



# 707 Periodicals

**Overview**

- 1.0 Prices and Fees
- 2.0 Price Application and Computation
- 3.0 Physical Characteristics and Content Eligibility
- 4.0 Basic Eligibility Standards
- 5.0 Applying for Periodicals Authorization
- 6.0 Qualification Categories
- 7.0 Mailing to Nonsubscribers or Nonrequesters
- 8.0 Record Keeping Standards for Publishers
- 9.0 Changing Title, Frequency, or Known Office of Publication
- 10.0 Preferred Periodicals
- 11.0 Basic Eligibility
- 12.0 Nonbarcoded (Presorted) Eligibility
- 13.0 Carrier Route Eligibility
- 14.0 Barcoded (Automation) Eligibility
- 15.0 Ride-Along Eligibility
- 16.0 Postage Payment
- 17.0 Documentation
- 18.0 General Mail Preparation
- 19.0 Bundles
- 20.0 Sacks and Trays
- 21.0 Sack and Tray Labels
- 22.0 Preparing Nonbarcoded (Presorted) Periodicals
- 23.0 Preparing Carrier Route Periodicals
- 24.0 Preparing Letter-Size Barcoded (Automation) Periodicals
- 25.0 Preparing Flat-Size Barcoded (Automation) Periodicals
- 26.0 Physical Criteria for Nonmachinable Flat-Size Periodicals
- 27.0 Combining Multiple Editions or Publications
- 28.0 Enter and Deposit
- 29.0 Destination Entry
- 30.0 Additional Mailing Offices

## 1.0 Prices and Fees

### 1.1 Outside-County—Including Science-of-Agriculture

#### 1.1.1 Pound Prices

For all Periodicals prices, See [Notice 123—Price List](#).

#### 1.1.2 Discounts

Discounts for nonadvertising content, for each 1% of nonadvertising. See [Notice 123—Price List](#).



707.1.1.3

### 1.1.3 Nonprofit Publications

Authorized nonprofit publications receive a discount of 5% off the total Outside-County postage excluding the postage for advertising pounds. The 5% discount does not apply to commingled nonsubscriber or nonrequester copies in excess of the 10% allowance provided under 7.0.

### 1.1.4 Classroom Publications

Authorized Classroom publications receive a discount of 5% off the total Outside-County postage excluding the postage for advertising pounds. The 5% discount does not apply to commingled nonsubscriber or nonrequester copies in excess of the 10% allowance provided under 7.0.

### 1.1.5 Limited Circulation Publications

Publications, excluding Nonprofit, Classroom, and Limited Circulation Science-of-Agriculture publications receive a 5% discount off the total Outside-County postage, excluding the postage for advertising pounds, if eligible copies are mailed at In-County prices and the total number of Outside-County copies mailed for that issue is less than 5,000. Nonsubscriber or nonrequester copies claiming the Limited Circulation discount are subject to the standards in 7.0.

### 1.1.6 Limited Circulation Science-of-Agriculture Publications

Publications meeting the requirements of 11.2.2 receive a 5% discount off the total Outside-County postage, excluding the postage for advertising pounds, if eligible copies are mailed at In-County prices and the total number of Outside-County copies mailed for that issue is less than 5,000. Nonsubscriber or nonrequester copies claiming the Limited Circulation discount are subject to the standards in 7.0.

## 1.2 In-County

### 1.2.1 Prices

For all Periodicals prices, See [Notice 123–Price List](#).

### 1.2.2 Discount

Destination delivery unit discount is for each addressed piece. See [Notice 123—Price List](#).

## 1.3 Ride-Along Price

Price is per ride-along piece. See [Notice 123—Price List](#).

## 1.4 Fees

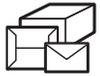
[1-27-13] Periodicals fees are per application for original entry, news agent registry, and reentry. See [Notice 123—List](#).

## 2.0 Price Application and Computation

### 2.1 Price Application

#### 2.1.1 Price Elements

Postage for Periodicals mail includes a pound price charge, a piece price charge, bundle and container price charges for Outside-County mail, and any discounts for which the mail qualifies under the corresponding standards.



### 2.1.2 Applying Outside-County Piece Prices

The per piece charge applies to each copy and each firm bundle in the mailing. Outside-County piece prices are based on the shape of the mailpiece (letter, flat, or parcel); the characteristics of the mailpiece (machinable or nonmachinable, see [18.4aa](#) and [18.4ab](#)); the application of a barcode; and the bundle presort level. Firm bundles and carrier route pieces pay separate piece prices that do not vary based on these criteria. For pieces properly prepared loose in trays, the price is based on the tray presort level. Apply piece prices for Outside-County mail as follows:

- a. *Letters.*
  1. Apply the “Letters—Barcoded” prices to pieces that meet all of the standards for automation letters in [201.3.0](#) and include a barcode.
  2. Apply the “Letters—Nonbarcoded” prices to pieces that meet the standards for all letters in [201](#) but do not include a barcode. Apply these prices also to pieces that are barcoded but do not meet all of the automation letter standards in [201.3.0](#).
- b. *Machinable flats.*
  1. Apply the “Machinable Flats—Barcoded” prices to pieces that meet all of the standards for automation flats in [301.3.0](#) and include a barcode. Apply the 5-digit price also to barcoded pieces prepared under the alternative flats criteria in [26.0](#) and placed in 5-digit bundles.
  2. Apply the “Machinable Flats—Nonbarcoded” prices to pieces that meet all of the standards for automation flats in [301.3.0](#) but do not include a barcode.
- c. *Nonmachinable flats.*
  1. Apply the “Nonmachinable Flats-Barcoded” prices to pieces that meet all of the alternative standards for flats in [26.0](#) and include a barcode. Exception: Barcoded pieces prepared under [26.0](#) and placed in 5-digit bundles pay the “Machinable Flats-Barcoded” 5-digit price. Effective October 3, 2010, “nonmachinable” barcoded flats claiming the machinable flats-barcoded 5-digit price must meet the deflection standards in [301.1.0](#).
  2. Apply the “Nonmachinable Flats-Nonbarcoded” prices to pieces that meet the standards for nonmachinable flats in [26.0](#) but do not include a barcode.
- d. *Parcels.* Apply the “Parcels” prices to all parcels, whether or not a barcode is used.

### 2.1.3 Applying In-County Piece Prices

An *addressed piece* can be a single individually addressed copy or a firm bundle containing unaddressed or individually addressed copies for the same address. The per piece charge is based on the number of addressed pieces (not the number of copies). For In-County mail, piece prices apply to each addressed piece, based on the sorting done by the publisher. Piece prices for automation mailings are based on the bundle level (or tray level for unbundled pieces in trays); piece prices for nonautomation mailings are based on the tray or sack level.



#### 2.1.4 Applying Pound Price

Apply pound prices to the weight of the pieces in the mailing as follows:

- a. Outside-County (including Science-of-Agriculture) pound prices are based on the weight of the advertising portion sent to each postal zone (as computed from the entry office) or destination entry zone, and the weight of the nonadvertising portion to a destination entry zone or a single price to all other zones.
- b. In-County pound prices consist of a DDU entry price and an unzoned price for eligible copies delivered to addresses within the county of publication.

#### 2.1.5 Computing Weight of Advertising and Nonadvertising Portions

The pound price charge is the sum of the charges for the computed weight of the advertising portion of copies to each destination entry and zone, plus the sum of the charges for the computed weight of the nonadvertising portion of copies to each destination entry and all other zones. The following standards apply:

- a. The minimum pound price charge for any zone to which copies are mailed is the 1-pound price. For example, three 2-ounce copies for a zone are subject to the minimum 1-pound charge.
- b. Authorized Nonprofit and Classroom publications with an advertising percentage that is 10% or less are considered 100% nonadvertising. When computing the pound prices and the nonadvertising adjustment, use "0" as the advertising percentage. Authorized Nonprofit and Classroom publications claiming 0% advertising must pay the nonadvertising pound price for the entire weight of all copies to all zones.

#### 2.1.6 Per Piece Charge

Piece prices apply to each addressed piece, based on the sortation done by the publisher. An *addressed piece* can be a single individually addressed copy, a bundle of unaddressed copies with a single delivery address for the bundle, or a firm bundle containing unaddressed or individually addressed copies for the same address. The per piece charge is based on the number of addressed pieces (not the number of copies).

#### 2.1.7 Discounts

Discounts and reductions apply as specified in [2.0](#), [10.0](#), and [29.0](#).

#### 2.1.8 Applying Outside-County Bundle Prices

For Outside-County mail prepared in bundles, mailers pay the bundle price according to the presort level of the bundle and the presort level of the container that the bundle is placed in or on. Firm bundles pay a firm bundle price that is based only on the presort level of the container. Bundle prices do not apply to barcoded letter-size mail prepared in full letter trays or to flat-size mail prepared in flat trays under the optional tray preparation in [22.7](#) and [25.5](#). The bundle prices are in addition to the container prices. The following additional standards apply:

- a. Bundles of fewer than six pieces under [25.1.4](#) (including single-piece bundles) must each pay the applicable bundle charge.
- b. For bundles with both In-County and Outside-County pieces, mailers do not pay the bundle charge for carrier route and 5-digit/scheme bundles.



### 2.1.9 Applying Outside-County Container Prices

For Outside-County mail prepared in trays, sacks, pallets, and other USPS-approved containers, mailers pay the container price according to the type of container, the presort level of the container, and where the mail is entered. The container level is determined by the least-finely presorted bundle that container could contain according to standards (for example, an “SCF pallet” may contain SCF, 3-digit, 5-digit, and carrier route bundles and would always pay the 3-digit/SCF pallet price). For mailer-supplied air freight containers, mailers pay the container charge based on the original presort of the mail before it is transferred to the airfreight container. The container prices are in addition to the bundle prices. The following additional standards apply:

- a. For mailings prepared in trays or sacks, mailers pay the container price for each tray or sack based on container level and entry.
- b. For mailings prepared on pallets under [705.8.0](#):
  1. For bundles placed directly on pallets, mailers pay the container price for each pallet.
  2. For trays or sacks on pallets, mailers pay the container price for each tray or sack, and not for the pallets. The container price for each tray or sack is based on the tray or sack level and where the pallet is entered.
- c. For containers with both In-County and Outside-County pieces, mailers do not pay the container price for carrier route, 5-digit carrier routes, and 5-digit/scheme pallets, sacks, and trays.

## 2.2 Computing Postage

### 2.2.1 Percentage of Advertising

The percentage of advertising shown on the postage statement must be based on the marked copy of the corresponding issue or edition provided as required. If necessary, round off the computed percentage of advertising to two decimal places. Advertising percentages also may be calculated through the Periodicals Accuracy, Grading, and Evaluation (PAGE) Program using the procedures in [16.0, Postage Payment](#), and [17.0, Documentation](#).

### 2.2.2 Weight Per Copy

To determine the weight per copy, select and weigh a random sample of 10 or more copies and divide the total sample weight by the number of pieces in the sample. Express the weight per copy in decimal pounds rounded off to four decimal places. Per-copy weights also may be calculated through the Periodicals Accuracy, Grading, and Evaluation (PAGE) Program using the procedures in [16.0](#) and [17.0](#).

### 2.2.3 Computing Other Weights

To find the total weight of mailed copies per zone or price level, multiply the corresponding number of copies by the computed weight per copy. Round off each result to the nearest whole pound, except that when the result is under 0.5 pound, round to 1 pound. To find the weight of the advertising portion for each zone, where applicable, multiply the total weight of copies for that zone by the percentage of advertising. Round off each result to the nearest whole pound, except that when the result is under 0.5 pound, round to 1 pound. To find the weight of the nonadvertising



portion, subtract the total weight of the advertising portion to all zones from the total weight of copies to all zones. To find the weight of In-County price copies, multiply the number of copies by the weight per copy and round off the total weight to the nearest whole pound, except that when the result is less than 0.5 pound, round to 1 pound.

#### **2.2.4 Pound Price**

To compute the pound price postage for Regular and Preferred Outside-County copies, multiply the weight of the advertising and nonadvertising portions by the corresponding prices, add the *unrounded* results, and subtract all applicable discounts. To compute the pound price postage for In-County price copies, multiply their total weight by the corresponding price per pound.

#### **2.2.5 Piece Price**

Determine the piece price postage as follows:

- a. *Outside-County*. Multiply the number of addressed pieces (not copies) by the appropriate price, based on the presort of the pieces as mailed. An addressed piece can be a single individually-addressed copy, an addressed supplement (3.3.5a), or a firm bundle containing multiple copies for the same address. For firm bundles, multiply the number of firm bundles by the firm bundle piece price.
- b. *In-County*. Multiply the number of addressed pieces (not copies) by the appropriate price, based on the presort of the pieces as mailed. An addressed piece can be a single individually-addressed copy, an addressed supplement (3.3.5a), or a firm bundle containing multiple copies for the same address.

#### **2.2.6 Nonadvertising Adjustment**

To compute the nonadvertising adjustment (where applicable), subtract the advertising percentage from 100, multiply the remainder by the nonadvertising adjustment per piece, multiply the *unrounded* product by the number of pieces, and round off the product to four decimal places.

#### **2.2.7 Outside-County Bundle and Container Charges**

The Outside-County bundle charge is the sum of the number of bundles for each bundle level and container level in the mailing subject to the Outside-County bundle prices (see 1.1.3), multiplied by the applicable bundle prices. The Outside-County container charge is the sum of the number of containers for each container type, container level, and entry level in the mailing subject to the Outside-County container prices (see 1.1.4), multiplied by the applicable container prices. Mailers must document the number of bundles and containers required for the prices claimed. If the documentation shows more bundles and containers are required than are presented for mailing, the mailer must pay the charges according to the documentation. Mailers cannot reduce the bundle and container charges by preparing fewer bundles and containers than standards require. Mailers who prepare Periodicals publications as a combined mailing by merging copies or bundles of copies under 27.0 may pay the Outside-County bundle and container charges in one of the following ways:

- a. On one publisher's Form 3541.



- b. On one consolidated Form 3541. Under this option, the consolidator must complete the appropriate sections of the form and pay the charges from the consolidator's own advance deposit account.
- c. Apportioned on each publisher's Form 3541. The following standards apply:
  1. The qualification report must be submitted electronically via Mail.dat. See [708.1.0](#) for additional documentation requirements.
  2. The total charges on all Form 3541s in a combined mailing must equal the total charges for all bundles and containers subject to the Outside-County container prices presented for mailing.
  3. Apportion the bundle charge for each title or edition by determining how many of each type of bundle that title or edition is in. Next calculate the percentage of copies in each of those bundles and convert to four decimal places, rounding if necessary (for example, convert 20.221% to 0.2022). Add the decimal values for each type of bundle in the mailing and multiply the total by the applicable bundle price in [1.1.3](#). Add the bundle charges to determine the total for each title or edition.
  4. Apportion the container charge for each title or edition by determining how many of each type of container that title or edition is in. Next calculate the percentage of copies in each of those containers and convert to four decimal places, rounding if necessary (for example, convert 20.221% to 0.2022). Add the decimal values for each type of container in the mailing and multiply the total by the applicable container price in [1.1.4](#). Add the container charges to determine the total for each title or edition.

### **2.2.8 Total Postage**

Total Outside-County postage is the sum of the per pound and per piece charges, the bundle charges, the container charges, and any Ride-Along and Repositionable Notes charges; minus all discounts; rounded off to the nearest whole cent. Total In-County postage is the sum of the per pound and per piece charges, and any Ride-Along and Repositionable Notes charges, less all discounts, rounded off to the nearest whole cent.

## **3.0 Physical Characteristics and Content Eligibility**

### **3.1 Physical Characteristics**

#### **3.1.1 General**

See [201](#) for the physical characteristics for letter-size Periodicals, [301](#) for flat-size Periodicals, and [401](#) for parcel-size Periodicals.

### **3.2 Addressing**

#### **3.2.1 General**

Each addressed piece, including the top copy of a firm bundle, must bear the addressee's name and address. The address must include the correct ZIP+4 code or 5-digit ZIP Code. On flat-size pieces, the address must be formatted and positioned according to [302.2.0](#).



#### **3.2.2 Address Label**

White or other light-colored paper must be used for the address label. To identify the mailpiece as Periodicals, the mailer may place, vertically along the left-hand side of the label, a 1/4-inch or narrower strip of solid pink or of pink “PER” characters.

#### **3.2.3 Return Address**

[1-27-13] The return address must appear on any mailing wrapper (see 3.3.7) of a publication with the optional ancillary service endorsement “Address Service Requested” and on any opaque wrapper of a publication. If a clear plastic wrapper is used on a publication endorsed “Address Service Requested,” the return address must appear visibly anywhere on the address side of the wrapper or the topmost item inside.

### **3.3 Permissible Mailpiece Components**

#### **3.3.1 Pages**

Pages are the printed sheets forming the publication or one of the mailpiece's components, bearing advertising, nonadvertising, or both, including pages with text and graphics, blank spaces for writing or marking, and material to be completed or used by the reader. A minor portion of the pages in a Periodicals mailpiece may have unusual characteristics, such as a different size, shape, or construction, or portions that may be wholly or partially separable, and pages prepared for folding out. No page may have dimensions (when folded, if folded) that exceed the dimensions of the publication. Pages are also subject to these standards:

- a. Multilayer pages (including pages formed by sheets glued together and pages that have unusual shapes, such as cutouts, movable flaps, or “pop-ups”) may include small amounts of non-paper material such as grommets, string, or rubber bands as needed to assemble the page. Not all elements that make up a multilayer page must be printed. In addition, multilayer pages may contain novel characteristics such as an LED display, a sound device, or battery operated movable parts. Multilayer pages may also be formed as pouches or pockets, but may contain only permissible loose enclosures (see 3.3.4) or other securely affixed permissible components.
- b. Multiple pages may be held together by staples or other means separate from and in addition to the regular binding of the publication.
- c. Oversized pages may be used for illustrations, charts, maps, and other advertising and nonadvertising content.

#### **3.3.2 Parts and Sections**

Parts and sections are pages that are physically separate subdivisions of the publication, as identified by the publisher. Each part or section must show the publication title, and the number of parts or sections in the issue must be stated on the cover of the first part or section. Parts or sections produced by someone other than the publisher may not be mailed at Periodicals prices if these parts or sections are prepared by or for advertisers or if they are provided to the publisher free or at a nominal charge. On request, the publisher must submit contracts entered into with producers of parts or sections.



### 3.3.3 Enclosures at First-Class Mail or Standard Mail Prices

Material paid at First-Class Mail or Standard Mail prices may be enclosed in a Periodicals mailpiece subject to these conditions:

- a. The total weight of all enclosed Standard Mail material must be less than 16 ounces.
- b. Postage and fee payment is subject to [703.9.8](#), [703.9.9](#) and [707.16.3](#). A permit imprint that may appear on a First-Class Mail or Standard Mail enclosure must not be visible when the mailpiece is prepared for mailing.
- c. When enclosing nonincidental First-Class Mail or any Standard Mail, combination envelopes or containers with separate parts for the two classes of mail may be used. If both the sender's and addressee's names and addresses are not on both pieces, the sender's name and address must be placed on one piece and the addressee's name and address on the other. Combination containers with inseparable parts may bear the names and addresses on only one part.
- d. The applicable "First-Class Mail Enclosed" or "Standard Mail Enclosed" marking must be placed on or in the host publication if it contains any nonincidental First-Class Mail or any Standard Mail enclosure. See [703.9.7.2](#).

