepared not to encumber postal processing. An incidental First-Class Mail attachment or enclosure may be a bill for the product or publication, a statement of account for past products or publications, or a personal message or greeting included with a product, publication, or parcel. Postage at the Standard Mail or Package Services price for the host piece is based on the combined weight of the host piece and the incidental First-Class Mail attachment or enclosure.

2.5.3 Nonincidental First-Class Mail Enclosures

Letters or other pieces of nonincidental First-Class Mail, subject to postage at First-Class Mail prices, may be enclosed with Standard Mail pieces (except matter mailed as Customized MarketMail under 705.1.0). Postage for the First-Class Mail enclosure must be placed on the outside of the piece. It may be affixed separately or added to the postage for the host piece. The endorsement "First-Class Mail Enclosed" must be placed on the piece, below the postage and above the address.

2.5.4 Nonincidental First-Class Mail Attachments

Letters or other pieces of nonincidental First-Class Mail may be placed in an envelope and securely attached to the address side of a Standard Mail piece (except matter mailed as Customized MarketMail under 705.1.0), or of the principal piece, as applicable. Combination envelopes or containers with separate parts for the two classes of mail may be used. The names and addresses of the sender and addressee must be placed on both the principal piece and the attachment. Alternatively, the sender's name and address must be placed on one part and the addressee's name and address on the other. If the piece is a combination container with inseparable parts or compartments, the names and addresses may appear on only one part. The applicable Standard Mail postage for the Standard Mail matter must be prepaid and placed in the upper right corner of the address space. Postage at the applicable First-Class Mail price must be paid for and affixed to the First-Class Mail attachment, unless other payment methods are permitted by standard.

2.5.5 Attachment of Other Standard Mail Matter

Standard Mail pieces may bear an attachment that is eligible as Standard Mail matter if these additional conditions are met:

- a. The piece bearing the attachment is claimed as Customized MarketMail (CMM) under 705.1.0 or as Enhanced Carrier Route Standard Mail under 6.0.
- b. The face of the attachment may bear only the price markings and endorsements permitted for the price claimed for the host piece.
- c. At the time of mailing, the piece shows only one complete delivery address. If the attachment is a reply card, the address for returning the piece is not visible.

443.3.1.1

- d. Enhanced Carrier Route host pieces are larger than 6 by 11 inches. CMM host pieces are any size permitted under 705.1.1.3, *Physical Standards*.
- e. The attachment is not larger than the host piece and does not extend beyond the host piece. An attachment affixed to a CMM piece may not be greater than 1/4 inch thick at its thickest point.
- f. Each piece in the mailing bears the attachment, and the attachment is of identical size, weight, and positioning on the host piece. Different wording or designs may be used.
- g. The attachment does not interfere with processing or delivery. Folded or multipage attachments must be secured to prevent opening during handling.

2.6 Written Additions

Markings that have the character of personal correspondence require, with certain exceptions, additional postage at the First-Class Mail prices. The following written additions and enclosures do not require additional First-Class Mail postage:

- a. The sender's and the addressee's names, occupations, and addresses, preceded by "From" or "To," and directions for handling.
- b. Marks, numbers, names, or letters describing the contents.
- c. Words or phrases such as "Do Not Open Until Christmas" and "Happy Birthday, Mother."
- d. Instructions and directions for the use of the item mailed.
- e. A manuscript dedication or inscription not having the nature of personal correspondence.
- f. Marks to call attention to words or passages in the text.
- g. Corrections of typographical errors in printed matter.
- h. Manuscripts accompanying related proof sheets and corrections of proof sheets including corrections of typographical and other errors, changes in the text, insertions of new text, marginal instructions to the printer, and corrective rewrites of parts.
- i. Handstamped imprints, unless the added material is in itself personal or converts the original matter to a personal communication.
- j. Matter mailable separately as Standard Mail printed on the wrapper, envelope, tag, or label.

3.0 Basic Standards for Standard Mail Parcels

3.1 Description of Service

3.1.1 Service Objectives

Standard Mail may receive deferred handling. Service objectives for delivery are 2 to 9 days; however, delivery time is not guaranteed.



443.3.1.2

3.1.2 Minimum Quantity

Standard Mail provides economical prices for mailings of 200 or more pieces or 50 or more pounds of mail.