### 3.3.4 Loose Enclosures at Periodicals Prices

Only the following material may be included loose as an enclosure in a Periodicals mailpiece and be paid at Periodicals prices, subject to the corresponding conditions:

- a. An incidental First-Class Mail piece must be closely related but secondary to the Periodicals publication with which it is enclosed. If the publication otherwise consists entirely of nonadvertising material, an incidental First-Class Mail enclosure may be treated as nonadvertising material. In all other cases, an incidental First-Class Mail enclosure is considered part of the advertising portion of the publication. Examples of an incidental First-Class Mail enclosure are a bill for the publication, a statement of account for past publication purchases, or a personal message or greeting included with the publication.
- b. A receipt, request, or order for a subscription may be printed or written; prepared as a reply mail card or envelope for any authorized Periodicals publication (or a publication pending Periodicals authorization); or inserted in an envelope within the publication. The receipt or request may be part of, or accompanied by, printed material containing information related exclusively to a receipt or request or order for a subscription provided the printed material does not advertise, promote, or offer for sale other products or services.
- c. A card or form for the recipient's use in providing address correction information to the publisher may be printed or written; prepared as a card or envelope, including business reply, or as a combination form for two or more Periodicals publications issued by the same publisher; inserted in an envelope that is attached to, bound in, or loose within the publication; or prepared as a detachable part of another permissible enclosure.



### 707.3.3.5

- d. A publisher's printed letter, including facsimile signature as a permissible loose enclosure with a Periodicals publication provided the printed letter is limited to the publisher promoting the publication; or announcing or describing changes to the publication, e.g. title, format, frequency, terms or conditions of a subscription; or other business of the publication, as long as the printed letter includes no other advertising for the publisher or any other party.
- e. Enclosures listed in [3.3.4b](#) and [3.3.4c](#) are not counted when determining the percentage of advertising in the publication, but they are included in the total weight of the publication reported on the postage statement.

#### **3.3.5 Supplement**

A supplement is one or more pages (subject to [3.3.1](#)) formed by one or more printed sheets that are not bound into a publication. A supplement may be devoted to a single topic and may contain material different from that in the host publication. A supplement may not be mailed at Periodicals prices by itself. The length and height of a supplement may not exceed those of the host publication except when the host publication and the supplement are contained in an envelope, polybag, or other complete wrapper or under [3.3.5d](#). Supplements are subject to these conditions as applicable:

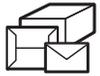
- a. If a supplement to a bound publication is formed of more than one sheet, all sheets making up the supplement must be bound together.
- b. A bound publication mailed with one or more supplements must be enclosed in a wrapper.
- c. A supplement to an unbound publication that is not prepared in a wrapper, polybag, or envelope must be combined with and inserted within the publication under [3.5.4](#).
- d. A wrapper is not required when a loose addressed supplement is included within the same mailing as the host publication, bears a proper delivery address, contains at least 25% nonadvertising material, and includes on the front/cover page the endorsement "Periodicals Supplement to" followed by the exact title and issue date of the host publication. The external dimensions of such supplements may exceed those of the host publication provided they are of the same processing category as the host publication.

#### **3.3.6 Cover and Protective Cover**

A cover may be placed on the outside of a Periodicals publication. A protective cover is an additional cover placed around the outside of a publication; preparation is subject to [3.5.6](#). Advertising, nonadvertising, or both may be printed on the cover or protective cover. The cover and protective cover on a publication are included when measuring advertising percentage. Nothing may be attached to the cover or protective cover except as permitted under [3.3.8](#).

#### **3.3.7 Mailing Wrapper**

**[1-27-13]** A mailing wrapper is an envelope, sleeve, partial wrapper, polywrap, or carton used to enclose the mailpiece. Advertising may be printed on the mailing wrapper and is included when measuring advertising percentage. Nothing may be attached to the mailing wrapper except as permitted under [3.3.8](#).



### 3.3.8 Attachment

Mailers may attach the following material to a cover, protective cover, or mailing wrapper of a publication:

- a. Stickers of any size and shape.
- b. Material allowed as a loose enclosure described in [3.3.3, Enclosures at First-Class Mail or Standard Mail Prices](#), or [3.3.4, Loose Enclosures at Periodicals Prices](#). When nonincidental First-Class Mail and/or Standard Mail enclosures (see [3.3.3](#)) are attached, the marking “First-Class” or “Letter Enclosed” must be on a First-Class Mail attachment; “Standard” or “STD,” on a Standard Mail attachment.
- c. Material normally allowed within the contents of the publication composed of advertising, nonadvertising, or a combination of both may be attached to the cover or protective cover only when the publication and attached material are enclosed in a wrapper or prepared under [3.5.4](#). No portion of the publication title may be obscured.

### 3.3.9 Product Samples

Subject to the requirements in [3.3.1](#) and [3.5.4](#), product samples related to print advertising in the issue and are not offered for sale within the meaning of [3.4.2a](#) and [3.4.3](#) may be included in a Periodicals publication as a page, or part of a multilayer page. Examples include, but are not limited to, a swatch of cloth; a paper towel as part of a printed page, or printed paper towel; a bandage; and fragrance, cosmetics, lotions, or edibles in packet form. The combined weight of product samples in an issue is limited to 3.3 ounces. Any product sample in the form of a packet is limited in total weight to no more than one ounce, but does not include the page weight upon which the packet is affixed. Packet product samples also must have a minimum burst strength of 3,000 pounds per square inch (psi). Travel size and similar small products in commercially available form or packaging do not qualify as permissible product samples, even if less than 3.3 ounces. In addition, CDs, DVDs, and similar media do not qualify as permissible product samples. Permissible product samples:

- a. Are not eligible with letter-size pieces;
- b. Must comply with hazmat standards ([601.10.5](#));
- c. Must comply with machinability standards, e.g. uniform thickness ([301.1.4](#));
- d. Must not be attached to the front or back cover page of the host Periodicals publication, or any other permissible component;
- e. Must be secured in place (spine or tip-on interior page) to prevent shifting ([601.3.1](#)); and,
- f. Must be placed at least 3/4 inch from all non-bound edges of any interior page.

### 3.3.10 Printed Addition

Only the following may be printed on a copy of a Periodicals publication after it is printed or placed on its cover, protective cover, or mailing wrapper:

- a. The name and address of the intended recipient or of the publisher or sender.
- b. The printed title of the publication and its place of publication.



707.3.3.11

- c. The expiration date of the subscription.
- d. The request for address correction information from the addressee.
- e. The words “Sample Copy” (if the copy is a sample), “Marked Copy” (if the copy contains a marked item or article), or “Address Service Requested” (if the copy is to be returned to the sender if undeliverable as addressed).
- f. The number of copies enclosed in a bundle (on the outside of the bundle) or a bundle count such as “2 of 4” (on the bundle wrapper).
- g. Corrections of typographical errors or a mark, except by written or printed words, to call attention to a word or passage.
- h. Printed messages not required to be mailed as First-Class Mail or Priority Mail Express.

### **3.3.11 Label Carrier**

A label carrier may be used to carry the delivery address for the mailpiece and must consist of a single unfolded, uncreased sheet of card or paper stock, securely affixed to the cover of the publication or large enough so that it does not rotate inside the wrapper, subject to these conditions:

- a. The label carrier must include the following elements:
  - 1. The title of the Periodicals publication or the name and address of the publisher. Mailers may also include incidental graphics of the host Periodicals publication, other Periodicals publications of the publisher, or Periodicals publications of one or more affiliates or subsidiaries of the publisher (or any combination thereof), provided that all of the publications are under common 100% ownership. If such graphics are used they are treated as advertising, and mailers must use an area no larger than 3 inches by 5 inches to show the title of the Periodicals publication or the name and address of the publisher and the graphic or graphics.
  - 2. The Periodicals imprint “Periodicals Postage Paid at...” or the word “Periodicals” in the upper right corner of the address side (unless “Periodicals” is printed on the address side of the polybag).
  - 3. The address to which the mailpiece can be returned if undeliverable, if endorsed “Address Service requested.”
- b. The label carrier may bear a request for address correction from the addressee. It also may bear information about requesting or subscribing to any Periodicals publication (or a publication pending Periodicals authorization), including a request or subscription form.
- c. As applicable, the label carrier may show the endorsement “First-Class Mail Enclosed,” “Standard Mail Enclosed,” or “Ride-Along Enclosed,” or the permit imprint used to pay postage for the First-Class Mail or Standard Mail enclosure if that permit imprint is below the Periodicals imprint or the word “Periodicals.”
- d. Other printed information, whether advertising or nonadvertising, is permitted only on the back of the label carrier and is subject to measurement and postage payment accordingly. Incidental text calling attention to information on the reverse, or to information within the contents of the Periodicals publication,



may be placed on the front of the label carrier. If any information referred to on the reverse of the label carrier or within the contents of the Periodicals publication is advertising, the incidental text on the front also is treated as advertising.

- e. For flat-size pieces, the label carrier must be affixed to maintain the delivery address entirely in the top half of the mailpiece (see [302.2.0](#)) throughout processing and delivery or, if not affixed, at least the beginning 0.5 inch of the address must remain in the top half. The “beginning 0.5 inch” means the first half-inch of the recipient, delivery address, and city/state/ZIP Code lines, and not the end of each line.

### 3.4 Impermissible Mailpiece Components

#### 3.4.1 General

Regardless of preparation or characteristics, the materials described in [3.4.2a](#) through [3.4.2e](#) are not eligible for Periodicals prices.

#### 3.4.2 Prohibited Material

Material that contains any one of the following printed items or that is referred to in a component of the Periodicals mailpiece (by the use of one of these items) is ineligible to be mailed at Periodicals prices:

- a. A separate price or subscription instructions different from those of the host publication.
- b. The word “catalog.”
- c. A First-Class Mail, Standard Mail, Parcel Select, or Package Services permit imprint.
- d. An ISBN (International Standard Book Number).
- e. An ISSN (International Standard Serial Number) or USPS number different from that of the host publication.

#### 3.4.3 Products

[\[1-27-13\]](#) Except as provided for in 3.3.9, products may not be mailed at Periodicals prices. Examples include stationery (such as pads of paper or blank printed forms); cassettes; floppy disks; CDs; DVDs; merchandise, including travel-size merchandise in commercially available form or packaging; and wall, desk, and blank calendars. Printed pages, including oversized pages and calendars, are not considered products if they are not offered for sale. Standard Post, Package Services, or Parcel Select mail pieces may not be combined with a Periodicals publication.

#### 3.4.4 Nonprinted Sheets

Any matter not formed of printed sheets (except as permitted under [3.3.1a](#)) is not eligible for Periodicals prices.

### 3.5 Mailpiece Construction

#### 3.5.1 Bound/Unbound

Publications may be prepared in either a bound or unbound form, with or without wrappers unless required by [3.5.7](#). A bound publication is a publication in which pages are securely held together by two or more staples, spiral binding, glue,



### 707.3.5.2

stitching, or other permanent fastening. All other publications are unbound, including folded multisheet and single-sheet publications and those in which pages are loose and collated (“nested”) or in which pages are held together by a single staple.

#### **3.5.2 Size and Weight**

Periodicals mail may not weigh more than 70 pounds or measure more than 108 inches in length and girth combined. Additional size and weight limits apply to letters and machinable and nonmachinable flat-size pieces.

#### **3.5.3 Uniformity**

Flat-size pieces must remain uniformly thick. Cover attachments, tabbed or glued half covers, flat-surfaced ride-along enclosures, loose subscription materials, and flat-surfaced enclosures of other classes may be included as long as the overall uniform thickness of the flat is maintained.

#### **3.5.4 Without Mailing Wrapper**

When the mailpiece does not have a mailing wrapper, all the components of an unbound publication must be combined with and inserted inside the publication. Only enclosures mailable at Periodicals prices under [3.3.4](#) may be included loose inside a bound unwrapped publication. An enclosure under [3.3.3c, Enclosures at First-Class Mail or Standard Mail Prices](#), or [3.3.4, Loose Enclosures at Periodicals Prices](#), or a single sheet prepared as an attachment under [3.3.8c](#), may be securely attached along the bound edge on the outside of an unwrapped publication if it does not exceed any dimension of the cover of the publication and comes within 3/4 inch of any open edge.

#### **3.5.5 With Mailing Wrapper**

Except as provided in [3.3.5, Supplement](#), when the mailpiece is completely enclosed in a mailing wrapper, the components may be placed anywhere within that wrapper. When a sleeve or other partial wrapper is used, the components must be secured so that they do not fall out during handling. Bound publications carrying loose supplements or prepared in physically separate parts or sections must be either completely enclosed in an envelope, plastic wrapper (polybag), or paper wrapper or inserted within a sleeve so that the component parts do not become separated while in the mail.

#### **3.5.6 Cover Page and Protective Cover**

If the piece is not completely enclosed in a mailing wrapper, then any protective cover or cover page must cover both the front and back of the host publication and extend to within at least 3/4 inch of any open edge. Exception: Flat-size pieces may have short covers as provided in [301.3.4.2](#). If the host publication is bound, the protective cover must be permanently attached to the publication.

#### **3.5.7 APO/FPO and DPO Copy**

Any single copy of an unbound publication that includes any enclosure, supplement, or more than one part or section and that is mailed to an APO/FPO and DPO address must be completely enclosed in a mailing wrapper.



### 3.5.8 Postal Inspection

Periodicals mail must be prepared so that it can be easily examined. The mailing of publications at Periodicals postage prices represents consent by the sender to USPS inspection of the contents whether loose or inserted in envelopes, wrappers, or other covers. Mailers who want to ensure that publications are not opened for postal inspection must pay First-Class Mail prices and mark such mail accordingly.

## 3.6 Printed Features

### 3.6.1 Publication Title and Address Notice

[1-27-13] The publication title must be displayed prominently on the publication and any protective cover. On any publication enclosed in an opaque mailing wrapper, carton, or any wrapper when the title of the publication is not prominently displayed through the wrapper or carton, the publication title and the mailing address to which undeliverable copies or change-of-address notices (see 4.12.5h) are to be sent must be shown in the upper left corner of the address side of the mailing wrapper (see 3.3.7). A publication with a clear wrapper and a prominently displayed publication title need not have the return mailing address on the wrapper unless required under 3.2.5. The publication number includes an alpha prefix and is to be within parentheses, for example, THE NATIONAL WEEKLY (ISSN 9876-543X) or THE COMMUNITY (USPS 123-456).

### 3.6.2 Periodicals Imprint

[1-27-13] Mailing wrappers (see 3.3.7) that completely enclose the host publication must bear the Periodicals imprint “Periodicals Postage Paid at...” or the word “Periodicals” in the upper right corner of the address area. If a clear plastic wrapper is used, those words may appear anywhere on the address side of the wrapper or the topmost item inside.

### 3.6.3 Advertising

Advertising may be printed on the pages of any component of a publication, subject to the corresponding standards. Regardless of location, an advertisement must be prepared as an integral part of the publication. Except for advertisements in supplements, all advertisements in a bound publication must be permanently attached. Except as provided in 3.3.4e, all advertising must be included in the advertising portion of the issue measured under 16.0 and 17.0. Different advertising may occupy the same space in different editions of the same issue.

### 3.6.4 Marking of Paid Reading Matter

Under 18 USC 1734, if a valuable consideration is paid, accepted, or promised for the publication of any editorial or other reading matter in a Periodicals publication, that matter must be plainly marked “advertisement” by the publisher. When a single item of paid editorial or other reading matter occupies more than one page, it need only be marked “advertisement” on the first page. The word “advertisement” may be included in a statement that explains why the material is marked “advertisement.” Such a statement must be prominent on the first page of the material and the word “advertisement” in the statement must be in bold or italicized print or otherwise emphasized so that it can be plainly seen. Editors or publishers who print such matter without plainly marking it “advertisement” are subject to a fine of not more than \$500.



707.4.1

## 4.0 Basic Eligibility Standards

### 4.1 **Second-Class Mail**

On July 1, 1996, Second-Class Mail was renamed “Periodicals.”

### 4.2 **Qualification Categories**

All Periodicals publications must be authorized Periodicals mailing privileges under one of five qualification categories (general publications, publications of institutions and societies, publications of state departments of agriculture, requester publications, and foreign publications). These publications are subject to the general standards below and the standards for each respective category.

### 4.3 **General**

Only newspapers and periodical publications meeting the mailability standards in [601](#) and the general and applicable specific standards in [4.0](#) through [15.0](#) and [29.0](#) may be authorized mailing at the Periodicals prices.

### 4.4 **Periodical Publications**

#### 4.4.1 **Definition and Characteristics**

For Periodicals purposes, *periodical publication* or *periodical* is one published at a stated frequency with the intent to continue publication indefinitely, with these characteristics:

- a. The continuity of the periodical must show from issue to issue. Continuity is shown by serialization of articles or by successive issues carrying the same style, format, theme, or subject matter.
- b. The primary purpose of the periodical must be the transmission of information.
- c. The content of the periodical may consist of original or reprinted articles on one topic or many topics, listings, photographs, illustrations, graphs, a combination of advertising and nonadvertising matter, comic strips, legal notices, editorial material, cartoons, or other subject matter.
- d. The primary distribution of each issue must be made before that of each succeeding issue.

#### 4.4.2 **Other Publication Types**

The following types of publications also qualify as periodical publications:

- a. Any catalog or other course listing (including mail announcements of legal texts that are part of post-bar admission education) issued by any institution of higher education or by a nonprofit organization engaged in continuing legal education.
- b. Any loose-leaf page or report (including any index, filing instruction, table, or sectional identifier that is part of such report) designed as part of a loose-leaf reporting service on developments in the law or public policy.
- c. Any transportation guide containing schedules, fares, and related information.



#### 4.4.3 Restriction

Material that has been, or is intended to be, distributed primarily as a book may not be converted into an issue of a periodical by merely placing a periodical's title on it, placing the material within a periodical's cover, or using similar superficial methods. This restriction does not prohibit excerpts or condensations of books from being proper subject matter for periodicals.

#### 4.5 Printed Sheets

Periodicals publications must be formed of printed sheets. Sheets may be die cut or deckle-edged and may be made of paper, cellophane, foil, or other similar materials. They may not be reproduced by stencil, mimeograph, or hectograph. Reproduction by any other process is permitted. Any style of type may be used.

#### 4.6 Known Office of Publication

##### 4.6.1 Location

The publisher of a Periodicals publication must maintain a known office of publication at the location where the original entry for Periodicals mailing privileges is authorized.

##### 4.6.2 Purpose

The known office of publication must be a public office for transacting the business of the publication during normal business hours. It must also be the office where the publication's circulation records are kept or can be available for USPS examination.

#### 4.7 Regular Issuance

##### 4.7.1 Frequency

Each Periodicals publication must be issued at a regular frequency of at least four times a year.

##### 4.7.2 Statement of Frequency

The publisher must adopt a statement of frequency showing how many issues are to be published each year and at which regular intervals (for example: daily; weekly; quarterly; four times a year in January, February, October, and November; weekly during school year; monthly except during July and August).

##### 4.7.3 Compliance With Frequency

All issues must be published regularly as called for by the statement of frequency. To change the number of issues scheduled or the statement of frequency, the publisher must file an application for reentry. If a publication does not maintain regular issuance according to its stated frequency, even after USPS notice, the PCSC revokes the publication's Periodicals mailing privileges.

#### 4.8 Eligible Formats

##### 4.8.1 Complete Copies

[1-27-13] Complete copies of the regular issues of a Periodicals publication may be mailed at the applicable Periodicals prices. Incomplete copies (for example, those lacking pages or parts of pages) are subject to the applicable First-Class Mail, Standard Mail, Standard Post, or Package Services prices.



#### **4.8.2 Issues and Editions**

Issues and editions of a Periodicals publication may be mailed at the applicable Periodicals prices if they show continuity and meet the applicable standards in [4.9, Issues](#), or [4.10, Editions](#).

#### **4.9 Issues**

##### **4.9.1 Regular Issues**

Regular issues must be published according to the publication's stated frequency. The publication of regular issues of general and requester publications must be reflected in the publication's identification statement and (where applicable) in the subscription price. For requester publications, copies must be distributed to requesters.

##### **4.9.2 Extra Issues**

Extra issues, not shown in the publication's stated frequency, published for communicating news and information received too late for insertion in the regular issue, but not for advertising purposes, may be mailed occasionally at Periodicals prices. The original entry Post Office must receive written notice of these issues before they are mailed.

##### **4.9.3 Content**

Issues may include annual reports, directories, buyers' guides, lists, and similar material prepared as part of the content if copies of these issues bear the publication title and are included in the regular subscription price.

##### **4.9.4 Mailing Issues—Same Day**

For determining Periodicals eligibility and postage, an issue of a newspaper or other periodical that is published at a regular frequency, more often than once a month, on the same day as another regular issue of the same publication, is deemed to be a separate publication that must independently meet the applicable standards for Periodicals mailing privileges if:

- a. More than 10% of the total copies of the issue is distributed on a regular basis to nonsubscribers or nonrequesters.
- b. The number of copies of the issue distributed to nonsubscribers or nonrequesters is more than twice the number of nonsubscriber or nonrequester copies of the other issue distributed on that same day.

##### **4.9.5 Mailing Issues—Different Day**

For determining Periodicals eligibility and postage, an issue of a newspaper or other periodical that is published at a regular frequency, more often than once a month, but not on the same day as another regular issue of the same publication, is deemed to be a separate publication that must independently meet the applicable standards for Periodicals mailing privileges if:

- a. More than 10% of the total copies of the issue is distributed on a regular basis to nonsubscribers or nonrequesters.



- b. The number of copies of the issue distributed to nonsubscribers or nonrequesters is more than twice the number of nonsubscriber or nonrequester copies of any other issue distributed during the period between the distribution of each of the issues whose eligibility is being examined.

#### 4.10 Editions

##### 4.10.1 Types

Issues mailed at Periodicals prices may be prepared in editions (such as demographic or morning). Subscribers and requesters must not routinely receive more than one edition of any issue.

##### 4.10.2 Extra Editions

Extra editions may be mailed at Periodicals prices to communicate news and information received too late for the regular edition. Extra editions may not be intended for advertising.

##### 4.10.3 Content

Editions may differ in content, but not so much that they constitute separate and independent publications. A separate publication is not acceptable as an edition of another publication.

#### 4.11 Back Issues and Reprints

[1-27-13] Periodicals prices may be paid on mailings of unbound back issues (if the publication's Periodicals entry is in effect), reprint copies of daily publications printed within 1 week of the issue date, and reprint copies of other than daily publications printed before the next issue is printed. Other mailings of back issues or reprint copies, including permanently bound back issues or reprint copies, are subject to the applicable First-Class Mail, Standard Mail, Standard Post, or Package Services prices.

#### 4.12 Identification

##### 4.12.1 Title

The publication title must be shown on the front or cover page in a position and in a type style and size that distinguish the title from the publisher's name or other items.

##### 4.12.2 Identification Statement

An identification statement, in an easily read type, must be included in all copies of publications authorized Periodicals mailing privileges and in all copies mailed pending approval of Periodicals mailing privileges.

##### 4.12.3 Unbound Publication

In an unbound publication, the identification statement must be shown conspicuously in one of the following places:

- a. On one of the first five pages.
- b. On the table of contents page.
- c. In the masthead on the editorial page, if the location of the editorial page is shown in the table of contents on the front page of the publication.



#### 4.12.4 Bound Publication

In a bound publication (one secured with two or more staples, spiral binding, glue, stitching, or other permanent fastening), the identification statement must be shown conspicuously as described in [4.12.3](#) or on one of the last three nonadvertising pages inside the back cover.

#### 4.12.5 Identification Statement Content

The identification statement must contain:

- a. The publication title and number. The publication number includes an alpha prefix and must be within parentheses immediately after or below the publication title. If an International Standard Serial Number (ISSN) is assigned, it must appear in the identification statement (for example, "THE WEEKLY JOURNAL" (ISSN 9876-543X)). If an ISSN is not assigned, the USPS number assigned by the PCSC must appear in the identification statement within 90 days after being provided (for example, "THE CIVIC BULLETIN" (USPS 876-690)). The publication number may be on the front or cover page instead of in the identification statement.
- b. The issue date. The date may be omitted if it is on the front or cover page.
- c. A statement of frequency, such as described in [4.7.2](#).
- d. The issue number. Every issue of each publication must be numbered consecutively in a series that may not be broken by assigning numbers to issues unavoidably omitted. The issue number may be omitted if it is on the front or cover page.
- e. At the publisher's option, the subscription price, if the publication has one.
- f. The name and address of the known office of publication, including street number, street name, and the ZIP+4 or 5-digit ZIP Code. The street name and number are optional if there is no letter carrier service. The known office of publication must be clearly distinguishable from the name of other offices of the publication. For foreign publications, the address of the publisher's agent must be shown as the known office of publication.
- g. The imprint "Periodicals Postage Paid at..." or, if mailed at two or more offices, "Periodicals Postage Paid at... and at additional mailing offices." A notice of pending application is shown instead if copies are mailed while an application is pending: "Application to Mail at Periodicals Postage Prices is Pending at..."
- h. The mailing address for change-of-address orders, in the normal text type of the publication: "POSTMASTER: Send address changes to [publication title and mailing address]." For publications authorized for ACS, as defined in [507.4.2](#), publishers may modify this statement to say: "POSTMASTER: Send all UAA to CFS. (See DMM [707.4.12.5](#)); NON-POSTAL AND MILITARY FACILITIES: send address corrections to [publication title and mailing address]." When Undeliverable as Addressed (UAA) copies are sent to the CFS unit for ACS processing, a PS Form 3579 will not be created and any barcodes or other information on the address will not be obliterated. Change-of-address information may also be shown on the label carrier or container of publications prepared in envelopes, closed wrappers, or polybags.



## 4.13 Advertising Standards

### 4.13.1 Definition of Advertising

Advertising is restricted or prohibited by [6.1.3](#) and [6.2.3](#) for the categories of Periodicals authorization. For these standards, the term *advertising* includes:

- a. All material for the publication of which a valuable consideration is paid, accepted, or promised, that calls attention to something to get people to buy it, sell it, seek it, or support it.
- b. Reading matter or other material for the publication of which an advertising price is charged.
- c. Articles, items, and notices in the form of reading matter inserted by custom or understanding that textual matter is to be inserted for the advertiser or the advertiser's products in the publication in which a display advertisement appears.
- d. A newspaper's or periodical's advertisement of its own services or issues, or any other business of the publisher, whether in display advertising or reading matter.

### 4.13.2 Definition of Public Service Announcement

The term *public service announcement* means any announcement for which no valuable consideration is received by the publisher, which does not include any material related to the business interests of the publisher, and which promotes programs, activities, or services of federal, state, or local governments or of nonprofit organizations, or matters generally regarded as in the public interest. A public service announcement is not treated as advertising.

## 4.14 Fees

### 4.14.1 Fee Required

The required fee must accompany an application for:

- a. Periodicals mailing privileges (original entry).
- b. News agent registry.
- c. Additional entry (unless excepted in [4.14.2](#) or [4.14.3](#)).
- d. Reentry (unless excepted in [4.14.2](#) or [4.14.3](#)) to request a:
  1. Change in title, frequency of issuance, or original entry office.
  2. Change in qualification category.
  3. Change in eligibility from preferred prices or the preferred price discount to regular Outside-County prices.
  4. Modification or cancellation of an additional entry.

### 4.14.2 No Fee

No fee is charged if reentry is only to change eligibility to preferred prices or the preferred price discount.



#### **4.14.3 Single Fee**

Only one fee is charged for a single complete additional or reentry application that requests establishing, modifying, or canceling one or more additional entries if the effective dates for the requested actions do not exceed 30 calendar days.

#### **4.14.4 Return of Fee**

After an application is filed with the USPS, no part of the fee is returned to the applicant.

#### **4.14.5 Address Correction**

The fee for manual or automated address correction service is charged per notice issued.

## 5.0 Applying for Periodicals Authorization

### 5.1 Original Entry Application

#### **5.1.1 General Publications**

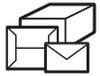
To apply for Periodicals mailing privileges in the general publication category:

- a. Form 3500 must be completed and filed at the Post Office serving the known office of publication. Part B may be left blank when submitted under the “New Launch” procedure.
- b. If the frequency of the publication includes more than one regular issue on any day, the publisher also must complete Form 3541-C. If the frequency of the publication includes more than one regular issue per month, but not on the same day, the publisher also must complete Form 3541-E. The applicable form must be submitted with Form 3500.
- c. The publisher must provide all supporting information required on the application and must keep (and make available for USPS examination) records that establish that the publication is not designed primarily for free or nominal price circulation.

#### **5.1.2 Publications of Institutions and Societies**

To apply for Periodicals mailing privileges in the publications of institutions and societies category:

- a. Form 3500 must be completed and filed at the Post Office serving the known office of publication.
- b. When a publication issued by an institution or society carries general advertising, individual subscriptions or receipts are not required; a resolution is acceptable (for example: “*Resolved: That a copy of each issue of [publication title] shall be sent to each member of [organization name] and that [\$] of each member’s annual dues of [\$] shall be for a year’s subscription to that publication.*”). Records must be kept accordingly. The written assurance of a responsible official that such records are kept must accompany the application on Form 3500, with a certified copy of the resolution adopted.
- c. The information required on the form must be submitted with the application.



### 5.1.3 Publications of State Departments of Agriculture

To apply for Periodicals mailing privileges in the publications of state departments of agriculture category:

- a. Form 3500 must be completed and filed at the Post Office serving the known office of publication.
- b. Evidence that the publication is issued by a state department of agriculture must accompany the application.

### 5.1.4 Requester Publications

To apply for Periodicals mailing privileges in the requester publication category:

- a. Form 3500 must be completed and filed at the Post Office serving the known office of publication. Part C may be left blank when submitted under the “New Launch” procedure.
- b. If the frequency of the publication includes more than one regular issue on any day, the publisher also must complete Form 3541-C. If the frequency of the publication includes more than one regular issue per month, but not on the same day, the publisher also must complete Form 3541-E. The applicable form must be submitted with Form 3500.
- c. The publisher must provide all information required on the application and must keep (and make available for USPS examination) records showing that the primary distribution of the publication is to persons who have requested it.

### 5.1.5 Foreign Publications

To apply for Periodicals mailing privileges in the foreign publication category:

- a. Form 3500 must be completed and filed at the Post Office serving the known office of publication.
- b. The publisher or publisher’s agent must have available for USPS verification all information on the form.

### 5.1.6 News Agent Registry

To apply for news agent registry:

- a. Form 3500 must be completed and filed at each Post Office where mailings are to be made.
- b. Evidence must be given to the postmaster at the mailing office that copies of publications offered for mailing are entitled to Periodicals prices and that the copies are sent to actual subscribers or other news agents for sale or distribution to requesters. The evidence provided in support of the application must include a listing of the publishers, the corresponding titles, and the publication numbers for the qualifying Periodicals publications.

### 5.1.7 Publication Copies

Applications under [5.1.1](#) through [5.1.5](#) must be accompanied by two copies of the issue published nearest to the date of application. These copies must be identified as required in [4.12](#), marked to show the advertising content, and the percentage of advertising must be shown on the cover.



707.5.1.8

### **5.1.8 Translation**

If a publication is printed in a foreign language, a brief translation of the contents of the copies (a synopsis of each article and advertisement) must accompany the application.

### **5.1.9 Fee**

The applicable fee must accompany an application for Periodicals mailing privileges or news agent registry. The fee is not refundable.

### **5.1.10 Location**

The location shown on the application as the original entry Post Office must be a Post Office. Other postal facilities (such as branches, stations, contract offices, and processing hubs) may not be authorized as original entries.

## **5.2 Mailing While Application Pending**

### **5.2.1 Mailing Before Approval**

A publisher or news agent may not mail at Periodicals prices before the PCSC approves the application for Periodicals mailing privileges. Postage at the applicable First-Class Mail, Standard Mail, or Package Services prices must be paid while the application is pending.

### **5.2.2 Record of Deposits**

If postage is paid by advance deposit account, the USPS keeps a record of deposits and mailings made while an application is pending. The amount that would be charged at the applicable Standard Mail or Package Services prices must be paid until final action is taken on the application. No record is kept of postage paid at First-Class Mail prices or of postage not paid by advance deposit account. Records are kept for First-Class Mail price mailings that may qualify for a refund under the exception in [5.3.6e](#).

## **5.3 Decision on Application**

### **5.3.1 Ruling**

The PCSC manager rules on all applications for Periodicals mailing privileges or news agent registry.

### **5.3.2 Additional Information**

Before acting on an application, the PCSC manager may ask the publisher for more information or evidence to complete or clarify the application. Failure to provide such information is sufficient grounds to deny the application.

### **5.3.3 Mailability**

If the PCSC manager grants an application for original entry, this approval does not represent a USPS determination that a publication is mailable under 39 USC 3001(a) and 18 USC 1461 and 1463. (See *Blount v. Rizzi*, 400 U.S. 410 (1971).)

### **5.3.4 Effective Date**

The authorization takes effect on the date of application or the date of eligibility for Periodicals mailing privileges (or for news agent registry), whichever is later.



### 5.3.5 Refund

Except as noted in 5.3.6, when an authorization for Periodicals mailing privileges is granted, the postmaster refunds to the applicant the difference, if any, between the postage amount deposited and the applicable Periodicals postage for copies mailed while the application was pending. Refunds are made only for mailings deposited on or after the effective date of the authorization and only if postage was paid by advance deposit account for which the entry Post Office kept the necessary records.

### 5.3.6 No Refund

No refund is made for:

- a. A denied or withdrawn application.
- b. The period before the effective date of the authorization.
- c. Postage not paid by advance deposit account for which the required records were kept.
- d. Postage at any price affixed to copies of the publication.
- e. Postage paid at Priority Mail Express or First-Class Mail prices. Exception: When postage is deposited at single-piece First-Class Mail prices because a mailing presorted and prepared as Periodicals mail is less than 200 pieces or 50 pounds, a refund may be authorized.
- f. Postage on mailings not meeting the applicable preparation or other eligibility standards for Periodicals.

### 5.3.7 Denial and Appeal

If the PCSC denies an application, the applicant is notified in writing and given the reasons for the denial. The denial takes effect 15 days from receipt of the notice by the applicant, unless an appeal is filed through the PCSC with the manager, Product Classification (see 608.8.0 for address). If the manager, Product Classification upholds the denial of an application submitted under the “New Launch” procedure or an application for news agent registry, that is the final agency decision. For other applications, the manager’s denial takes effect 15 days from the applicant’s receipt of the notice unless, during that time, an appeal is filed with the USPS Recorder under 39 CFR 954, a copy of which is included with the notice of denial.

## 5.4 Revocation or Suspension of Privileges

### 5.4.1 Revocation

The USPS revokes a publication’s or news agent’s Periodicals mailing privileges if it finds, after a hearing, that the publication or news agent no longer meets the applicable standards.

### 5.4.2 Initial Decision

The PCSC manager may ask a publisher or news agent to submit information on a publication’s eligibility for Periodicals mailing privileges. If the manager decides that a publication or news agent is no longer entitled to Periodicals mailing privileges, a notice of suspension or revocation of Periodicals mailing privileges is sent to the publisher or news agent at the last known address of the office of publication or of the news agent, giving the reasons for the ruling.



### 5.4.3 Appeal

A ruling to suspend or revoke a publication's Periodicals mailing privileges takes effect 15 days from the publisher's receipt of the notice unless, during that time, an appeal is filed through the PCSC with the manager, Product Classification (see [608.8.0](#) for address). If the manager upholds the denial of a news agent's appeal, that is the final agency decision. For other actions, the manager's decision takes effect 15 days from its receipt by the publisher unless, during that time, an appeal is filed with the USPS Recorder under 39 CFR 954, a copy of which is included with the notice.

## 6.0 Qualification Categories

### 6.1 General Publication

#### 6.1.1 Basic Standards

General publications must meet the applicable basic standards for Periodicals in [4.0](#) through [9.0](#) and [11.0](#) and must be originated and published to disseminate information of a public character or be devoted to literature, the sciences, art, or some special industry.

#### 6.1.2 Circulation Standards

General publications must meet these circulation standards:

- a. General publications must have a legitimate list of subscribers who have paid or promised to pay, at more than a nominal price, for copies to be received during a stated time.
- b. Records for subscriptions to a publication obtained with subscriptions to one or more other publications must be kept so that individual subscriptions to each publication, by title, can be verified.
- c. Persons whose subscriptions are obtained at a nominal price and those whose copies bear an alternative form of address must not be included in the legitimate list of subscribers. These copies must be treated as nonsubscriber copies, subject to the applicable price.
- d. Subscriptions may be paid for with dues or contributions, if the dues or contributions and the subscription price are separated to show compliance with [6.1.2](#), including the amount paid for the subscription. The USPS may require evidence of compliance (such as the forms used to obtain payments for dues and subscriptions). For example, dues statements would read: "Annual membership dues of [\$] include [\$] for a 1-year subscription to [Title]."
- e. To determine whether a subscription is genuine, it must be so separated from all other business transactions as to constitute a distinct, voluntary, and independent act. Publishers must be able to show that subscriptions to their publications are voluntary, that the subscription price is paid or definitely promised, and that the relationship of subscriber is understood and agreed to.
- f. At least 50% of a publication's distribution must be to persons who have paid above a nominal price. (For explanation of how electronic copies may be included, see [6.5](#)). Nominal price subscriptions include those sold at a



subscription price so low that the price cannot be considered a material consideration; or at a reduction to the subscriber (under a premium offer or any other arrangement) of more than 70% of the basic annual subscription price that would entitle the subscriber to receive one copy of each issue published during the subscription period. The value of a premium is considered its actual cost to the publisher, its recognized retail value, or its represented value, whichever is highest.

- g. Publications primarily designed for free circulation and/or circulation at nominal prices may not qualify for the general publications category. For this standard, the distribution of all copies of a publication is considered, whether circulated in the mail or otherwise. Publications are considered primarily designed for free circulation and/or circulation at nominal prices when more than 50% of all copies circulated are:
  1. Provided free of charge to the ultimate recipients;
  2. Paid for at nominal prices by the ultimate recipients;
  3. Addressed with an alternative form of address; or
  4. Intended by the publisher to be circulated for free and/or at nominal prices.

### 6.1.3 Advertising Standards

Advertising is defined in 4.13. General publications primarily designed for advertising purposes do not qualify for Periodicals mailing privileges, including publications that:

- a. Contain more than 75% advertising in more than half of the issues published during any 12-month period.
- b. Are owned or controlled by individuals or business concerns and conducted as an auxiliary to and essentially for the advancement of any other business or calling of those who own or control the publications.
- c. Consist principally of advertising and articles about advertisers in the publication.
- d. Have only a token list of subscribers and that print advertisements free for advertisers who pay for copies to be sent to a list of persons furnished by the advertisers.
- e. Are published under a license from individuals or organizations and that feature other businesses of the licensor.

## 6.2 Publications of Institutions and Societies

### 6.2.1 Basic Standards

A publication that meets the applicable basic standards in 4.0 through 9.0 and 11.0 and contains only the publisher's own advertising and not, under any condition, the advertising of other persons or organizations, is eligible for Periodicals mailing privileges if it is:

- a. Published by a regularly incorporated nonprofit institution of learning, that is, an organization of a permanent nature where instruction is given in the higher branches of education only, owing its origin to private or public munificence, and established solely for the public good and not for private gain.



### 707.6.2.2

- b. Published by a regularly established state institution of learning supported in whole or in part by public taxation.
- c. Published by any public or nonprofit private elementary or secondary institution of learning or its administrative or governing body.
- d. A bulletin issued by a state board of health, a state industrial development agency, a state conservation or fish and game agency or department, or a state board or department of public charities or corrections.
- e. A program announcement or guide published by an educational radio or television agency of a state or political subdivision thereof or by a nonprofit educational radio or television station.