3.2 Defining Characteristics

3.2.1 Mailpiece Weight Limit

All Standard Mail pieces must weigh less than 16 ounces.

3.2.2 Preparation Requirements

Standard Mail is subject to specific volume, marking, and preparation requirements.

3.2.3 Inspection of Contents

Standard Mail is not sealed against postal inspection.

3.2.4 Forwarding Service

The price of Standard Mail typically does not include forwarding service. Forwarding service is available under 507.1.5.

3.2.5 Return Service

The price of Standard Mail does not include return service. Return service is available under 507.1.5 for an additional fee.

3.2.6 Extra Services

Extra services available with Standard Mail are insured mail service (bulk insurance only), certificate of mailing service (bulk certificate of mailing only), return receipt for merchandise service, and Delivery Confirmation service (parcels only). See information regarding extra services in 503.

3.2.7 Periodicals

Authorized Periodicals may not be entered as Standard Mail unless permitted by standard.

3.2.8 Identical Pieces

The contents of printed matter in a Standard Mail mailing must be identical to a piece sent to at least one other addressee. Standard Mail may include the addressee's name and address but may not transmit personal information except as permitted under 2.2.

3.3 Additional Basic Standards for Standard Mail

Each Standard Mail mailing is subject to these general standards:

- a. All pieces in a mailing must be of the same processing category, except that irregular and machinable parcels may be combined in 5-digit scheme and 5-digit sacks or on 5-digit scheme and 5-digit pallets.
- Each mailing must contain at least 200 pieces or 50 pounds of pieces. See 3.6, Residual Volume Requirement, for volume requirement eligibility unique to Presorted Standard price mailings. Other volume standards also can apply, based on the price claimed.
- c. All pieces in a mailing must be sorted together and marked under the standards for the price claimed.

443.3.6

- d. Each piece must bear the addressee's name and delivery address, including the correct ZIP Code or ZIP+4 code, unless an alternative addressing format is used subject to 602.3.0. Detached address labels may be used subject to 602.4.0.
- e. Postage must be paid under 444.1.0 through 444.3.0 in *Postage Payment and Documentation* with precanceled stamps, meter stamps, or permit imprint.
- f. A postage statement, completed and signed by the mailer, using the correct USPS form or an approved facsimile, must be submitted with each mailing. In addition, mailings must be documented under 444.3.0.
- g. Any postal routing code barcode on a machinable parcel must be correct for the delivery address and must meet the standards in 708.5.0, *Standards for Postal Routing Barcodes*.
- h. Mailings must be deposited at a business mail entry unit of the Post Office where the postage permit or license is held and the annual mailing fee paid, unless deposit elsewhere is permitted by standard.

3.4 Presort Mailing Fees

An annual mailing fee must be paid once each 12-month period at each Post Office of mailing. For mail manifested using the Electronic Verification System (eVS) under 705.2.9, only one annual mailing fee, paid at the Post Office of account where the permit imprint account is held, is required regardless of the number of Post Offices of mailing. A mailer paying this fee may enter clients' mail as well as the mailer's own. The mailer whose permit imprint appears on pieces in a mailing paid with a permit imprint must show that permit number on the postage statement and must pay the annual mailing fee for that permit; this fee is in addition to the one-time fee for an application to use permit imprints. The fee may be paid in advance only for the next 12 months and only during the last 60 days of the current service period. The fee charged is that which is in effect on the date of payment.

3.5 Merging Similar Standard Mailings

Mailings are subject to the general definitions and conditions in 445.1.0, *General Information for Mail Preparation*. Generally, mailers may merge similar Standard Mail matter into a single mailing. Differences in text, address labels, and address lists or list key numbers do not prohibit the mailer from merging and sorting pieces together. Pieces with different methods of postage payment may be combined in the same mailing only if authorized by Business Mailer Support (BMS). Pieces of nonidentical weight, if merged in the same mailing, must bear the correct postage when mailed, unless otherwise authorized by BMS.