#### **6.2.2 Types of Publications**

Publications are eligible for Periodicals mailing privileges if they meet the basic standards of [4.0](#), contain only the publisher's own advertising or general advertising subject to [6.2.3](#), and are published by:

- a. A benevolent or fraternal society or order organized under the lodge system and having a bona fide membership of at least 1,000 persons (publications under the auspices of the society or order are also eligible).
- b. A trade union (publications under the auspices of the union are also eligible).
- c. A strictly professional society, that is, a group consisting solely of persons who have obtained professional status by advanced educational training, experience, specialized interest, or peer examination. Where applicable, public certification in a particular field of the arts or sciences (such as engineering, law, or medicine) is considered in determining eligibility. The members must be engaged in their given profession under its binding standards of performance and conduct on which the public is entitled to rely.
- d. A strictly literary society, that is, an organization whose sole purpose is to encourage and cultivate an appreciation of general literature, a literary subject, or an author of recognized literary accomplishment. The membership must be composed of individuals who discuss or analyze the style, composition, or other characteristics of the literature or authors in which they have a common interest.
- e. A strictly historical society, that is, an organization whose sole purpose is to discover, collect, and systematically record the history of civilization or of a particular segment. Such a society should preserve this material and make it available to its members and the general public, and should extend education by producing published material, holding regular meetings, presenting addresses and lectures, or using mass media.
- f. A strictly scientific society, that is, an organization whose sole purpose is to bring individuals together for scientific investigations and pursuits in the applied, pure, or natural sciences, and to disseminate technical information on these subjects.



- g. A church (a congregation of worshippers who conduct religious services) or a church organization (organizations of individual churches, organizations that are subsidiary to individual churches, and national or regional organizations of churches).

### 6.2.3 Advertising Standards

Advertising is defined in 4.13. A publication qualifying for Periodicals mailing privileges under 6.2.2 may contain advertising of other organizations or persons if:

- a. It is not designed or published primarily for advertising purposes.
- b. It is originated and published to further the purposes of the qualifying organization.
- c. Its mailed circulation is limited to copies mailed to members who pay, either as a part of their dues or assessments or otherwise, at least 30% of the subscription price regularly charged to other members; to other actual subscribers; to exchanges; and to 10% of such circulation as sample copies. When members pay for their subscriptions as a part of their dues or assessments, individual subscriptions or receipts are not required.

## 6.3 Publications of State Departments of Agriculture

### 6.3.1 Basic Standards

A publication issued by a state department of agriculture that meets the basic standards in 4.0 is eligible for Periodicals mailing privileges if it is published to further only the objectives of the department and contains no advertising.

### 6.3.2 In-County Prices

For determining the number of copies qualifying for In-County prices, all circulated copies are considered subscriber copies and the total number of such copies is the total paid circulation.

## 6.4 Requester Publications

### 6.4.1 Basic Standards

[1-27-13] A publication, whether circulated free or to subscribers, may be authorized Periodicals prices if it meets the basic standards in 4.0 and:

- a. Each issue contains at least 24 pages.
- b. Contains more than 75% advertising in no more than 25% of the issues published during any 12-month period.
- c. The publication is not owned or controlled by one or more individuals or business concerns and conducted as an auxiliary to and essentially for the advancement of the main business or calling of those who own or control the publication.

### 6.4.2 Circulation Standards

Requester publications must meet these circulation standards:

- a. The publication must have a legitimate list of persons who have requested the publication, and 50% or more of the copies must be distributed to persons who have made such requests.



### 707.6.5

- b. Subscription copies of the publications that are paid for or promised to be paid for, including those at or below a nominal price, may be included in the determination of whether the 50% request requirement is met. (For explanation of how electronic copies may be included, see 6.5.)
- c. Persons are not deemed to have requested the publication if their request is induced by a premium offer or by receipt of material consideration.
- d. Records of requests for a publication obtained with subscriptions or requests for one or more other publications must be kept so that individual subscriptions or requests for each publication, by title, can be verified.
- e. Requests that are more than 3 years old cannot be considered valid requests. Copies addressed using an alternative address format are not considered requested copies, and persons are not considered to have requested the publication if their copies are addressed in that manner.
- f. When a requester publication is issued by a membership organization, the organization may adopt a resolution specifying that each member receive a copy of each issue of the publication. *For example: "Resolved: That a copy of [publication title] shall be sent to each member of [organization name]."* Records must be kept to show that the publication is sent to organization members. Form 3500 must be accompanied by a certified copy of the resolution adopted and the written assurance of a responsible official that the required records are kept.

### 6.5 Electronic Copies

Copies of Periodicals publications distributed through email or by accessing a password protected website may be counted toward an approved or pending general or requester publication's eligibility for Periodicals prices. The following conditions additionally apply:

- a. Electronic copies that may be counted toward a publication's eligibility for Periodicals prices:
  - 1. Must be paid at a price above nominal rate for publications approved in the General category; or
  - 2. Must be requested in writing or by electronic correspondence for publications approved in the Requester category.
- b. Electronic copies of a Periodicals publication for which access is offered free in conjunction with printed copies of the same issues may not be counted when determining total circulation for the publication.
- c. At least 40% of the total circulation of each issue must consist of printed copies distributed to paying subscribers or requesters, as applicable. Up to 10% of the distributed copies used to qualify or remain eligible for Periodicals prices may be copies that are paid or requested to be sent electronically.
- d. If less than 60% of a Periodicals publication's total circulation consists of printed copies distributed to paying subscribers or requesters, as applicable, annual Postal eligibility audits must be conducted by a certified audit bureau.



## 6.6 Foreign Publications

### 6.6.1 Basic Standards

Foreign newspapers and other periodicals that meet the basic standards in 4.0 and have the same general character as domestic publications entered as Periodicals may be granted Periodicals mailing privileges.

### 6.6.2 Known Office

The known office of publication may be the office of the publisher's agent.

### 6.6.3 Prices

Foreign publications eligible for Periodicals mailing privileges are subject to the same Periodicals prices as domestic (U.S.) publications.

### 6.6.4 Circulation Standards

Review of applications is based only on U.S. circulation.

### 6.6.5 Copyright

This standard does not authorize the mailing of a publication that violates a copyright granted by the United States.

## 6.7 News Agent Registry

### 6.7.1 Definition

The term *news agent* means a person or concern selling two or more Periodicals publications published by more than one publisher.

### 6.7.2 Authorization

A news agent must be authorized by the USPS before the agent may mail Periodicals publications at Periodicals prices.

### 6.7.3 Remailing

A news agent may not remove bundles of copies from a Post Office, write an address on each copy, and return them to the office for dispatch or delivery without paying additional postage.

### 6.7.4 Unsold Copies

Unsold copies returned to the publishers or other news agents, or copies sent to other news agents for purposes other than sale, or sent to persons not having subscriptions with news agents, are subject to the Outside-County Periodicals prices.

### 6.7.5 Parts Returned

[1-27-13] Parts of publications returned to publishers to show that copies have not been sold are subject to the applicable Standard Mail, Standard Post, or Package Services prices.



707.7.1

### 7.0 Mailing to Nonsubscribers or Nonrequesters

#### 7.1 **Sample Copies**

Sample copies are nonsubscriber or nonrequester copies. They may be mailed at the prices and under the applicable standards below and in [10.0, Preferred Periodicals](#).

#### 7.2 **Simplified Address**

Nonsubscriber or nonrequester copies may be mailed to boxholders with each copy addressed in the simplified address format if such copies are mailed to each boxholder on a rural route or highway contract route, or to each boxholder at Post Offices that do not have city carrier service. Copies addressed in this manner may be mailed only to nonsubscribers or nonrequesters. If simplified address mailing results in a subscriber or requester receiving a copy in addition to his or her subscriber or requester copy, the additional copy is considered a nonsubscriber or nonrequester copy.

#### 7.3 **Advertising Copies**

Copies paid for by advertisers or others for advertising purposes are nonsubscriber or nonrequester copies. Copies mailed for advertising purposes under arrangements with advertisers or others, and copies mailed by a publisher acting as an agent for an advertiser, are nonsubscriber or nonrequester copies. Those copies are subject to the applicable prices for nonsubscriber or nonrequester copies.

#### 7.4 **Gift Subscriptions**

The subscription list may contain the names of persons whose subscriptions were paid by other individuals as gifts; these subscriptions are considered subscriber copies. Subscriptions paid by advertisers or other persons promoting their own interests, and subscriptions given free by the publisher, are *not* gift subscriptions, and are considered nonsubscriber or nonrequester copies subject to the corresponding prices.

#### 7.5 **Exchange Copies**

A small part of the subscription list may contain publishers to whom copies are sent in exchange for copies of the recipients' publications. Only one copy may be sent to each publisher. These exchange copies are considered subscriber or requester copies.

#### 7.6 **Expired Subscription**

Copies may be mailed at the prices that apply to subscriber copies for 6 months after a subscription has expired if the publisher makes a good-faith attempt to obtain payment or a promise of payment for a renewal during the 6-month period. These copies are *not* considered subscriber copies for determining eligibility for Periodicals mailing privileges, the base for computing the 10% nonsubscriber limits, or whether an issue is a bona fide issue under [8.0, Record Keeping Standards for Publishers](#).

#### 7.7 **Complimentary Copies**

All complimentary copies, including copies sent in fulfillment of subscriptions given free by the publisher, are considered nonsubscriber or nonrequester copies subject to the corresponding prices.



## 7.8 Proof Copies

One complete copy of each issue may be mailed at the applicable subscriber prices to each advertiser (or representative or agent) in the issue to prove that the advertisements are printed. These copies are considered subscriber or requester copies for Periodicals prices and eligibility. If more than one proof copy in an issue is sent to an advertiser (or representative or agent), the additional copies are considered nonsubscriber or nonrequester copies subject to the corresponding prices.

## 7.9 Nonrequester and Nonsubscriber Copies

### 7.9.1 Nonrequester Copies

For authorized Periodicals requester publications, up to 10% of the total number of copies mailed to requesters during the calendar year may be mailed to nonrequesters at the Outside-County Periodicals prices, provided that those copies would be eligible for Outside-County prices if mailed to requesters, and if the copies are presorted under applicable standards. Nonrequester copies within the 10% limit do not need to be commingled in a mailing with requester copies to be eligible for Outside-County prices.

### 7.9.2 Nonsubscriber Copies

For other publications authorized Outside-County prices, up to 10% of the total number of copies mailed to subscribers during the calendar year may be mailed to nonsubscribers at the Outside-County Periodicals prices, provided that those copies would be eligible for Outside-County prices if mailed to subscribers, and if the copies are presorted under applicable standards. Nonsubscriber copies within the 10% limit do not need to be commingled in a mailing with subscriber copies to be eligible for Outside-County prices.

### 7.9.3 Preferred Prices

For In-County prices, Nonprofit, Classroom, Science-of-Agriculture, Limited Circulation, and Limited Circulation Science-of-Agriculture publications, nonsubscriber (for Periodicals except requester publications) or nonrequester (for requester publications) copies up to 10% of the total number of copies mailed to subscribers or requesters during the calendar year may be mailed at the applicable Preferred prices or Preferred price discount, provided that the nonsubscriber or nonrequester copies would qualify as Preferred price or Preferred price discount publications if mailed to subscribers or requesters and if the copies are presorted under applicable standards. Nonsubscriber or nonrequester copies mailed over the 10% limit are not eligible for Preferred prices or the Preferred price discount. To qualify for regular Outside-County prices, the nonsubscriber or nonrequester copies over the 10% limit must be part of a presorted, commingled mailing (one that includes subscriber or requester copies). Subject to [11.3](#), nonsubscriber or nonrequester copies may be mailed at In-County prices up to a 10% limit of the total number of subscriber or requester copies of the publication mailed at In-County prices during the calendar year. Once the 10% calendar year limit is exceeded for the number of nonsubscriber or nonrequester copies that may be mailed at Preferred prices or the Preferred price discount, the nonsubscriber or nonrequester copies may not then be mailed at In-County prices even if the 10% limit separately applied to those pieces is not exceeded.



### **7.9.4 Publications of Institutions and Societies**

For publications of institutions and societies that are not authorized to contain general advertising, all circulated copies are considered subscriber copies and the total number of such copies is the total paid circulation.

### **7.9.5 Mixed Preferred and Regular Prices**

For publications authorized both Outside-County prices and In-County prices and/or Science-of-Agriculture prices, once the total number of nonsubscriber or nonrequester copies mailed during the calendar year (regardless of price) exceeds 10% of the total number of copies mailed to subscribers or requesters during the calendar year, further mailings of nonsubscriber or nonrequester copies are not eligible for any Preferred price. Nonsubscriber, or nonrequester, copies over the 10% allowance must be part of a presorted commingled mailing (i.e., including subscriber or requester copies) to qualify for Outside-County prices.

### **7.9.6 Copies Over 10% Allowance**

Nonsubscriber or nonrequester copies, over 10% of the total number of copies mailed to subscribers or requesters during the calendar year, are eligible for Outside-County prices when they are commingled and presorted with subscriber or requester copies.

### **7.9.7 Excess Noncommingled Mailing**

[1-27-13] A mailing is not eligible for Periodicals prices if it consists entirely of nonsubscriber or nonrequester copies over the 10% limit of the total number of copies mailed to subscribers or requesters during the calendar year. These copies are subject to the appropriate Priority Mail Express, First-Class Mail, Standard Mail, Standard Post, or Package Services price.

### **7.9.8 Mixed Mailing**

[1-27-13] If all copies in a mailing are to nonsubscribers or nonrequesters, and some copies are within the 10% limit while the rest are over the 10% limit, the portion exceeding the 10% limit is not eligible for Periodicals prices. That portion is subject to the appropriate Priority Mail Express, First-Class Mail, Standard Mail, Standard Post, or Package Services price.

## 8.0 Record Keeping Standards for Publishers

### 8.1 Basic Standards

#### **8.1.1 Purpose**

The publisher must keep records that can support the information required on the application for Periodicals mailing privileges (or any other form) and to confirm eligibility for entry of the publication at the requested Periodicals price. The records must show that the publication is distributed to a legitimate list of requesters (if applicable) or is not designed primarily for free circulation or circulation at nominal prices. If the publication is authorized to carry general advertising, the publisher must keep a list of legitimate subscribers. A publication of an institution or society that is authorized to carry general advertising may keep a list of subscribers or proof of a resolution to distribute to members, similar to that described in [5.0, Applying for Periodicals Authorization](#).



### 8.1.2 Information Required

Records must be available so that the USPS can determine:

- a. Number of copies printed.
- b. Manner of distribution and disposition of all copies.
- c. Accuracy of the zone distribution on the postage statement.
- d. The existence of a list of legitimate subscribers who have paid more than a nominal subscription price for publications authorized to carry general advertising (other than requester publications).
- e. The existence of a legitimate list of requesters for requester publications.

### 8.1.3 Retention

The publisher must keep records for each issue of a publication for 3 years from its issue date, except for circulation records for general or requester publications for which USPS verification of circulation is done by a USPS- authorized audit bureau. A publisher whose records are verified by an authorized audit bureau is not required to keep source records of requests and subscriptions longer than required by the audit bureau.

### 8.1.4 Types of Records

These records may be used to meet the standards in [8.1.1](#) and [8.1.2](#):

- a. Print orders and invoices showing the total number of copies printed.
- b. Individual and bulk orders for subscriptions and nonsubscriber copies.
- c. Newsstand and vending machine sales and returns.
- d. Stubs or copies of receipts issued.
- e. Sales records and returns for over-the-counter sales.
- f. Cash books, bank deposit receipts, or similar records.
- g. Records of copies of the publication destroyed.

## 8.2 Verification

### 8.2.1 Purpose

A publisher must make records available for USPS review and verification on a periodic basis to evaluate indications of ineligibility for Periodicals entry, to verify that the postage statement shows the correct number of copies mailed to each zone and the correct postage, and to confirm that publications authorized to carry general advertising meet the applicable circulation standards.

### 8.2.2 Authorized Verification

USPS employees or an authorized audit bureau may conduct verifications of circulation for an application for Periodicals mailing privileges, reentry application, or other required circulation verification of general or requester publications.



#### **8.2.3 Independent Audit Bureau**

To have an authorized independent audit bureau conduct the audit, the publisher must make that request directly to the bureau and advise the original entry postmaster. The audit bureau coordinates the verification with the original entry postmaster.

### **8.3 Statement of Ownership, Management, and Circulation**

#### **8.3.1 Filing Form 3526**

The publisher of each Periodicals publication, including foreign publications accepted at Periodicals prices, must file Form 3526 by October 1 of each year at the original entry Post Office.

#### **8.3.2 Content**

The information provided on Form 3526 must allow the USPS to determine whether the publication meets the standards for Periodicals mailing privileges. This information includes, as applicable, the identity of the editor, managing editor, publishers, and owners; the owning corporation and its stockholders; any further corporations and stockholders that own at least 1% of the stock of a corporation owning the publication; known bondholders, mortgagees, and other security holders; and the extent and nature of the circulation of the publication, including the number of copies distributed, the methods of distribution, and how much of the circulation is paid in whole or in part.

#### **8.3.3 Publication**

The publisher of each publication authorized Periodicals mailing privileges as a general or requester publication must publish a complete statement of ownership, containing all information required by Form 3526, in an issue of the publication to which that statement relates; other publications are not required to publish this statement. A reproduction of the Form 3526 submitted to the USPS may be used. The required information must appear in an issue whose primary mailed distribution is produced not later than October 10 for publications issued more frequently than weekly, or not later than October 31 for publications issued weekly or less frequently but more frequently than monthly; or in the first issue whose primary mailed distribution is produced after October 1 for all other publications.

#### **8.3.4 Noncompliance**

If a publisher does not comply with the filing or publishing standards of [8.3](#) and, after receipt of notice by Certified Mail from the postmaster, the publisher further fails to comply within 10 days, the postmaster must notify the Pricing and Classification Service Center (PCSC) (see [608.8.4](#) for contact information). The PCSC may suspend or revoke the Periodicals mailing privileges, as appropriate.

### **8.4 Nonsubscriber and Nonrequester Copy Distribution**

#### **8.4.1 Multiple Issues—Same Day**

The USPS may require the publisher to submit Form 3541-C when an issue is regularly published on the same day as another issue of the same publication under the Periodicals authorization of the parent publication. When requested, the publisher must complete Form 3541-C and attach it to the postage statements submitted with the corresponding mailings.



#### 8.4.2 Multiple Issues—Different Days

The USPS may require the publisher to submit Form 3541-E when an issue is regularly published during the same month as another issue of the same publication under the Periodicals authorization of the parent publication. When requested, the publisher must complete Form 3541-E and attach it to the postage statements submitted with the corresponding mailings.

## 9.0 Changing Title, Frequency, or Known Office of Publication

### 9.1 General

#### 9.1.1 When Required

Except under [9.1.2](#), the publisher must file an application for reentry on Form 3510 to change the title, frequency of issue, or location of the known office of publication of an authorized Periodicals publication.

#### 9.1.2 When Not Required

An application for reentry is not required if the current and requested known offices of publication are served by the same Post Office; or if only the ownership of the publication is changed, unless that change disqualifies the publication from eligibility under [10.0](#) for Preferred Periodicals prices or from authorized entry under [6.0](#) as a publication of an institution or society, as a publication of a state department of agriculture, or as a foreign publication.

#### 9.1.3 Where to File

To change the title or frequency of issuance of a Periodicals publication, the publisher must submit Form 3510 to the original entry postmaster. To relocate the known office of publication, the publisher must submit Form 3510 to the postmaster with jurisdiction over the requested new location.

#### 9.1.4 Accompanying Documentation

A Form 3510 must be accompanied by two copies of the publication that show the new title (if applicable), and that contain a complete identification statement that reflects the changes requested. A proof copy of the amended identification statement is acceptable if the publisher specifies the issue in which it is to be printed. Other documentation may be required:

- a. To change frequency of issuance: Form 3541-C (if the requested frequency of issuance includes more than one regular issue on any day) or Form 3541-E (if the requested frequency of issuance includes more than one regular issue per month, but not on the same day) (see [8.0, Record Keeping Standards for Publishers](#)).
- b. To change known office of publication: A complete distribution plan, including details on the mail volume to be deposited at the new original entry Post Office, regardless of whether relocation of the original entry Post Office changes that information. An additional fee (under [30.0, Additional Mailing Offices](#)) is not required if distribution changes affect only the original entry Post Office.