3.6 Residual Volume Requirement

Pieces in an Enhanced Carrier Route price mailing that has separately met a 200-piece or 50-pound minimum quantity requirement may be counted toward the minimum quantity requirement for a Presorted price mailing, provided that the Enhanced Carrier Route price mailing and the Presorted price mailing are part of the same mailing job. Likewise, pieces in an automation price mailing that has separately met a 200-piece or 50-pound minimum quantity requirement may be counted toward the minimum quantity requirement for a Presorted price mailing, provided that the automation price mailing and the Presorted mailing are part of the



443.3.7

same mailing job. Pieces mailed at Presorted Standard Mail prices must not be counted toward the minimum volume requirements for an Enhanced Carrier Route price or an automation price mailing.

3.7 Residual Mail Subject to First-Class Mail or Priority Mail Prices

Pieces prepared as Standard Mail (i.e., that bear Standard Mail price markings, ACS codes, etc.) that do not qualify for Enhanced Carrier Route, automation, or Presorted Standard Mail prices are subject to the single-piece First-Class Mail or Priority Mail prices as applicable for the weight of the mailpiece. Metered pieces weighing over 13 ounces but less than 16 ounces that do not qualify for Standard Mail prices and any pieces that do not qualify for Standard Mail prices for which First-Class Mail or Priority Mail service is desired must be re-enveloped or otherwise prepared so that they do not bear Standard Mail markings, endorsements, and ACS codes and must bear the proper First-Class Mail or Priority Mail price markings and ACS codes. Mailers who have pieces (other than metered pieces weighing over 13 ounces but less than 16 ounces) that do not qualify for Standard Mail prices but that are prepared as Standard Mail and who do not desire to receive First-Class Mail or Priority Mail service for those pieces may enter their mailpieces "as is" (i.e., bearing the Standard Mail markings and endorsements), provided the requirements in 444.1.0, Basic Standards for Postage Payment, are met.

3.8 ZIP Code Accuracy

3.8.1 Basic Standards

The ZIP Code accuracy standard is a means of ensuring that the 5-digit ZIP Code in the delivery address correctly matches the delivery address information. For the purposes of this standard, *address* means a specific address associated with a specific 5-digit ZIP Code. Addresses used on pieces claiming certain prices under 3.8.1d, *Basic Standards* that are subject to the ZIP Code accuracy standard must meet these requirements:

- Each address and associated 5-digit ZIP Code used on the mailpieces in a
 mailing must be verified and corrected within 12 months before the mailing date
 with one of the USPS-approved methods in 3.8.2.
- b. Each individual address in the mailing is subject to the ZIP Code accuracy standard.
- c. If an address used on a mailpiece in a mailing at one class of mail and price is verified and corrected with an approved method, the same address may be used during the following 12 months to meet the ZIP Code accuracy standard required for mailing at any other class of mail and price.
- Except for mail bearing a simplified address, addresses used on pieces
 claiming the Standard Mail prices must meet the ZIP Code accuracy standard,
 regardless of any required surcharges.

443.3.9.2

3.8.2 USPS-Approved Methods

The following methods are authorized for meeting the ZIP Code accuracy standard:

- For computerized lists, Coding Accuracy Support System (CASS)-certified address matching software and current USPS City State Product, within a mailer's computer systems or through an authorized service provider.
- b. For manually maintained lists or small computerized lists, options include the following:
 - 1. Surveys of addressees on mailer's address list inquiring about the accuracy of ZIP Code information.
 - 2. Any mailing list service in 507.7.0.
 - 3. An authorized service provider.
 - 4. CASS-certified matching software.
 - 5. USPS Web site www.usps.com.

3.8.3 Mailer Certification

The mailer's signature on the postage statement certifies that the ZIP Code accuracy standard has been met for each address in the corresponding mailing presented to the USPS.

3.9 Move Update Standard

3.9.1 Basic Standards

[2-1-10] The Move Update standard is a means of reducing the number of mailpieces in a mailing that require forwarding, return, or discard by the periodic matching of a mailer's address records with customer-filed change-of-address orders received and maintained by the USPS. For the purposes of this standard, address means a specific address associated with a specific occupant name. Except for mail bearing an alternative address format, addresses used on pieces claiming Standard Mail prices must meet the Move Update standard. Addresses subject to the Move Update standard must meet these requirements:

- Each address and associated occupant name used on the mailpieces in a mailing must be updated within 95 days before the mailing date, with one of the USPS-approved methods in 3.9.2.
- b. Each individual address in the mailing is subject to the Move Update standard.
- c. The Move Update standard is met when an address used on a mailpiece in a mailing at any class of mail is updated with an approved method in 3.9.2, and the same address is used in a Standard Mail mailing within 95 days after the address has been updated.

3.9.2 USPS-Approved Methods

The following methods are authorized for meeting the Move Update standard:

- a. Address Change Service (ACS).
- b. National Change of Address Linkage System (NCOA Link).
- c. Ancillary service endorsements under 507.1.5.3, *Standard Mail*, except "Forwarding Service Requested."



443.3.9.3

3.9.3 Mailer Certification

The mailer's signature on the postage statement certifies that the Move Update standard has been met for each address in the corresponding mailing presented to the USPS.

3.9.4 Basis for Move Update Assessment Charge

[2-1-10] Mailings are subject to a Move Update assessment charge if more than 30 percent of addresses with a change of address (COA) are not updated, based on the error rate found in USPS sampling at acceptance during Performance-Based Verification. Specifically, mailings for which the sample contains greater than 30 percent failed COAs out of the total COAs are subject to additional postage charges as follows:

- a. The percentage of the mailing paying the charge is based on the percentage of failed pieces above 30 percent.
- b. Each of the assessed pieces is subject to the \$0.07 per piece charge.
- c. As an example, if 40% of COAs in the sample are not updated, then the charge is applied to 10% (=40% 30%) of the total mailing.
- d. Mailings for which the sample has five or fewer pieces that were not updated for a COA are not subject to the assessment, regardless of the failure percentage.

4.0 Price Eligibility for Standard Mail

4.1 General Information

All Standard Mail prices are discounted prices. These prices apply to mailings meeting the basic standards in 2.0 through 4.0 and the corresponding standards for presorted prices under 5.0 or Enhanced Carrier Route prices under 6.0. Destination entry discount prices are available under 446.2.0 through 446.5.0. Pieces are subject to either a single minimum per piece price or a combined piece/pound price, depending on the weight of the individual pieces in the mailing under 4.2 or 4.3. Only organizations authorized by the USPS under 703.1.0 may mail at Nonprofit prices.

4.2 Minimum Per Piece Prices

The minimum per piece prices (i.e., the minimum postage that must be paid for each piece) apply as follows:

- a. Basic Requirement. Pieces mailed at Regular, Enhanced Carrier Route, Nonprofit, and Nonprofit Enhanced Carrier Route prices are subject to minimum per piece prices when they weigh no more than 3.3 ounces (0.2063 pound).
- b. In applying the minimum per piece prices, a mailpiece is categorized as a parcel based on the standards in 401, *Physical Standards*.
- c. Individual Prices. There are separate minimum per piece prices for each subclass (Regular, Enhanced Carrier Route, Nonprofit, and Nonprofit Enhanced Carrier Route) and within each subclass for the level of presort within each

443.4.5.2

mailing. Mailers may claim discounted prices for destination entry mailings under 446.2.0 through 446.5.0. DDU prices are available for parcels and Not Flat-Machinable pieces entered only at 5-digit, Enhanced Carrier Route, or Nonprofit Enhanced Carrier Route prices. See 1.0, *Prices and Fees for Standard Mail*, for individual per piece prices.

4.3 Piece/Pound Prices

Pieces that exceed 3.3 ounces (0.2063 pound) are subject to a two-part piece/pound price that includes a fixed charge per piece and a variable pound charge based on weight. Pieces exceeding 3.3 ounces may not be mailed as Customized MarketMail. There are separate per piece prices for each subclass (Regular, Enhanced Carrier Route, Nonprofit, and Nonprofit Enhanced Carrier Route) and within each subclass for the type of mailing and the level of presort within each mailing. There are separate per pound prices for each subclass (Regular, Enhanced Carrier Route, Nonprofit, and Nonprofit Enhanced Carrier Route). Discounted per pound prices also may be claimed for destination entry mailings (destination network distribution center (DNDC), destination sectional center facility (DSCF), and destination delivery unit (DDU)) under 446.2.0 through 446.5.0 in *Enter and Deposit*.