#### **9.1.5 Location**

The location shown on Form 3510 for the new original entry Post Office must be a Post Office. Other postal facilities (such as branches, stations, contract offices, and processing hubs) are not authorized as original entries.

#### **9.1.6 Same County**

Reentry may be authorized at a new original entry Post Office in the same county as an existing additional entry Post Office. If the publication is eligible for In-County prices, the publisher must give the original entry postmaster a copy of all postage statements claiming those prices to support compliance with the standards for In-County prices.

#### **9.1.7 Filing Date**

Publishers wanting to change the title or frequency of issuance of a publication must file Form 3510 by the date on which copies are to be issued with the new title or on the new frequency.

#### **9.1.8 Effective Date**

To permit adjustment of transportation without penalty to the USPS, the USPS may delay the effective date for a publisher's requested change in the known office of publication if the application was filed fewer than 40 days before that date.

#### **9.1.9 Reentry Denial**

Reentry may be denied at a Post Office where resources are not adequate for efficient and economical handling by postal transportation, distribution, and processing systems.

#### **9.1.10 Application Fee**

The correct fee must accompany an application for reentry to change a publication's title, frequency of issuance, or known office of publication. The fee is not refundable. No additional fee is required when Form 3500 is required as part of a reentry application.

#### **9.1.11 Multiple Reentry Requests**

A publisher may file a single Form 3510 and pay a single fee to request more than one reentry action under [9.1](#) if all required documentation is submitted with the Form 3510; all required information is given about the distribution plan for mailings at the new original entry Post Office (if applicable); and the effective dates for the reentry actions do not cover more than 30 calendar days. The publisher must submit a separate Form 3510 (and pay the fee) for each reentry action that cannot meet these conditions.

#### **9.1.12 Other Actions**

A publisher must submit a separate Form 3510 (and pay any required fee) for each reentry action under [28.4, Deposit at AMC/AMF](#), [30.0, Additional Mailing Offices](#), [10.0, Preferred Periodicals](#) (no fee), or [9.2, Changing Qualification Categories](#). A publisher must file a separate Form 3510 (and pay the applicable fee) if modifications are made to the publication's distribution plan other than the frequency of issuance or the location of the original entry Post Office.



## 9.2 Changing Qualification Categories

### 9.2.1 When Required

To change the category under which a publication is authorized Periodicals mailing privileges, the publisher must file an application for reentry on Form 3510 with the original entry postmaster and pay the applicable fee.

### 9.2.2 When Not Required

An application for reentry is not required if only the ownership of the publication is changed, unless that change disqualifies the publication for eligibility for Preferred Periodicals prices, or for entry as a publication of an institution or society, as a publication of a state department of agriculture, or as a foreign publication.

### 9.2.3 Forms Required

The publisher must submit Form 3500 and Form 3510 to change a publication's authorization category.

### 9.2.4 Filing

The publisher must make all filings under [9.2.3](#) to the original entry postmaster.

## 9.3 Application for Reentry

### 9.3.1 Pending

While an application for reentry is pending, copies of an authorized Periodicals publication are accepted for mailing at the Periodicals prices, subject to [9.3.5](#), *Effective Date*.

### 9.3.2 Additional Information

The PCSC manager may ask for more information or evidence from the publisher to complete or clarify an application. Failure to provide such information is sufficient grounds to deny the application.

### 9.3.3 Proof of Compliance

The publisher must be able to show to USPS satisfaction that the publication, as reentered, still meets all applicable Periodicals standards. As evidence of continued qualification that must be made available with the application for reentry, circulation records must be available to allow USPS verification that all issues or editions of the publication, as reentered, meet applicable standards. Other documentation, including circulation records for other issues or editions, must be available on request. Failure to provide this evidence is sufficient grounds to deny the reentry request.

### 9.3.4 Ruling

The PCSC manager reviews and rules on an application for reentry.

### 9.3.5 Effective Date

An entry office may not be used before authorization by the USPS. A publisher may not pay postage at another price to deposit copies at an unauthorized entry office. Subject to the restrictions in [9.1.12](#) through [9.1.10](#), the effective date of a reentry authorization is the application date (if the publication was eligible on that date) or the eligibility date (if the publication became eligible after the application date). The requested effective date for a change in original entry office may be deferred temporarily until transportation or mail processing resources are in place to handle



the action requested. If deferral is due to USPS transportation contract limitations, the publisher's requested effective date may be approved with the publisher's agreement to reimburse the USPS for any cost caused by modifying or canceling contracted transportation.

#### **9.3.6 Denial After Verification**

If a verification shows that the publication (as modified by the requested reentry) does not meet applicable Periodicals standards, the PCSC manager denies the reentry application and notifies the publisher in writing of the reasons for the denial. Within 15 days of receipt of such notice, the publisher may either return to the publication status before the application for reentry was submitted or appeal the denial under [9.3.8](#). If no appeal is filed, the denial takes effect 15 days from the publisher's receipt of the manager's decision.

#### **9.3.7 Denial—No Verification**

If the PCSC manager denies an application not requiring verification, the publisher receives written notice of the reasons for denial. The denial takes effect 15 days from the publisher's receipt of the notice, unless the publisher files an appeal under [9.3.8](#) within that time.

#### **9.3.8 Appeal**

An appeal must be filed through the PCSC with the manager, Product Classification (see [608.8.0](#) for address). The manager, Product Classification may ask the publisher for more information or evidence to clarify the appeal. Failure to provide such information is sufficient grounds to deny the appeal.

#### **9.3.9 Mailing During Appeal**

During the appeal:

- a. Copies of any issue of a publication denied reentry under [9.1](#) and found unqualified for Periodicals mailing privileges are accepted in a pending status at the applicable Standard Mail or Package Services prices. For this standard, the pending status begins when the appeal is filed and continues until the end of the appeal process.
- b. Copies of a publication denied reentry under [9.2](#) are accepted at the currently applicable Periodicals price.
- c. The publisher must submit the applicable Periodicals and Standard Mail or Package Services postage statements with each mailing of the publication in a pending status. Failure to submit these statements is sufficient grounds to deny a postage refund under [9.3.12](#).

#### **9.3.10 Refund**

If the reentry application is approved on appeal, the publisher receives the difference between the applicable Periodicals postage and the Standard Mail or Package Services postage paid during the pending status if the publisher submits records to substantiate the amount of the refund. If the reentry application is denied on appeal, no refund is made.

#### **9.3.11 Final Decision**

The manager, Product Classification (see [608.8.0](#) for address) issues the final agency decision on appeals under [9.1](#).

**9.3.12 Denial**

If the manager denies an appeal under [9.2, Changing Qualification Categories](#), it is effective 15 days from the publisher's receipt of the decision unless, during that time, the publisher files an appeal with the USPS Recorder under 39 CFR 954, a copy of which accompanies the decision.

**10.0 Preferred Periodicals****10.1 Nonprofit Eligibility—Basic Standards****10.1.1 Authorization**

To be mailed at Nonprofit Periodicals prices, a publication must be granted Periodicals entry and a Nonprofit authorization for which eligibility was established under [10.2](#) or [10.3](#).

**10.1.2 Other Prices and Discounts Claimed**

Each piece must also meet the standards for the prices or discounts claimed. Nonsubscriber or nonrequester copies are subject to [7.0](#).

**10.1.3 Advertising Percentage**

Publications with an advertising percentage of 10% or less are considered 100% nonadvertising for price purposes. Those publications may use "0" as the advertising percentage when computing the nonadvertising adjustment to be applied to the outside-county piece price charges. Publications with an advertising percentage exceeding 10% are subject to Outside-County pound prices for the entire advertising portion of the publication.

**10.2 Nonprofit Eligibility—Qualified Organizations****10.2.1 Types of Organizations**

A publication issued by and in the interest of one of the types of organizations described in [10.2.3](#) through [10.2.10](#) qualifies for the Nonprofit prices if the organization is not organized for profit and none of its net income inures to the benefit of any private stockholder or individual.

**10.2.2 Primary Purpose**

The standard of *primary purpose* used in the definitions in [10.2.3](#) through [10.2.10](#) requires that the organization be both organized and operated for the primary purpose. Organizations that incidentally engage in qualifying activities do not meet the primary purpose test.

**10.2.3 Religious Organization**

A *religious organization* is a nonprofit organization whose primary purpose is to:

- a. Conduct religious worship (such as churches, synagogues, temples, or mosques);
- b. Support the religious activities of nonprofit organizations whose primary purpose is to conduct religious worship; or
- c. Further the teaching of particular religious faiths or tenets, including religious instruction and the dissemination of religious information.



707.10.2.4

### 10.2.4 Educational Organization

An *educational organization* is a nonprofit organization whose primary purpose is the instruction or training of individuals for improving or developing their capabilities or the instruction of the public on subjects beneficial to the community. An organization may be educational even though it advocates a particular position or viewpoint, as long as it presents a specifically full and fair exposition of the pertinent facts to permit the formation of an independent opinion or conclusion. Conversely, an organization is not considered educational if its principal function is the mere presentation of unsupported opinion. These are examples of educational organizations:

- a. An organization (such as a primary or secondary school, a college, or professional or trade school) that has a regularly scheduled curriculum, a regular faculty, and a regularly enrolled body of students in attendance at a place where educational activities are regularly carried on.
- b. An organization whose activities consist of presenting public discussion groups, forums, panels, lectures, or similar programs, including on radio or television.
- c. An organization that presents a course of instruction by correspondence or on television or radio.
- d. Museums, zoos, planetariums, symphony orchestras, and similar organizations.

### 10.2.5 Scientific Organization

A *scientific organization* is a nonprofit organization whose primary purpose is to conduct research in the applied, pure, or natural sciences or to disseminate technical information dealing with the applied, pure, or natural sciences.

### 10.2.6 Philanthropic (Charitable) Organization

A *philanthropic (charitable) organization* is a nonprofit organization organized and operated to benefit the public. Examples include those that are organized to relieve the poor, distressed, or underprivileged; to advance religion, education, or science; to erect or maintain public buildings, monuments, or works; to lessen the burdens of government; or to promote social welfare for any of the above purposes or to lessen neighborhood tensions, eliminate prejudice and discrimination, defend human and civil rights secured by laws, or combat community deterioration and juvenile delinquency. That an organization organized and operated to relieve indigent persons receives voluntary contributions from those persons does not necessarily make it ineligible for Nonprofit prices as a philanthropic organization. That an organization, in carrying out its primary purpose, advocates social or civic changes or presents ideas on controversial issues to influence public opinion and sentiment to accept its views does not necessarily make it ineligible for Nonprofit prices as a philanthropic organization.

### 10.2.7 Agricultural Organization

An *agricultural organization* is a nonprofit organization whose primary purpose is the betterment of the conditions of those engaged in agricultural pursuits, improvement of the grade of their products, and the development of a higher degree of efficiency in agriculture; or the collection and dissemination of information or materials about



agriculture. The organization may further and advance agricultural interests through educational activities; by holding agricultural fairs; by collecting and disseminating information about cultivation of the soil and its fruits or the harvesting of marine resources; by rearing, feeding, and managing livestock, poultry, bees, and so forth; or by other activities related to agricultural interests.

#### **10.2.8 Labor Organization**

A *labor organization* is a nonprofit organization whose primary purpose is the betterment of the conditions of workers. Labor organizations include, but are not limited to, organizations in which employees or workers participate, whose primary purpose is to deal with employers about grievances, labor disputes, wages, hours of employment, working conditions, and so forth (such as labor unions and employee associations).

#### **10.2.9 Veterans' Organization**

A *veterans' organization* is a nonprofit organization of veterans of the armed services of the United States, or an auxiliary unit or society of, or a trust or foundation for, any such post or organization.

#### **10.2.10 Fraternal Organization**

A *fraternal organization* is a nonprofit organization whose primary purpose is fostering fellowship and mutual benefits among its members. For this standard, a qualified fraternal organization must also be organized under a lodge or chapter system with a representative form of government; must follow a ritualistic format; and must be composed of members who are elected to membership by vote of the members. Qualifying fraternal organizations include the Masons, Knights of Columbus, Elks, and college fraternities or sororities, and may have members of either or both sexes. Fraternal organizations do not include such organizations as business leagues, professional associations, civic associations, or social clubs.

### **10.3 Nonprofit Eligibility—Other Qualified Organizations**

#### **10.3.1 Basic Standards**

Subject to [10.3.2](#), a publication may qualify for Nonprofit prices regardless of the nonprofit status of the publishing organization if it is a:

- a. Publication issued by and in the interest of an association of rural electric cooperatives.
- b. Program announcement or guide published by an educational radio or television agency of a state or political subdivision thereof or by a nonprofit educational radio or television station.
- c. Publication of the official highway or development agency of the state that meets the standards for a general publication in [6.0](#) and contains no advertising other than the publisher's own advertising.
- d. Conservation publication published by a state agency responsible for management and conservation of the fish or wildlife resources of that state.

#### **10.3.2 Limits**

Only one publication per qualifying organization may qualify for the Nonprofit prices under [10.3.1c](#) or [10.3.1d](#).



707.10.4

### **10.4 Classroom Eligibility**

#### **10.4.1 Authorization**

To be mailed at the Classroom Periodicals prices, a publication must be granted Periodicals entry and a Classroom price authorization.

#### **10.4.2 Types of Publications**

Classroom prices apply only to educational, religious, or scientific publications designed for use in school classrooms or religious instruction classes.

#### **10.4.3 Other Prices and Discounts Claimed**

Each piece must also meet the standards for prices or discounts claimed. Nonsubscriber copies are subject to 7.0. Subject to 29.0, the DDU or DSCF piece price applies to each piece claimed in the pound price portion at the corresponding price.

#### **10.4.4 Advertising Percentage**

Publications with an advertising percentage of 10% or less are considered 100% nonadvertising for price purposes. Those publications may use “0” as the advertising percentage when computing the nonadvertising adjustment to be applied to the outside-county piece price charges. Publications with an advertising percentage exceeding 10% are subject to Outside-County pound prices for the entire advertising portion of the publication.

### **10.5 Application**

#### **10.5.1 Filing**

The Preferred price discount is available only after USPS authorization. An application or written request for authorization as a Nonprofit or Classroom publication must be filed at the publication’s original entry Post Office. Application may be made by submitting an application for Periodicals mailing privileges (Form 3500) or by filing for reentry (on Form 3510) after Periodicals mailing privileges are authorized. The applicant must submit evidence to show eligibility under the corresponding standards in 10.0.

#### **10.5.2 Fee**

No fee is required for an application to mail at a preferred Periodicals price.

### **10.6 Mailing While Application Pending**

#### **10.6.1 Mailing Before Approval**

A publisher or news agent may not mail at a Periodicals Preferred price or deduct the Preferred price discount until the PCSC manager approves the application for such privilege. Until approval is given, postage must be paid at the Outside-County prices (if the publication is authorized), or at the applicable First-Class Mail, Standard Mail, or Package Services prices (if the publication or news agent is in a pending status for Periodicals mailing privileges).

#### **10.6.2 Record of Deposits**

If postage is paid through an advance deposit account, the USPS keeps a record of deposits and mailings made while the application for special prices is pending. The record is used to compute the difference between either the Outside-County price postage paid for an authorized Periodicals publication, or the Standard Mail or



Package Services postage paid before Periodicals mailing privileges are granted, and the applicable postage at the special price. No record is kept if postage is paid at First-Class Mail prices or if postage is not paid by advance deposit account.

## 10.7 Decision on Application

### 10.7.1 Ruling

The PCSC manager rules on special price applications that are filed concurrently with an original entry application. The PCSC manager also rules on requests for special prices made on Form 3510 for publications authorized Periodicals mailing privileges.

### 10.7.2 Additional Information

Before acting on an application, the manager may ask the publisher for more information or evidence to complete or clarify the application. Failure to provide such information is sufficient grounds to deny the application.

### 10.7.3 Effective Date

The authorization takes effect on the application date or the eligibility date, whichever is later.

### 10.7.4 Refund

Except as noted in [10.7.5](#), when an authorization for special prices is granted, the original entry postmaster refunds to the publisher the difference, if any, between the postage paid at the Outside-County Periodicals prices or deposited at Standard Mail or Package Services prices and the applicable special prices for copies mailed while the application was pending. Refunds are made only for mailings deposited on or after the effective date of the authorization and only if postage was paid by advance deposit account for which the entry Post Office kept the necessary records.

### 10.7.5 No Refund

No refund is made for:

- a. A denied or withdrawn application.
- b. The period before the effective date of the authorization.
- c. Postage paid at any price other than the Periodicals prices.
- d. Postage not paid by advance deposit account for which the required record was kept.
- e. Postage at any price affixed to copies of the publication.
- f. Postage paid at Priority Mail Express or First-Class Mail prices.
- g. Postage on mailings not meeting the applicable standards for special Periodicals prices.

### 10.7.6 Denial and Appeal

If the application to mail at special prices is denied, the PCSC manager notifies the applicant in writing, giving the reasons for the denial. The denial takes effect 15 days from the publisher's receipt of the denial, unless within that time the publisher appeals to the manager, Product Classification (see [608.8.0](#) for address). Before taking action, the manager, Product Classification may ask the publisher for more



information or evidence to support the appeal. Failure to provide such information is sufficient grounds for denying the appeal. The manager, Product Classification issues the final agency decision.

## 11.0 Basic Eligibility

### 11.1 Outside-County Prices

#### 11.1.1 General

Outside-County prices apply to copies of an authorized Periodicals publication mailed by a publisher or news agent that are not eligible for In-County prices under [11.3](#). Outside-County prices consist of an addressed per piece charge, a zoned charge for the weight of the advertising portion of the publication, an unzoned charge for the weight of the nonadvertising portion, and a bundle and container charge.

#### 11.1.2 Nonrequester and Nonsubscriber Copies

For excess noncommingled mailings under [7.0](#), nonrequester and nonsubscriber copies are not eligible for Periodicals prices unless the publication is authorized under [6.2, \*Publications of Institutions and Societies\*](#), and is not authorized to contain general advertising. Nonrequester and nonsubscriber copies in excess of the 10% allowance under [7.0](#) are subject to Outside-County prices when commingled with requester or subscriber copies, as appropriate.

#### 11.1.3 Preferred Price Discount

Publications qualifying as Nonprofit or Classroom Periodicals receive a 5% discount off the total Outside-County postage, excluding the postage for advertising pounds. Nonsubscriber or nonrequester copies claiming the Preferred price discount are subject to the standards in [7.0](#).

#### 11.1.4 Limited Circulation Discount

Publications, excluding Nonprofit, Classroom, and Limited Circulation Science-of-Agriculture publications, receive a 5% discount off the total Outside-County postage, excluding the postage for advertising pounds, if eligible copies are mailed at In-County prices and the total number of Outside-County copies mailed for that issue is less than 5,000. Nonsubscriber or nonrequester copies claiming the Limited Circulation discount are subject to the standards in [7.0](#).

### 11.2 Outside-County Science-of-Agriculture Prices

#### 11.2.1 Authorization

To be mailed at the Science-of-Agriculture prices, a publication must be granted Periodicals entry and granted a Science-of-Agriculture price authorization.

#### 11.2.2 General

Science-of-Agriculture prices apply to Outside-County copies of authorized Periodicals publications mailed by publishers or news agents when the total copies provided during any 12-month period to subscribers or requesters residing in rural areas are at least 70% of the total number of copies distributed by any means for any purpose.



### 11.2.3 Limited Circulation Science-of-Agriculture Discount

Publications meeting the requirements of [11.2.2](#), receive a 5% discount off the total Outside-County postage, excluding the postage for advertising pounds, if eligible copies are mailed at In-County prices and the total number of Outside-County copies mailed for that issue is less than 5,000. Nonsubscriber or nonrequester copies claiming the Limited Circulation Science-of-Agriculture discount are subject to the standards in [7.0](#).

### 11.2.4 Filing

The Science-of-Agriculture price is available only after USPS authorization. An application or written request for Science-of-Agriculture prices must be filed at the publication's original entry Post Office. Application may be made by submitting an application for Periodicals mailing privileges (Form 3500) or by filing for reentry (on Form 3510) after Periodicals mailing privileges are authorized. The applicant must submit evidence to show eligibility under the corresponding standards in [11.0](#).

## 11.3 In-County Prices

### 11.3.1 Subscriber or Requester Copies

In-County prices apply to subscriber or requester copies of any issue of a Periodicals publication entered within the county in which the Post Office of original entry is located for delivery to addressees within that county, if one of the following standards is met:

- a. The total paid or requested circulation of such issue is less than 10,000 copies.
- b. The number of paid or requested copies of such issue distributed within the county of publication is more than 50% of the total paid or requested circulation of such issue.

### 11.3.2 Exceptional Conditions

The standard in [11.3.1](#) also is applied under any of these exceptional conditions:

- a. If an entry office postmaster directs the publisher to deposit copies of the publication at a postal facility serving that office, those copies are considered as mailed at the entry office for purposes of In-County prices.
- b. A copy addressed to a destination within the county of publication is eligible for In-County prices when the entry Post Office serving that address is outside the county.
- c. Each Periodicals publication (except a requester publication or commingled nonsubscriber copies above the 10% allowance) having original entry at an incorporated city situated entirely within a county or contiguous to one or more counties in the same state, but politically independent of such county or counties, is considered within a part of the county with which it is principally contiguous. Copies mailed into that county are charged postage at the In-County prices. Where more than one county is involved, the publisher selects the principal county and notifies the postmaster.
- d. A publication entered within the county in which it is published, but distributed outside that county on a postal carrier route originating in the county of publication, is treated as if distributed within the county of publication.



### 11.3.3 Nonsubscriber or Nonrequester Copies

During a calendar year, the total number of nonsubscriber or nonrequester copies mailed at In-County prices may not exceed 10% of the number of subscriber or requester copies mailed at In-County prices. Mailers must include the number of nonsubscriber or nonrequester copies mailed at In-County prices when determining the overall 10% allowance under [7.0](#). Effectively, the allowance for nonsubscriber or nonrequester copies mailable at the In-County prices is the 10% allowed under this standard or the *overall* 10% limit under [7.0](#), whichever occurs first.

### 11.4 Discounts

The following discounts are available:

- a. *Nonadvertising*. The nonadvertising discount applies to the Outside-County piece price and is computed under [2.0](#).
- b. *Destination Entry*. Destination entry prices are available under [29.0](#) for copies entered at specific USPS facilities.

### 11.5 Copies Mailed by Public

[\[1-27-13\]](#) The applicable single-piece First-Class Mail, Priority Mail, Standard Post, or Package Services price is charged on copies of publications mailed by the general public (i.e., other than publishers or registered news agents) and on copies returned to publishers or news agents.

## 12.0 Nonbarcoded (Presorted) Eligibility

### 12.1 Basic Standards

#### 12.1.1 General

The standards for nonbarcoded (Presorted) prices are in addition to the standards for other prices or discounts claimed and the applicable preparation standards. Not all combinations of presort level, automation, and destination entry discounts are permitted.

#### 12.1.2 Address Quality

All pieces in a Periodicals nonbarcoded price mailing must bear a delivery address that includes the correct ZIP Code or ZIP+4 code and that meets these address quality standards:

- a. The ZIP Code accuracy standard in [12.4](#).
- b. If an alternative addressing format is used, the additional standards in [602.3.0](#).

#### 12.1.3 Barcode Quality

[\[3-4-13\]](#) Any Intelligent Mail barcode on a mailpiece must be correct for the delivery address and meet the standards in [708.3.0](#) and [708.4.0](#).

#### 12.1.4 Documentation

A complete, signed postage statement, using the correct USPS form or an approved facsimile, must accompany each mailing, supported by standardized documentation meeting the basic standards in [708.1.0](#). Documentation of postage



is not required if each piece in the mailing is of identical weight and the pieces are separated when presented for acceptance by price, by zone (including separation by In-County and Outside-County prices), and by entry (such as DDU and DSCF).

## 12.2 Prices—Outside-County

Outside-County nonbarcoded (Presorted) prices are based on the following criteria (see 2.0 for price application and computation):

- a. Piece prices are based on shape, machinability, barcoding, and presort level. The presort level of the piece is based primarily on the bundle level of the piece, except the presort level of pieces loose in trays is based on the tray level.
- b. Bundle prices are based on the bundle and container sortation level.
- c. Container prices are based on the type of container (tray, sack, or pallet), the level of sortation of the container, and where the container is entered.

## 12.3 Prices—In-County

### 12.3.1 Five-Digit Prices

5-digit prices apply to:

- a. Letter-size pieces in 5-digit bundles of six or more pieces each, placed in 5-digit trays.
- b. Nonletter-size pieces in 5-digit scheme (L007) bundles and 5-digit bundles of six or more addressed pieces each, placed in applicable merged 5-digit scheme (L001) sacks, merged 5-digit sacks, 5-digit scheme (L001) sacks, or 5-digit sacks, or palletized under 705.8.0 or 705.10.0, 705.12.0, or 705.13.0.

### 12.3.2 Three-Digit Prices

3-digit prices apply to:

- a. Letter-size pieces in 5-digit and 3-digit bundles of six or more pieces each, placed in 3-digit trays.
- b. Nonletter-size pieces in 5-digit scheme (L007), 5-digit, 3-digit scheme (L008) and 3-digit bundles of six or more addressed pieces each, placed in 3-digit sacks; or 3-digit scheme, and 3-digit bundles of six or more addressed pieces each, prepared under 705.8.0 or 705.10.0, 705.12.0, or 705.13.0.

### 12.3.3 Basic Prices

Basic prices apply to pieces that are not eligible for and claimed at 5-digit and 3-digit prices, including pieces prepared using the optional flat tray sortation under 22.7.

## 12.4 ZIP Code Accuracy

### 12.4.1 General

The ZIP Code accuracy standard is a means of ensuring that the 5-digit ZIP Code in the delivery address correctly matches the delivery address information. For the purposes of this standard, *address* means a specific address associated with a specific 5-digit ZIP Code. Addresses used on pieces claiming certain prices that are subject to the ZIP Code accuracy standard must meet these requirements:



### 707.12.4.2

- a. Each address and associated 5-digit ZIP Code used on the mailpieces in a mailing must be verified and corrected within 12 months before the mailing date with one of the USPS-approved methods in [12.4.2](#).
- b. Each individual address in the mailing is subject to the ZIP Code accuracy standard.
- c. If an address used on a mailpiece in a mailing at one class of mail and price is verified and corrected with an approved method, the same address may be used during the following 12 months to meet the ZIP Code accuracy standard required for mailing at any other class of mail and price.
- d. Except for mail bearing a simplified address, addresses used on pieces claiming Periodicals nonbarcoded prices, regardless of any required surcharge, must meet the ZIP Code accuracy standard.

#### **12.4.2 Authorized Methods**

The following methods are authorized for meeting the ZIP Code accuracy standard:

- a. For computerized lists, Coding Accuracy Support System (CASS)-certified address matching software and current USPS City State Product, within a mailer's computer systems or through an authorized service provider.
- b. For manually maintained lists or small computerized lists, options include the following:
  1. Surveys of addressees on mailer's address list inquiring about the accuracy of ZIP Code information.
  2. Any mailing list service in [507.8.0](#).
  3. An authorized service provider.
  4. CASS-certified matching software.
  5. USPS Web site [www.usps.com](http://www.usps.com).

#### **12.4.3 Mailer Certification**

The mailer's signature on the postage statement certifies that the ZIP Code accuracy standard has been met for each address in the corresponding mailing presented to the USPS.

## 13.0 Carrier Route Eligibility

### **13.1 Basic Standards**

#### **13.1.1 General**

The standards for carrier route prices are in addition to the standards for other prices or discounts claimed and the applicable preparation standards. Not all combinations of presort level, automation, and destination entry discounts are permitted.



### 13.1.2 Address Quality

All pieces in a Periodicals carrier route price mailing must bear a delivery address that includes the correct ZIP Code or ZIP+4 code and that meets these address quality standards:

- a. The carrier route accuracy standard in [13.5](#).
- b. If an alternative addressing format is used, the additional standards in [602.3.0](#).
- c. If flat-size pieces are prepared with detached address labels, the additional standards in [602.4.0](#).

### 13.1.3 Carrier Route Sequencing

All pieces in a carrier route price mailing must also meet the applicable sequencing requirements in [13.2.2](#), [13.3](#), and [23.8](#).

### 13.1.4 Barcode Quality

**[3-4-13]** Any Intelligent Mail barcode on a mailpiece must be correct for the delivery address and meet the standards in [708.3.0](#) and [708.4.0](#).

### 13.1.5 Documentation

A complete, signed postage statement, using the correct USPS form or an approved facsimile, must accompany each mailing, supported by standardized documentation meeting the basic standards in [708.1.0](#). Documentation of postage is not required if each piece in the mailing is of identical weight and the pieces are separated when presented for acceptance by price, by zone (including separation by In-County and Outside-County prices), and by entry (such as DDU and DSCF). Documentation of sequencing and of density standards under [23.8](#) must be submitted with each mailing.

## 13.2 Sorting

### 13.2.1 Basic Standards

Preparation to qualify eligible pieces for carrier route prices is optional and need not be performed for all carrier routes in a 5-digit area. Carrier route prices apply to copies that are prepared in carrier route bundles of six or more addressed pieces each, subject to these standards:

- a. *Letter-size mailings.* Carrier route prices apply to carrier route bundles that are sorted into carrier route, 5-digit carrier routes, or 3-digit carrier routes trays under [23.0](#). Trays may be palletized under [705.8.0](#).
- b. *Nonletter-size mailings.* Carrier route prices apply to carrier route bundles that are sorted in one of the following ways:
  1. Bundles sorted onto pallets prepared under [705.8.0](#), [705.10.0](#), [705.12.0](#), or [705.13.0](#), as appropriate.
  2. Bundles in carrier route, 5-digit scheme carrier routes, 5-digit carrier routes sacks, or 3-digit carrier routes sacks under [23.0](#). Sacks may be palletized under [705.8.0](#).
  3. Unsacked bundles entered at a destination delivery unit according to preparation standards in [23.4.2](#) and entry standards in [29.5.5](#).



707.13.2.2

4. Bundles prepared on pallets under [705.14.0](#), *Combining Bundles of Flats Within FSS Zones*.

### 13.2.2 Sequencing Requirements

Carrier route mail must be prepared in delivery sequence as follows:

- a. Basic carrier route price mail must be prepared either in carrier walk sequence or in line-of-travel (LOT) sequence according to LOT schemes prescribed by the USPS ([23.8](#)).
- b. The high density and saturation prices apply to pieces that are eligible for carrier route prices under [13.2.1](#), are prepared in carrier walk sequence, and meet the applicable density standards in [13.3](#) for the price claimed.

## 13.3 Walk-Sequence Prices

### 13.3.1 Eligibility

The high density or saturation prices apply to each walk-sequenced piece in a carrier route mailing, eligible under [13.2.1](#) and prepared under [705.8.0](#), [23.0](#), or (nonletter-size mail only) [705.10.0](#), [705.12.0](#), or [705.13.0](#), that also meets the corresponding addressing and density standards in [13.3.4](#). High density and saturation price mailings must be prepared in carrier walk sequence according to schemes prescribed by the USPS (see [23.8](#)).

### 13.3.2 Copies Claimed at Other Prices

A mailing that includes copies claimed at a walk-sequence price may include other copies claimed at other prices. When presented to the USPS, the trays or sacks containing the walk-sequence price copies must be separated from other trays or sacks. Any effective separation method may be used.

### 13.3.3 Addressing

Walk-sequence mail must meet these addressing standards:

- a. Each addressed piece must bear a complete delivery address or an alternative format address, subject to the applicable standards.
- b. Official matter, whether mailed under congressional frank or by certain government entities for delivery on a city route, may use the appropriate simplified address format described in [23.8](#).

### 13.3.4 Density

Walk-sequence price mailings are subject to these density standards:

- a. Once the minimum volume per carrier route is met, there is no further minimum volume for the 5-digit ZIP Code delivery area. Walk-sequence price mail need not be sent to all carrier routes within a 5-digit delivery area.
- b. Except under [13.3.4c](#), at least 125 walk-sequenced addressed pieces must be prepared for each carrier route receiving mail claimed at the high density price. Mail for carrier routes of 124 or fewer possible deliveries can qualify for the high density price if there are at least 125 addressed pieces for the route or if pieces are addressed to every possible delivery on the route. This mail may also qualify for the saturation price, subject to [13.3.4e](#). In-County price pieces may also qualify for high density prices under [13.3.4c](#).



- c. Mail may qualify for In-County high density prices when there are addressed pieces for a minimum of 25% of the total active possible deliveries on a carrier route. If a route contains addresses both within and outside the county, the number of pieces addressed to the entire carrier route will be used to determine if the 25% requirement has been met. However, for such carrier routes meeting the 25% requirement, only the pieces for that carrier route that are addressed to addresses within the county in which the original entry is located may qualify for the In-County high density prices.
- d. Pieces eligible for and claimed at the saturation price must be addressed to either 90% or more of the active residential addresses or 75% or more of the total number of active possible delivery addresses, whichever is less, on each carrier route receiving saturation price mail, except that mail addressed in the simplified address format must meet the coverage standards in [602., 3.0 Use of Alternative Addressing](#).
- e. More than one addressed piece per delivery address may be included in a high density price mailing and may be counted for the density standard in [13.3.4b](#) for the high density price. Only one piece per delivery address may be counted toward the density standards for high density in [13.3.4c](#) and for the saturation price in [13.3.4d](#).

#### **13.4 Full-Service Intelligent Mail Eligibility Standards**

In addition to other requirements in 6.0, carrier route letters and flats eligible for full-service Intelligent Mail prices and address correction benefits under 705.22.4.2 must:

- a. For carrier route letter, be letter-size under 201.1.0
- b. For carrier route flats, be flat-size under 301.1.0.
- c. Be part of a carrier route mailing.
- d. Bear an accurate Intelligent Mail barcode encoded with the correct delivery point routing code matching the delivery address and meeting the standards in 202.5.0 (for letters), 302.4.0 (for flats), and 708.4.0. Letters or flats with Intelligent Mail barcodes entered under the full-service automation option also must be part of mailings that meet the standards in 705.22.

#### **13.5 Carrier Route Accuracy**

##### **13.5.1 General**

The carrier route accuracy standard is a means of ensuring that the carrier route code correctly matches the delivery address information. For the purposes of this standard, *address* means a specific address associated with a specific carrier route code. Addresses used on pieces claiming certain prices that are subject to the carrier route accuracy standard must meet these requirements:

- a. Each address and associated carrier route code used on the mailpieces in a mailing must be updated within 90 days before the mailing date with one of the USPS-approved methods in [13.5.2](#).
- b. Each individual address in the mailing is subject to the carrier route accuracy standard.



707.13.5.2

- c. If the carrier route code (and accuracy) of an address used on a mailpiece in a carrier route mailing at one class of mail and price is updated with an approved method, the same address may be used during the following 90 days to meet the carrier route accuracy standard required for mailing at any other class of mail and price.
- d. Except for mail bearing a simplified address, addresses used on pieces claiming Periodicals carrier route prices, regardless of any required surcharge, must meet the carrier route accuracy standard.

### 13.5.2 Authorized Methods

Carrier route coding must be performed using CASS-certified software and the current USPS Carrier Route Product or another Address Information System (AIS) product containing carrier route information subject to [509.1.0](#) and [708.3.0](#). Printed Carrier Route Files (schemes) may be used only for Periodicals carrier route mail and for Standard Mail Enhanced Carrier Route flat-size mail at basic, high density, and saturation prices.

### 13.5.3 Mailer Certification

The mailer's signature on the postage statement certifies that the carrier route accuracy standard has been met for each address in the corresponding mailing presented to the USPS.

## 14.0 Barcoded (Automation) Eligibility

### 14.1 Basic Standards

[3-4-13] All pieces in a Periodicals barcoded (automation) mailing must:

- a. Be in the same processing category and meet the physical standards in [201.3.0](#), or [301.3.0](#).
- b. Bear a delivery address that includes the correct ZIP Code, ZIP+4 code, or numeric equivalent to the delivery point barcode (DPBC) and that meets these address quality standards:
  - 1. The address matching and coding standards in [14.5](#) and [708.3.0](#).
  - 2. If an alternative addressing format is used, the additional standards in [602.3.0](#).
- c. Bear an accurate Intelligent Mail barcode encoded with the delivery point routing code, matching the delivery address and meeting the standards in [202.5.0](#)(for letters), [302.4.0](#) (for flats), and [708.4.0](#).
- d. Be marked, sorted, and documented as specified in [705.8.0](#) (if palletized); or [24.0](#) (for letters) or [25.0](#) (for flats) or; for nonletter-size mail, [705.9.0](#), [705.10.0](#), [705.12.0](#), or [705.13.0](#); or for nonletter-size mail, bundles prepared on pallets under [705.14.0](#), *Combining Bundles of Flats Within FSS Zones*.
- e. Meet the applicable documentation standards in [708.1.0](#) and postage payment standards in [2.0](#), [16.0](#), and [17.0](#).

**14.2 Eligibility Standards for Full-Service Automation Periodicals**

All pieces entered under the full-service automation option must:

- a. Bear a unique Intelligent Mail barcode.
- b. Be part of a mailing that meets the standards in 705.22.0.
- c. Be scheduled for an appointment through the Facility Access and Shipment Tracking (FAST) system when deposited as a DNDC, DADC, or DSCF dropshipment.

**14.3 Prices—Outside-County**

Outside-County barcoded (automation) prices are based on the following criteria (see 2.0 for price application and computation):

- a. Piece prices are based on mailpiece shape (letter, flat, or parcel), machinability, barcoding, and presort level. The presort level of the piece is based on the bundle level of the piece, except the presort level of pieces loose in trays is based on the tray level.
- b. Bundle prices are based on the bundle and container sortation level.
- c. Container prices are based on the type of container (tray, sack, pallet), the level of sortation of the container, and where the container is entered.

**14.4 Prices—In-County****14.4.1 Five-Digit Prices**

5-digit automation prices apply to:

- a. Letters in groups of 150 or more pieces to the same 5-digit or 5-digit scheme placed in a 5-digit or 5-digit scheme tray or trays prepared under 24.0. (Preparation to qualify for the 5-digit price is optional and, if performed, need not be done for all 5-digit or 5-digit scheme destinations.)
- b. Flats in 5-digit bundles and 5-digit scheme bundles of six or more pieces each, prepared under 25.0 or 705.8.0 through 705.13.0.

**14.4.2 Three-Digit Prices**

3-digit automation prices apply to:

- a. Letters in groups of 150 or more pieces to the same 3-digit or 3-digit scheme placed in a 3-digit/scheme tray or trays under 24.0.
- b. Flats in 3-digit scheme, and 3-digit bundles of six or more pieces each, prepared under 25.0 or 705.8.0 through 705.13.0.

**14.4.3 Basic Prices**

Basic automation prices apply to:

- a. Letters prepared under 24.0 that are not claimed at 5-digit or 3-digit prices.
- b. Flats prepared under 25.0 or 705.8.0 through 705.13.0 that are not claimed at 5-digit or 3-digit prices.
- c. All pieces in any bundle that contains fewer than six pieces.



### 14.5 Address Standards for Barcoded Pieces

#### 14.5.1 General

To qualify for barcoded prices, addresses must be sufficiently complete to enable matching to the current USPS ZIP+4 Product when used with current CASS-certified address matching software. Standardized address elements are not required. Any barcode as defined in 708.4.0 that appears on a mailpiece must be the correct barcode for the corresponding delivery address on the piece.

#### 14.5.2 ZIP+4 Code

A numeric ZIP+4 code must consist of five digits, a hyphen, and four digits.

#### 14.5.3 Numeric Delivery Point Barcode

[3-4-13] A numeric equivalent of the delivery point routing code is formed by adding two digits directly after the ZIP+4 code.