4.4 Surcharge

Unless prepared in carrier route (irregular parcels only) or 5-digit/scheme containers, Standard Mail parcels and Not Flat-Machinable pieces are subject to a surcharge if:

- a. The pieces placed in containers other than 5-digit/scheme containers do not contain the appropriate barcodes in 4.4b through 4.4d.
- b. The machinable parcels do not bear a GS1-128 barcode, under 708.5.0, for the ZIP Code of the delivery address.
- c. The Not Flat-Machinable pieces weigh 6 ounces or more and do not bear a GS1-128 barcode, under 708.5.0, for the ZIP Code of the delivery address.
- d. The irregular parcels weigh less than 16 ounces, or the Not Flat-Machinable pieces weigh less than 6 ounces, and those pieces do not bear a GS1-128 or POSTNET barcode, under 708.5.0, for the ZIP Code of the delivery address.

4.5 Extra Services for Standard Mail

4.5.1 Available Services

Only the following extra services may be used with Standard Mail that is eligible under 4.5.2, *Eligible Matter*:

- a. Bulk insurance.
- b. Return receipt for merchandise.
- c. Delivery Confirmation (electronic option only).
- d. Certificate of mailing, as provided in 503.5.0.

4.5.2 Eligible Matter

Extra services may be used only with pieces mailed at Not Flat-Machinable (Delivery Confirmation only), machinable, or irregular parcel prices.



443.4.5.3

4.5.3 Ineligible Matter

Extra services (other than certificate of mailing service) may not be used for any of the following types of Standard Mail:

- a. Pieces entered as letters or flats.
- b. Machinable parcels mailed using Bulk Parcel Return Service.
- c. Pieces mailed with detached address labels under 602.4.0.
- d. Pieces mailed at Enhanced Carrier Route prices.
- e. Pieces mailed as Customized MarketMail.

4.5.4 Additional Preparation Requirements

Any eligible mailpiece with an extra service must bear a return address under 602.1.0, *Elements of Addressing*, and an ancillary service endorsement under 507.1.0 as follows:

- a. Pieces with bulk insurance or return receipt for merchandise must bear an
 endorsement that returns any undeliverable-as-addressed piece to the sender.
 Required endorsements are "Address Service Requested," "Forwarding
 Service Requested," or "Return Service Requested."
- b. Pieces with Delivery Confirmation must bear one of the required endorsements in 4.5.4a or "Change Service Requested."

5.0 Additional Eligibility Standards for Presorted Standard Mail Pieces

5.1 Basic Standards

All pieces in a Regular Standard Mail or Nonprofit Standard Mail Presorted price mailing must:

- a. Meet the basic standards for Standard Mail in 2.0 through 4.0.
- b. Except as provided in 3.6, Residual Volume Requirement, be part of a single mailing of at least 200 addressed pieces or 50 pounds of pieces qualifying for Presorted Standard Mail. Regular and Nonprofit mailings must meet separate minimum volumes.
- c. Bear a delivery address that includes the correct ZIP Code or ZIP+4 code and that meets these address quality standards:
 - 1. The ZIP Code accuracy standard in 3.8.
 - 2. If an alternative addressing format is used, the additional standards in 602.3.0.
 - 3. If merchandise samples are prepared with detached address labels, the additional standards in 602.4.0.
- d. Be marked, sorted, and documented as specified in 445.5.0, *Preparing Presorted Parcels*, and 705.8.0, *Preparing Pallets*.



443.5.4.1

5.2 **Price Application**

Prices for Regular and Nonprofit Standard Mail apply separately to machinable parcels, irregular parcels, and Not Flat-Machinable pieces that meet the eligibility standards in 2.0 through 4.0 and the preparation standards in 445.5.0, 705.6.0, or 705.8.0, Preparing Pallets. When parcels and Not Flat-Machinable pieces are combined under 445.5.0 or 705.6.0, all pieces are eligible for the applicable prices when the combined total meets the eligibility standards. For example, when there are 10 pounds of combined machinable parcels, irregular parcels, and Not Flat-Machinable pieces in a 5-digit sack, all pieces are eligible for the 5-digit prices.

5.3 **Prices for Machinable Parcels**

5.3.1 5-Digit Price

The 5-digit price applies to qualifying machinable parcels that are dropshipped to a DNDC (or ASF when claiming DNDC prices), DSCF, or DDU and presented:

- In a 5-digit/scheme (L606) sack containing at least 10 pounds of pieces.
- On a 5-digit/scheme (L606) pallet, according to standards in 705.8.10. b.
- As one or more parcels that mailers drop ship to a DDU under 446.5.2.
- In 5-digit/scheme containers prepared under 705.20.0.