#### 14.5.4 Firm Name

An address must include a firm name when necessary to obtain a match with the finest level of ZIP+4 code in the USPS ZIP+4 Product. If an address contains a firm name assigned a unique ZIP+4 code in the USPS ZIP+4 Product, the unique ZIP+4 code must be used. If the firm name is not one assigned a unique ZIP+4 code in the USPS ZIP+4 Product and the apartment/suite number is included in the address, the ZIP+4 code for the range in which the apartment/suite number appears must be used in that address. If the firm name does not correspond to a firm name that has a unique ZIP+4 code assigned in the USPS ZIP+4 Product and the apartment/suite number is not included in the address, the ZIP+4 code for the building must be used.

#### 14.5.5 Address Elements

Addresses must include the correct street number, predirectional, street name, suffix, and postdirectional that are input to obtain a match with the current USPS ZIP+4 Product.

#### 14.5.6 Secondary Designator

If a secondary address designator (for example, an apartment number) is shown in the address, the mailpiece must show the appropriate ZIP+4 code representing the range for that secondary address as contained in the current USPS ZIP+4 Product. If a secondary address designator is required to obtain an exact match with the finest level of ZIP+4 code in the USPS ZIP+4 Product but the information is not available, the alternative ZIP+4 code or ZIP+4 or delivery point barcode for the building must be used.

#### 14.5.7 Rural and Highway Contract Routes

If a rural route or highway contract route box number is included in the address, the mailpiece must show the appropriate ZIP+4 code representing the range for that box number as contained in the current USPS ZIP+4 Product. If a rural route or highway contract route box number is required to obtain a match with the finest level of ZIP+4 code but is not available, the alternative ZIP+4 code or ZIP+4 or delivery point barcode for the rural route or highway contract route must be used. If used, the rural route or highway contract route box number must be placed on the line immediately above the city/state/ZIP Code line.

**14.5.8 Post Office Box**

A Post Office box address must contain a Post Office box number that can be exactly matched with the USPS ZIP+4 Product in effect.

**15.0 Ride-Along Eligibility****15.1 General**

The standards in [15.0](#) apply to Standard Mail material paid at the Periodicals Ride-Along price that is attached to or enclosed with Periodicals mail. All Periodicals subclasses may enclose eligible material at the Ride-Along price.

**15.2 Basic Standards**

Only one Ride-Along piece may be attached to or enclosed with an individual copy of Periodicals mail. The Ride-Along price must be paid on each copy in the mailing, not addressed pieces. If more than one Ride-Along piece is attached or enclosed, mailers have the option of paying Standard Mail postage for all the enclosures or attachments, or paying the Ride-Along price for the first attachment or enclosure and Standard Mail prices for subsequent attachments and enclosures. Ride-Along pieces eligible must be eligible as Standard Mail and must:

- a. Not exceed any dimension of the host publication except when the host publication and the Ride-Along piece are contained in an envelope, polybag, or other complete wrapper.
- b. Not exceed 3.3 ounces and must not exceed the weight of the host publication.
- c. Not obscure the title of the publication or the address label.

**15.3 Physical Characteristics**

The host Periodicals piece and the Ride-Along piece must meet the following physical characteristics:

- a. Construction:
  1. Bound publications. If contained within the host publication the Ride-Along piece must be securely affixed to prevent detachment during postal processing. If loose, the Ride-Along piece and publication must be enclosed together in a full wrapper, polybag, or envelope.
  2. Unbound publications. A loose Ride-Along enclosure with an unbound publication must be combined with and inserted within the publication in a manner that prevents detachment during postal processing. If the Ride-Along piece is included outside the unbound publication, the publication and the Ride-Along piece must be enclosed in a full wrapper, polybag, or envelope.
- b. A Periodicals letter-size or flat-size piece with the addition of a Ride-Along must remain uniformly thick.
- c. A Periodicals piece with a Ride-Along must maintain the same processing category as before the addition of the Ride-Along.



707.15.4

### **15.4 Marking**

The marking "Ride-Along Enclosed" must be placed on or in the host publication if it contains an enclosure or attachment paid at the Ride-Along price. If placed on the outer wrapper, polybag, envelope, label carrier, or cover of the host publication, the marking must be set in type no smaller than any used in the required "POSTMASTER: Send change of address..." statement. If placed in the identification statement, the marking must meet the applicable standards. The marking must not be on or in copies not accompanied by a Ride-Along attachment or enclosure.

## **16.0 Postage Payment**

### **16.1 Filing Each Issue**

The publisher must file a copy of each issue with the postmaster of the original entry office.

### **16.2 Filing Marked Copy**

The publisher must file a copy of each edition of each issue marked by the publisher so the advertising content may be verified when necessary. This marked copy must be filed either with the postmaster of the original entry office or the postmaster of the additional entry office where the publication is produced or prepared for mailing. On the first page of each marked copy, the publisher must show the total units and percentage of space devoted to advertising and nonadvertising in the copy. Advertising matter printed on envelopes, wrappers, and the back of detached address cards must be included in the measurement of the advertising space. The computed percentage of advertising must be rounded off to two decimal places, if necessary, as shown in 2.0. Mailers are not required to submit marked copies if they are certified by the USPS to use the Periodicals Accuracy, Grading, and Evaluation (PAGE) Program.

### **16.3 Mailer and Publisher Responsibility**

The mailer is responsible for proper payment of postage. Postage must be fully prepaid before Periodicals mailings are dispatched. The publisher must ensure that all information on postage statements is correct and that all copies qualify for the prices claimed.

### **16.4 Payment Method**

Mailers must pay Periodicals postage by advance deposit account at the original or additional entry Post Office, except under procedures in for Centralized Postage Payment or in 705.17.2.4. Mailers may not pay postage for Periodicals using permit imprint, meter stamp, postage stamp, or precanceled stamps. Mailers must pay postage for First-Class Mail and Standard Mail enclosures under 703.9.8 through 703.9.12 and 705.18.1. Mailers who prepare Periodicals publications as a combined mailing by merging copies or bundles of copies under 27.0 may pay the Outside-County bundle and container charges on one mailer's Form 3541, on one consolidated Form 3541, or on each mailer's Form 3541 (see 2.2.7). Centralized Postage Payment System



Subject to specific standards and authorization by the Pricing and Classification Service Center (PCSC), the Centralized Postage Payment (CPP) System allows the publisher of a Periodicals publication to pay Periodicals postage for that publication at the PCSC instead of at each authorized additional entry office. An additional entry is required at each Post Office where copies of the Periodicals publication are presented for postal verification under [30.0](#).

## **16.5 Periodicals Accuracy, Grading, and Evaluation Program**

### **16.5.1 General**

The Periodicals Accuracy, Grading, and Evaluation (PAGE) Program is a process to evaluate publishing and print planning (PPP) software and to determine its accuracy in computing per-copy weights and calculating advertising percentages for Periodicals. Certification of PAGE software is available only to those companies that develop or write PPP software. PAGE certification does not guarantee acceptance of the publisher's per-copy weights and advertising percentages prepared with PAGE-certified software.

### **16.5.2 Program Elements**

The PAGE Program evaluates and tests PPP software. In addition, the PAGE Program tests and qualifies publishing personnel to submit data to the USPS using PAGE-certified PPP software. The USPS National Customer Support Center (NCSC) certifies developer's software and publisher's employees to use certified PPP software to submit Periodicals mailings. The PAGE Program involves the following three elements:

- a. Stage I, Product Certification for Software Developers. NCSC evaluates the accuracy of the calculations of PPP software by processing a test publication file either at the NCSC or at the developer's location (on-site visit).
- b. Stage II, User certification for PPP software. NCSC provides test bundles to the users and evaluates the results.
- c. Stage III, PAGE Program authorization. Publishers who want to use PAGE-certified software and PAGE-certified users to submit per-copy weight and calculated advertising percentages must apply for authorization to the manager, PCSC.

### **16.5.3 Participation**

For information about charges and the PAGE Program, publishers may request a technical guide (including order forms) from the NCSC (see [608.8.0](#) for address). Additional information is also available from the PCSC (see [608.8.0](#) for address).

## **17.0 Documentation**

### **17.1 Postage Statement**

Periodicals mailings must be accompanied by a postage statement completed and signed by the mailer (in duplicate if the mailer wants a receipted copy). The mailer may submit a computer-generated facsimile. A change made to any postage statement requires the mailer (agent) to correct the postage statement accordingly and document the correction.



707.17.2

### **17.2 Documentation**

The postage statement must be supported by documentation (see [708.1.0](#)) unless each piece in the mailing is of identical weight and the pieces are separated when presented for acceptance by price, by zone (including separation by In-County and Outside-County prices), and by entry (DDU, DSCF, DADC, and DNDC). Additional documentation must be submitted if required by the standards for the price or discount claimed. Subject to [17.5](#), the entry office postmaster, on request, may authorize the publisher of a publication regularly printed on sheets of uniform weight to provide one postage statement after each calendar month for mailings made during that month.

### **17.3 Basic Standards for Documentation**

#### **17.3.1 General**

Documentation describes the preparation and price levels of the mailing, details the volume and postage data, substantiates the price or discount eligibility, reports the result of a process (i.e., presort or barcoding), and, by comparison with the actual mailing it describes, supports the claims contained on the postage statement accompanying the mailing and allows the USPS to verify its accuracy.

#### **17.3.2 Format**

Periodicals documentation must be produced by software certified under the Presort Accuracy Validation and Evaluation (PAVE) program or the Manifest Analysis and Certification (MAC) program, appropriate for the accompanying class of mail and price claimed, or the documentation must be prepared as standardized documentation according to this section. Standardized documentation contains the elements described in [708.1.0](#), as applicable. Documentation produced by PAVE-certified or MAC-certified software is considered standardized documentation.

#### **17.3.3 Presenting Documentation**

As provided by standard, documentation may be presented in abbreviated form or on computer-readable media. Required documentation must be presented with every mailing unless, by standard, it may be provided to support multiple mailings or mailings that are part of the same job or cycle. Mailers entering Periodicals pieces under the full-service barcoded (automation) option must electronically submit postage statements and mailing documentation to the *PostalOne!* system as described in [705.24.4.4](#).

#### **17.3.4 Multiple Standards**

If multiple documentation standards apply to the same mailing, only one set of documentation is necessary if it provides enough information to meet all applicable standards. Redundant or duplicate documentation is not required simply to meet individual standards.

#### **17.3.5 Additional Information**

The postmaster of the office of mailing may require additional information if the documentation submitted does not allow the corresponding mailing to be verified. Failure to provide information is sufficient reason for the USPS to refuse a mailing. The mailer may appeal any determination to the PCSC under [607.2.0](#).



## 17.4 Basic Standards for Postage Statements

### 17.4.1 General

Each Periodicals mailing must be accompanied by a postage statement using the correct USPS form or an approved facsimile. Supporting documentation may be required under 8.0 or, for specific prices, under 10.0, 12.0 through 15.0, and 29.0.

### 17.4.2 Reporting Multiple Mailings on One Statement

Each group of pieces prepared as a separate mailing must be presented with a postage statement using the correct USPS form for the particular class, price, and postage payment method. A mailer may report more than one mailing from a single job (for example, a carrier route price mailing, an automation price mailing, and a nonautomation price mailing) on the same postage statement if the mailings are presented at the same time for verification, the pieces are in the same processing category, each mailing separately meets all applicable eligibility standards, and the number of pieces in each mailing is separately reported on the postage statement.

### 17.4.3 Facsimile Postage Statements

Facsimile postage statements must contain data and elements in locations as close as possible to where they appear on the USPS form. Data fields that do not pertain to information and prices claimed in the mailing and other extraneous information that appears on the USPS form do not have to be included. Facsimiles must include all other information pertaining to the mailing, including the class of mail (or subclass as appropriate), postage payment method (such as permit imprint), and four-digit form number (hyphen and suffix, optional). All parts, and line numbers within each part, must reflect those on the USPS form(s). In some cases, this can include fields from multiple USPS forms onto a single facsimile. Most importantly, the facsimile must fully and exactly reproduce the “Certification” and “USPS Use Only” fields that appear on the USPS form. A facsimile postage statement produced by software certified by the USPS Presort Accuracy Validation and Evaluation (PAVE) or Manifest Analysis and Certification (MAC) program is considered a USPS-approved form for these standards. Others may be approved by the entry office postmaster. Periodicals mailers authorized centralized postage payment (CPP) procedures receive approval from the PCSC.

### 17.4.4 Advertising Percentage

At least once a year, the USPS verifies the advertising percentage reported on the corresponding postage statement by measuring the advertising and nonadvertising portions of one issue.

### 17.4.5 Measuring Advertising

The total advertising and nonadvertising portions may be determined by column inches, square inches, pages, or by another recognized unit of measure if the same unit of measure is used for both portions. One full page of advertising must equal one full page of nonadvertising regardless of the amount of blank space between each advertisement or nonadvertising article on a page. If measured in column inches, nonadvertising inches are determined by subtracting the total measured advertising inches from the total column inches of the publication. A blank page, portion of a page, or blank border or margin is counted as advertising if consideration was received for the whole page, the blank portion, or the blank border or margin. The border of a page is otherwise considered neither advertising



### 707.17.4.6

nor nonadvertising and is not measured, but it is included in the total weight of the publication for purposes of postage calculation. When measuring nonrectangular sheets, the measurement is based on the smallest rectangle that could contain the irregular sheet; exact measurement is not attempted. When two or more sheets are permanently glued together to form a single sheet, the surface area of the resulting sheet (front and back) is included when measuring the advertising or nonadvertising portion.

#### **17.4.6 Waiving Nonadvertising Prices**

Instead of marking a copy of each issue to show the advertising and nonadvertising portions, the publisher may pay postage at the advertising zoned prices on both portions of all issues or editions of a Periodicals publication (except a requester publication). *This option is not available if the price for advertising is lower than the price for nonadvertising.* When the amount of advertising exceeds 75%, the copies provided to the postmaster must be marked "Advertising over 75%." When the amount of advertising is 75% or less, the copies provided to the postmaster must be marked "Advertising not over 75%" on the first page. The entire weight of the copy must be entered on the postage statement in the column provided for the advertising portion. The words "Over 75%" or "Not over 75%" must be annotated on the postage statement and the word "Waived" must be written in the space provided for the weight of the nonadvertising portion.

#### **17.4.7 Copies of Previous Issues**

When a reasonable number of copies of previous issues are included in a mailing of a current issue, those issues may be accepted and charged with postage by the percentages of advertising and nonadvertising material in the current issue. The issue forming the bulk of the mailing is regarded as the current issue. The advertising and nonadvertising percentages for the current issue are based on the edition forming the bulk of the current issue.

#### **17.4.8 Mailing While Application Pending**

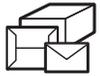
A publisher mailing under an advance deposit account while a Periodicals application is pending must submit with each mailing both a Periodicals postage statement (annotated with the words "Pending Application") and a Standard Mail, Parcel Select, or Package Services postage statement.

#### **17.4.9 Sequenced Postage Statement**

A mailer who provides more than one postage statement per day must enter a sequenced statement number in the proper block on each postage statement prepared that day. At the mailer's discretion are the content and length of the number, the cycle of the sequence (beyond 1 day), and the number of concurrently active cycles, if the same series of numbers is not active in two cycles at the same time. If the same mailing of one edition of one issue includes copies reported on two postage statements (for example, when additional postage is paid for nonsubscriber/nonrequester copies over the 10% limit), the sequenced statement number of the second form must be included with the other information required on the primary postage statement reporting the total postage for the mailing.

#### **17.4.10 Official Mail**

Official (penalty) Periodicals matter is also subject to the standards in [703.7.0](#), which supersede conflicting standards below.



#### 17.4.11 News Agent's Statement

A news agent presenting Periodicals matter subject to the zone prices of postage must provide a statement showing the percentages of such matter devoted to advertising and nonadvertising.

### 17.5 Monthly Postage Statements

#### 17.5.1 General

The manager, Business Mail Entry may authorize a qualified publisher of a Periodicals publication to submit Form 3541, *Postage Statement—Periodicals—One Issue or One Edition*, for all issues mailed during a calendar month. Postage statements must be submitted no more than 72 hours after the first mailing of the last issue mailed each month. The pages of every issue, enclosures in the publication, and supplements to the publication must be printed on sheets of the same paper grade weight.

#### 17.5.2 Measuring Advertising

The total advertising and nonadvertising portions may be expressed in column inches, square inches, pages, or by any other recognized unit of measure. The same unit of measure must be used for the advertising and nonadvertising portions. When measuring nonrectangular sheets, base the measurement on the smallest rectangle that could contain the irregular sheet. No attempt is made to determine the exact area of the irregular shape. When two or more sheets or parts thereof are glued together, the surface area of each sheet (front and back) is counted when measuring the advertising or nonadvertising portion for determining postage.

#### 17.5.3 Determining Combined Weight

The combined weight of one copy from each issue mailed during a calendar month is obtained as follows:

- a. Determine the weight of one copy as described in [2.0](#).
- b. Determine the weight of one sheet by dividing the average weight of one copy by the number of sheets (not pages) in the copy. Express the result in decimal pounds rounded off to four decimal places.
- c. Select one copy of each of the issues mailed during the month; count the sheets (not pages) in each; add these figures to determine the total number of sheets.
- d. Multiply the total number of sheets by the weight of one sheet; do not round the product.

### 17.6 Detailed Zone Listing for Periodicals

#### 17.6.1 Basic Standards

The publisher must be able to present documentation to support the actual number of copies of each edition of an issue, by entry point, mailed to each zone, at DDU, DSCF, DADC, and In-County prices. This listing is separated from the standardized documentation required under [17.3](#) to support presort. This listing may be submitted with each mailing, or, as an alternative, a publisher may keep records supporting zone and destination entry information reported on the postage statement for each mailing. Records must be kept for 2 months after the mailing date. A publisher must be able to submit detailed zone listings for specific mailings when requested in advance by the USPS.



### 707.17.6.2

#### 17.6.2 Format

Report the number of copies mailed to each 3-digit ZIP Code area at applicable zone prices using one of the following formats:

- a. Report copies by 3-digit ZIP Code, listed in ascending numeric order, for all ZIP Codes in the mailing. The listing must include the following columns: 3-digit ZIP Code, zone, and number of copies (for the zone). Include a summary of the number of copies at each zone price at the end of the report. A 3-digit ZIP Code may appear more than once if there are copies at different zone prices for that ZIP Code (for example, In-County and Outside-County price copies within the same 3-digit ZIP Code area).
- b. Report copies by zone (In-County DDU, In-County others, Outside-County DDU, Outside-County DSCF, and Outside-County DADC) and by 3-digit ZIP Code, listed in ascending numeric order, for each zone. For each zone, the listing must include the following columns: 3-digit ZIP Code and number of copies (for each zone) in the mailing. Include a summary of the total number of copies for each zone at the end of each zone listing. A 3-digit ZIP Code may appear under more than one zone if there are copies at different zone prices for that ZIP Code (for example, In-County and Outside-County price copies within the same 3-digit ZIP Code area).

#### 17.6.3 Zone Abbreviations

Use the actual price name or the authorized zone abbreviation in the listings in [17.5, Monthly Postage Statements](#), and [17.6.2, Format](#):

ZONE ABBREVIATION	PRICE EQUIVALENT
ICD	In-County, DDU
IC	In-County, Others
DDU	Outside-County, DDU
SCF	Outside-County, DSCF
ADC	Outside-County, DADC
1-2 or 1/2	zones 1 and 2
3, 4, 5, 6, 7, 8 (as applicable)	zones 3 through 8 (as applicable)
M	mixed zones

### 17.7 Additional Standards

#### 17.7.1 Presorted Mailings

A complete, signed postage statement, using the correct USPS form or an approved facsimile, must accompany each mailing, supported by standardized documentation meeting the basic standards in [708.1.0](#). Documentation of postage is not required if each piece in the mailing is of identical weight and the pieces are separated when presented for acceptance by price, by zone (including separation by In-County and Outside-County prices), and by entry discount (such as DDU and DSCF). For Carrier Route price mailings, documentation of sequencing and of density standards under [23.8](#) and [23.9](#) also must be submitted with each mailing.