5.3.2 NDC Price

The NDC price applies to qualifying machinable parcels as follows under either of the following conditions:

- When dropshipped to an ASF or NDC and presented:
 - 1. In an ASF or NDC sack containing at least 10 pounds of parcels, or
 - On an ASF or NDC pallet, according to standards in 705.8.10, or
 - In a NDC/ASF container prepared under 705.20.0. 3.
- b. When presented at the origin acceptance office on an ASF or a NDC pallet containing at least 200 pounds of pieces.

5.3.3 Mixed NDC Price

The mixed NDC price applies to machinable parcels that are not eligible for 5-digit or NDC prices. Place machinable parcels at mixed NDC prices in origin NDC sacks or on origin NDC pallets, then in mixed NDC sacks or on mixed NDC pallets. See 445.5.3.2 and 705.8.10.

5.4 Prices for Irregular Parcels and Not Flat-Machinable (NFM) Pieces

5.4.1 5-Digit Price

The 5-digit price applies to irregular parcels and NFMs that are dropshipped to a DNDC (or ASF when claiming DNDC prices), DSCF, or DDU and presented:

- a. In a 5-digit/scheme (L606) sack containing at least 10 pounds of pieces.
- On a 5-digit/scheme (L606) pallet, according to 705.8.10.
- As one or more parcels that mailers drop ship to a DDU under 446.5.2.
- d. In 5-digit/scheme containers prepared under 705.20.0.



443.5.4.2

e. For NFMs only, in 5-digit/scheme bundles of five or more pieces on pallets or in pallet boxes under 705.8.0.

5.4.2 SCF Price

The SCF price applies to irregular parcels or NFMs that are dropshipped and presented to a DSCF or DNDC:

- a. In an SCF sack containing at least 10 pounds of parcels.
- b. On an SCF pallet, according to 705.8.10.
- c. In SCF containers prepared under 705.20.0.

5.4.3 NDC Price

The NDC price applies to qualifying irregular parcels or NFMs as follows under either of the following conditions:

- a. When dropshipped to an ASF or NDC and presented:
 - 1. In an ASF or NDC sack containing at least 10 pounds of parcels, or
 - 2. On an ASF or NDC pallet, according to standards in 705.8.10, or
 - 3. In a NDC/ASF container prepared under 705.20.0.
- b. When presented at the origin acceptance office on an ASF or a NDC pallet containing at least 200 pounds of pieces.

5.4.4 Mixed NDC Price

The mixed NDC price applies to irregular parcels or NFMs in origin NDC or mixed NDC containers that are not eligible for 5-digit, SCF, or NDC prices. Place irregular parcels or NFMs at mixed NDC prices in origin NDC or mixed NDC sacks under 445.5.4.4 or on origin NDC or mixed NDC pallets under 705.8.10.

6.0 Additional Eligibility Standards for Enhanced Carrier Route Standard Mail Parcels

6.1 General Enhanced Carrier Route Standards

6.1.1 Optional Preparation

Preparation to qualify for any Enhanced Carrier Route price is optional and need not be performed for all carrier routes in a 5-digit area. An Enhanced Carrier Route mailing may include pieces at basic, high density, and saturation Enhanced Carrier Route prices.

6.1.2 Basic Eligibility Standards

All pieces in an Enhanced Carrier Route or Nonprofit Enhanced Carrier Route Standard Mail mailing must:

- a. Meet the basic standards for Standard Mail in 2.0 through 4.0.
- b. Be part of a single mailing of at least 200 pieces or 50 pounds of pieces of Enhanced Carrier Route Standard Mail. Regular and Nonprofit mailings must meet separate minimum volumes.

443.6.2.2

- c. Be sorted to carrier routes, marked, and documented under 445.7.0, *Preparing Enhanced Carrier Route Parcels*, or 705.8.0, *Preparing Pallets*.
- d. Bear a delivery address that includes the correct ZIP Code, ZIP+4 code, or numeric equivalent to the delivery point barcode (DPBC) and that meets these address quality standards:
 - 1. The carrier route accuracy standard in 6.2.
 - 2. If an alternative addressing format is used, the additional standards in 602.3.0.
- e. Meet the applicable sequencing requirements in 6.3 through 6.5 and in 445.7.6, *Delivery Sequence Standards*.
- f. Enhanced Carrier Route price mail may not be more than 12 inches high, 15 inches long, or 3/4-inch thick. Exception: Merchandise samples with detached address labels (DALs) may exceed these dimensions if the labels meet the standards in 602.4.0, Detached Address Labels (DALs).

6.2 Carrier Route Accuracy

6.2.1 Basic Standards

The carrier route accuracy standard is a means of ensuring that the carrier route code correctly matches the delivery address information. For the purposes of this standard, *address* means a specific address associated with a specific carrier route code. Addresses used on pieces claiming certain prices that are subject to the carrier route accuracy standard must meet these requirements:

- a. Each address and associated carrier route code used on the mailpieces in a mailing must be updated within 90 days before the mailing date with one of the USPS-approved methods in 6.2.2.
- b. Each individual address in the mailing is subject to the carrier route accuracy standard.
- c. If the carrier route code (and accuracy) of an address used on a mailpiece in a carrier route mailing at one class of mail and price is updated with an approved method, the same address may be used during the following 90 days to meet the carrier route accuracy standard required for mailing at any other class of mail and price.
- d. Except for mail bearing a simplified address, addresses used on pieces claiming Standard Mail Enhanced Carrier Route prices must meet the carrier route accuracy standard, regardless of any required surcharge.

6.2.2 USPS-Approved Methods

Carrier route coding must be performed using CASS-certified software and the current USPS Carrier Route Product or another Address Information System (AIS) product containing carrier route information subject to 509.1.0, *Address Information System Products*, and 708.3.0, *Coding Accuracy Support System (CASS)*.



443.6.2.3

6.2.3 Mailer Certification

The mailer's signature on the postage statement certifies that the carrier route accuracy standard has been met for each address in the corresponding mailing presented to the USPS.

6.3 Basic Price Enhanced Carrier Route Standards

6.3.1 Sequencing

All pieces mailed at basic prices must be prepared in walk sequence or in line-of-travel (LOT) sequence according to LOT schemes prescribed by the USPS (see 445.7.6, *Delivery Sequence Standards*).

6.3.2 Basic Price Discount for Irregular Parcels

Basic prices apply to each piece in a carrier route sack or carton containing at least 125 pieces or 15 pounds of pieces, or in a 5-digit carrier routes sack or carton. DALs must be in carrier route bundles of 10 or more pieces and prepared under 602.4.0.

6.4 High Density Enhanced Carrier Route Standards

6.4.1 Basic Eligibility Standards for High Density Prices

All pieces mailed at high density prices must:

- a. Be prepared in walk sequence according to schemes prescribed by the USPS (see 445.7.6, *Delivery Sequence Standards*).
- Meet the density requirement of at least 125 pieces for each carrier route.
 Multiple pieces per delivery address can count toward this density standard.

6.4.2 High Density Price Discount for Irregular Parcels

High density prices apply to each piece in a carrier route sack or carton containing at least 125 pieces or 15 pounds of pieces, or in a 5-digit carrier routes sack or carton. DALs must be in carrier route bundles of 10 or more pieces and prepared under 602.4.0.

6.5 Saturation Enhanced Carrier Route Standards

6.5.1 Basic Eligibility Standards for Saturation Prices

All pieces mailed at saturation prices must:

- a. Be prepared in walk sequence according to schemes prescribed by the USPS (see 445.7.6, *Delivery Sequence Standards*).
- b. Meet the density requirement of at least 90% or more of the active residential addresses or 75% or more of the total number of active possible delivery addresses on each carrier route receiving this mail. Pieces bearing a simplified address must meet the coverage standards in 602.3.0, *Use of Alternative Addressing*. Multiple pieces per delivery address do not count toward this density standard.

6.5.2 Saturation Price Discount for Irregular Parcels

Saturation prices apply to each piece in a carrier route sack or carton containing at least 125 pieces or 15 pounds of pieces, or in a 5-digit carrier routes sack or carton. DALs must be in carrier route bundles of 10 or more pieces and prepared under 602.4.0.

Commercial Parcels: Standard Mail Prices and Eligibility

443



443.6.5.2