**17.7.2 Destination Entry Price Mailings**

Subject to [708.1.0](#), the mailer must be able to show compliance with eligibility requirements (by bundle, tray, sack, or pallet) and list the number of addressed pieces by presort level for each 5-digit and 3-digit ZIP Code destination as appropriate for the prices and discounts claimed. Documentation is not required if each addressed piece in the mailing is of identical weight, and are separated by zone, price, and destination entry (if applicable), when presented for mailing.

**17.7.3 Outside-County Bundle and Container Price Documentation**

The documentation must show how many bundles are used and how many trays, sacks, and pallets are required for the prices and discounts claimed.

**18.0 General Mail Preparation****18.1 Definition of Presort**

“Presort” is the process by which a mailer prepares mail so that it is sorted to at least the finest extent required by the standards for the price claimed. Generally, presort is performed sequentially, from the lowest (finest) level to the highest level, to those destinations specified by standard and is completed at each level before the next level is prepared. Under standards for bundle reallocation for Periodicals flats and irregular parcels and Standard Mail flats on pallets, mail will not necessarily be placed on the lowest (finest) level pallets (bundle reallocation is optional, but, if performed, must be done for the complete mailing job). Not all presort levels are applicable in all situations.

**18.2 Definition of Mailings**

“Mailings” are defined as:

- a. A mailing is a group of pieces within the same class of mail and, except for certain parcel prices, the same processing category that may be sorted together and/or presented under a single minimum volume mailing requirement under the applicable standards. Generally, types of mail that follow different flows through the postal processing system (for example, machinable, nonmachinable, and carrier route) must be prepared as separate mailings. Other specific standards may define whether separate mailings may be combined, palletized, reported, or deposited together.
- b. Mail qualifying for the In-County prices may be part of a mailing that includes pieces eligible for the Outside-County prices. Mail at carrier route prices, nonmachinable flats prices, and machinable flats prices must each be sorted as separate mailings. However, each of these mailings may be reported on the same postage statement if the pieces are for the same publication and edition. See [705.8.0](#), [22.0](#), and [23.0](#) for copalletized, combined, or mixed-price mailings. See [705.9.0](#) through [705.13.0](#) for advanced preparation options for nonletter-size mail.

**18.3 Presort Terms**

Terms used for presort levels are defined as follows:



707.18.3

- a. *Firm*: all pieces for delivery at the address shown on the top piece (for nonautomation Periodicals only).
- b. *Carrier route*: all pieces for delivery to the same city route, rural route, highway contract route, Post Office box section, or general delivery unit.
- c. *5-digit*: the delivery address on all pieces includes the same 5-digit ZIP Code.
- d. *5-digit scheme (trays) for barcoded letters*: the ZIP Code in the delivery address on all pieces is one of the 5-digit ZIP Code areas processed by the USPS as a single scheme, as shown in the USPS City State Product.
- e. *5-digit scheme (bundles and sacks) for flats prepared according to 301.3.0*: the ZIP Code in the delivery address on all pieces is one of the 5-digit ZIP Codes processed by the USPS as a single scheme, as shown in [L007](#).
- f. *5-digit scheme carrier routes (pallets and sacks) for Periodicals flats and irregular parcels*: the ZIP Code in the delivery address on all pieces in carrier route bundles is one of the 5-digit ZIP Codes processed by the USPS as a single scheme, as shown in [L001](#).
- g. *5-digit scheme (pallets) for Periodicals flats and irregular parcels*: the ZIP Code in the delivery address on all pieces is one of the 5-digit ZIP Codes processed by the USPS as a single scheme, as shown in [L001](#).
- h. *Merged 5-digit sacks*: the carrier route bundles and/or machinable barcoded or nonbarcoded price 5-digit bundles in a sack are all for a 5-digit ZIP Code that has an “A” or “C” indicator in the Carrier Route Indicators field in the City State Product.
- i. *Merged 5-digit pallets*: the carrier route bundles and/or machinable barcoded or nonbarcoded price 5-digit bundles are prepared under one of the following standards:
  1. Prepared under [705.10.0](#) and are all for a 5-digit ZIP Code that has an “A” or “C” indicator in the Carrier Route Indicators field in the City State Product.
  2. Prepared under [705.12.0](#) and the pieces in 5-digit bundles meet the 5% threshold requirement.
  3. Prepared under [705.13.0](#) and pieces are either all for a 5-digit ZIP Code that has an “A” or “C” indicator in the Carrier Route Indicators field in the City State Product or are all for a ZIP Code with a “B” or “D” indicator in the City State Product and the pieces in 5-digit bundles meet the 5% threshold requirement.
- j. *Merged 5-digit scheme sack*: the 5-digit ZIP Codes on pieces in carrier route bundles and/or machinable barcoded or nonbarcoded price 5-digit bundles in a sack are all for 5-digit ZIP Codes that are part of a single scheme as shown in [L001](#), and the machinable barcoded or nonbarcoded price 5-digit bundles also are for 5-digit ZIP Codes that have an “A” or “C” indicator in the Carrier Route Indicators field in the City State Product.



- k. *Merged 5-digit scheme pallet*: contains carrier route bundles and noncarrier route 5-digit bundles (machinable barcoded or nonbarcoded price 5-digit bundles) for those 5-digit ZIP Codes that are part of a single scheme as shown in [L001](#).
- l. *3-digit*: the ZIP Code in the delivery address on all pieces begins with the same three digits (see [L002](#), Column A).
- m. *3-digit scheme*: the ZIP Code in the delivery address on all pieces begins with one of the 3-digit prefixes processed by the USPS as a single scheme, as shown in [L003](#).
- n. *3-digit scheme bundles for flats prepared according to 301.3.0*: the ZIP Code in the delivery address on all pieces is one of the 3-digit ZIP Codes processed by the USPS as a single scheme, as shown in [L008](#).
- o. *Origin/entry 3-digit(s)*: the ZIP Code in the delivery address on all pieces begins with one of the 3-digit prefixes processed at the sectional center facility (SCF) in whose service area the mail is verified/entered. Separation is optional for each such 3-digit area.
- p. *SCF*: the separation includes pieces for two or more 3-digit areas served by the same sectional center facility (SCF) (see [L005](#)), *except that*, where required or permitted by standard, mail for a single 3-digit area may be prepared in an SCF separation when no mail for other 3-digit ZIP Code areas is available. For pallets, the SCF sort may include mail for a single 3-digit ZIP Code area.
- q. *Origin/entry SCF*: the separation includes bundles for one or more 3-digit areas served by the same sectional center facility (SCF) (see [L002](#), Column C, or [L005](#)) in whose service area the mail is verified/entered. Subject to standard, this separation is required regardless of the volume of mail.
- r. *ADC/AADC*: all pieces are addressed for delivery in the service area of the same area distribution center (ADC) or automated area distribution center (AADC) (see [L004](#) or [L801](#)).
- s. *Origin mixed [ADC]*: the pieces are for delivery in the service area of the origin processing facility's surface preferential transportation network (see [L201](#)).
- t. *Mixed [ADC, AADC, etc.]*: the pieces are for delivery in the service area of more than one ADC, AADC, and so forth.

#### 18.4 Mail Preparation Terms

For purposes of preparing mail:

- a. *Pieces* refers to individually addressed mailpieces. This definition also applies when *pieces* is used in eligibility standards. Quantities indicated for optional or required sortations always refer to pieces unless specifically excepted.
- b. A *full letter tray* is one in which faced, upright pieces fill the length of the tray between 85% and 100% full.
- c. A *less-than-full* letter tray is one that contains mail for the same destination regardless of quantity or whether a full tray was previously prepared for that destination. Less-than-full trays may be prepared only if permitted by the standards for the price claimed.



707.18.4

- d. An *overflow* letter tray is a less-than-full tray that contains all pieces remaining after preparation of full trays for the same destination. Overflow trays may be prepared only if permitted by the standards for the price claimed.
- e. A *full sack* is defined in the standards for the class and price claimed.
- f. A *full flat tray* is one that is physically full. Although a specific minimum volume may be required (at least a single stack of mail lying flat on the bottom of the tray and filling the tray to the bottom of the handholds) before a tray may or must be prepared for certain presort destinations, trays must be filled with additional available pieces (up to the reasonable capacity of the tray) when standards require preparation of full trays.
- g. A *less-than-full flat tray* is one that contains Periodicals mail for the same destination regardless of quantity or whether a full tray was previously prepared for that destination. Less-than-full flat trays may be prepared only if permitted by the standards for the price claimed.
- h. A *5-digit scheme sort for barcoded letters* yields 5-digit scheme trays for those 5-digit ZIP Codes identified in the USPS City State Product and 5-digit trays for other areas. Mail prepared using 5-digit scheme sort must be entered no later than 90 days after the release date of the City State Product used to obtain the scheme information (see [708.3.0](#)). The 5-digit ZIP Codes in each scheme are treated as a single presort destination subject to a single minimum volume, with no further separation by 5-digit ZIP Code required. Trays prepared for a 5-digit scheme destination that contain pieces for only one of the schemed 5-digit ZIP Codes are still considered 5-digit scheme sorted and are labeled accordingly. The 5-digit scheme sort is required for price eligibility but need not be used for all 5-digit ZIP Codes that are part of a scheme.
- i. A *5-digit scheme sort* yields 5-digit scheme bundles for those 5-digit ZIP Codes identified in [L007](#). When 5-digit scheme sorting is required, mailers must presort according to [L007](#). Pieces prepared in scheme bundles must meet the automation flat criteria in [301.3.0](#). Mailpieces must be labeled using an optional endorsement line (OEL) under [708.7.0](#) or bundles may be labeled with a red “SCH” bundle label. Periodicals firm bundles must not be combined within 5-digit scheme bundles.
- j. A *5-digit scheme carrier routes sort for carrier route price Periodicals flats and irregular parcels (nonletters) prepared in sacks or as bundles on pallets* yields a 5-digit scheme carrier routes sack or pallet for those 5-digit ZIP Codes listed in [L001](#) and 5-digit carrier routes sacks or pallets for other areas. The 5-digit ZIP Codes in each scheme are treated as a single presort destination subject to a single minimum sack or pallet volume, with no further separation by 5-digit ZIP Code required. Sacks or pallets prepared for a 5-digit scheme carrier routes destination that contain carrier route bundles for only one of the schemed 5-digit areas are still considered to be sorted to 5-digit scheme carrier routes and are labeled accordingly. The 5-digit scheme carrier routes sort is required for carrier route bundles of flat-size and irregular parcel Periodicals. Preparation of 5-digit scheme carrier routes sacks or pallets must be done for all 5-digit scheme destinations.



- k. *A 5-digit scheme sort for Periodicals flats prepared as bundles on pallets yields 5-digit scheme pallets containing machinable barcoded and nonbarcoded price 5-digit bundles for those 5-digit ZIP Codes listed in L001 and for other areas. The 5-digit ZIP Codes in each scheme are treated as a single presort destination subject to a single minimum pallet volume, with no further separation by 5-digit ZIP Code required. Pallets prepared for a 5-digit scheme destination that contain 5-digit bundles for only one of the schemed 5-digit areas are still considered to be sorted to the 5-digit scheme and are labeled accordingly. The 5-digit scheme sort is required for flat-size Periodicals. Preparation of 5-digit scheme pallets must be done for all 5-digit scheme destinations.*
- l. *A merged 5-digit sort for Periodicals flats prepared in sacks yields merged 5-digit sacks that contain carrier route bundles and/or machinable barcoded and nonbarcoded price 5-digit bundles that are all for a 5-digit ZIP Code that has an “A” or “C” indicator in the Carrier Route Indicators field in the City State Product. The merged 5-digit sort is optional for Periodicals flats. Sacks prepared for a merged 5-digit destination that contain only a single price level of bundles or that contain only two price levels of bundles are still considered to be merged 5-digit sorted and are labeled accordingly. If preparation of merged 5-digit sacks is performed, it must be done for all 5-digit ZIP Code destinations with an “A” or “C” indicator in the Carrier Route Indicators field in the City State Product.*
- m. *A merged 5-digit sort for Periodicals flats prepared as bundles on pallets yields merged 5-digit pallets that contain carrier route bundles and machinable barcoded and nonbarcoded price 5-digit bundles. The merged 5-digit sort is optional for Periodicals flats in sacks under 705.10.0. Pallets prepared for a merged 5-digit destination that contain only a single price level of bundles or that contain only two price levels of bundles are still considered to be merged 5-digit sorted and must be labeled accordingly.*
- n. *A merged 5-digit scheme sort for Periodicals flats prepared in sacks yields merged 5-digit scheme sacks that contain carrier route bundles and machinable barcoded and nonbarcoded price 5-digit bundles for those 5-digit ZIP Codes that are part of a single scheme as shown in L001. Sacks prepared for a merged 5-digit scheme destination that contain only a single price level of bundles, or only two price levels of bundles, or bundles for only one of the schemed 5-digit ZIP Codes are still considered to be merged 5-digit scheme sorted and must be labeled accordingly. If preparation of merged 5-digit scheme sacks is performed, it must be done for all 5-digit scheme destinations in L001.*
- o. *A merged 5-digit scheme sort for Periodicals flats prepared as bundles on pallets yields merged 5-digit scheme pallets that contain carrier route bundles and machinable barcoded and nonbarcoded price 5-digit bundles for those 5-digit ZIP Codes that are part of a single scheme as shown in L001. Pallets prepared for a merged 5-digit scheme destination that contain only a single price level of bundles, or only two price levels of bundles, or bundles for only one of the schemed 5-digit ZIP Codes are still considered to be merged 5-digit*



707.18.4

scheme sorted and must be labeled accordingly. If preparation of merged 5-digit scheme pallets is performed, it must be done for all 5-digit scheme destinations in [L001](#).

- p. A *3-digit scheme sort* yields 3-digit scheme trays for those 3-digit ZIP Code prefixes listed in [L003](#) and 3-digit trays for other areas. The 3-digit ZIP Code prefixes in each scheme are treated as a single presort destination subject to a single minimum tray volume, with no further separation by 3-digit prefix required. Trays prepared for a 3-digit scheme destination that contain pieces for only one of the schemed 3-digit areas are still considered 3-digit scheme sorted and are labeled accordingly. The 3-digit scheme sort is required for barcoded price letter-size Periodicals.
- q. A *3-digit scheme sort* yields 3-digit scheme bundles for those 3-digit ZIP Codes identified in [L008](#). When 3-digit scheme sorting is required, mailers must presort according to [L008](#). Pieces prepared in scheme bundles must meet the automation flat criteria in [301.3.0](#). Mailpieces must be labeled using an optional endorsement line (OEL) under [708.7.0](#) or bundles may be labeled with a green “3 SCH” bundle label. Periodicals firm bundles must not be combined within 3-digit scheme bundles.
- r. An *origin 3-digit* (or *origin 3-digit scheme*) tray/sack contains all mail (regardless of quantity) for a 3-digit ZIP Code (or 3-digit scheme) area processed by the SCF in whose service area the mail is verified. A separate tray/sack may be prepared for each 3-digit ZIP Code (or 3-digit scheme) area.
- s. An *origin/entry SCF sack* contains all 5-digit and 3-digit bundles (regardless of quantity) for the SCF in whose service area the mail is verified. At the mailer's option, such a sack may be prepared for the SCF area of each entry Post Office. This presort level applies only to nonletter-size Periodicals prepared in sacks.
- t. The *required at [quantity]* instruction (for example, “required at 10 pieces”) means that the particular unit *must* be prepared for the corresponding presort level whenever the specified quantity of mail is reached or exceeded. Bundles and containers may contain more than the specified *required at* quantity up to the applicable maximum physical size. Subject to applicable price eligibility standards, smaller quantities may be prepared only if permitted. Where specified by standard, required preparation applies only if the mailer chooses to qualify for the corresponding price.
- u. The *optional at [quantity]* instruction means that the particular unit *may* be prepared for the corresponding presort level whenever the specified quantity of mail is reached or exceeded. Bundles or containers may contain more than the specified *optional at* quantity up to the applicable maximum physical size. Smaller quantities may be prepared only if permitted by applicable price eligibility standards. Standards for quantities with which preparation is optional are often followed by standards for larger quantities with which preparation is required.



- v. *Entry [facility] (or origin [facility])* refers to the USPS mail processing facility (for example, “entry SCF”) that serves the Post Office at which the mail is entered by the mailer. If the Post Office where the mail is entered is not the one serving the mailer’s location (such as for plant-verified drop shipment), the Post Office of entry determines the *entry* facility.
- w. The *group pieces* instruction means the pieces are to be sorted together but not secured into a bundle.
- x. A *bundle* is a group of addressed pieces secured together as a unit. Bundle preparation is described in [19.0](#).
- y. A “*logical presort destination*” represents the total number of pieces that are eligible for a specific presort level based on the required sortation, but which might not be contained in a single bundle or in a single container (sack or pallet) due to applicable preparation requirements or the size of the individual pieces. For example, there may be 42 mailpieces for ZIP Code 43112 forming a Periodicals “logical” 5-digit bundle, and they are prepared in three physical 5-digit bundles because of the applicable weight and height restrictions on bundles. For pallets, 2,800 pounds of mail may be destined to an SCF destination, and these would form the “logical” SCF pallet, but the mail is placed on two physical SCF pallets each weighing 1,400 pounds because of the 2,200 pound maximum pallet weight requirement.
- z. *Cobundling* is an alternate preparation method available under [705](#) for Periodicals that allows the combining of flat-size machinable barcoded and nonbarcoded price pieces within the same bundle under the single minimum bundle size requirement. Pieces may not be combined in more than one physical bundle for each logical presort destination unless presented using an approved manifest mailing system under [705.2.0](#).
- aa. *Machinable flats* are:
  - 1. Flat-size pieces meeting the standards in [301.3.0](#) that are sorted into 5-digit, 3-digit, ADC, and mixed ADC bundles. These pieces are compatible with processing on the AFSM 100.
  - 2. Barcoded flat-size pieces meeting the standards in [26.0](#) that are sorted into 5-digit bundles.
- ab. *Nonmachinable flats* are flat-size pieces meeting the standards in [26.0](#), with the exception of barcoded 5-digit pieces under [18.4aa](#) (item 2) above. Nonmachinable flats are not compatible with processing on the AFSM 100.

## 19.0 Bundles

### 19.1 General

A *bundle* is a group of addressed pieces, for a presort destination, secured together. See [601.2.0](#) for bundling standards.



## 20.0 Sacks and Trays

### 20.1 Basic Standards

#### 20.1.1 General

[7-28-13] Mailings must be prepared in trays or sacks as shown in [Exhibit 20.1.1](#) and under other applicable standards in this section. The following additional standards apply to [Exhibit 20.1.1](#):

- a. Palletized mail is subject to [705.8.0](#).
- b. A postmaster may authorize nonpostal containers for a small-volume presorted mailing if the mailing weighs no more than 20 pounds, consists primarily of mail or bundles of mail for local ZIP Codes, and requires no USPS transportation for processing.

#### Exhibit 20.1.1 USPS Containers

PROCESSING CATEGORY	USPS CONTAINER
<b>Periodicals</b>	
Letter-size	Letter tray (with sleeve)
Flat-size	Sack (or Flat tray with lid)
Parcel	Sack

#### 20.1.2 Letter Tray Sizes

These approximate measurements define the tray sizes that apply to all mail preparation standards:

- a. 2-foot managed mail (MM) trays: 21 inches long by 10 inches wide (inside bottom dimensions) by 4-5/8 inches high.
- b. 1-foot managed mail (MM) trays: 10-1/4 inches long by 10 inches wide (inside bottom dimensions) by 4-5/8 inches high.
- c. 2-foot extended managed mail (EMM) trays: 21-3/4 inches long by 11-1/2 inches wide (inside bottom dimensions) by 6-1/8 inches high.

#### 20.1.3 Tray Sleevng and Strapping

Each letter tray must be sleeved, using USPS-provided sleeves. Except under [20.1.4](#), each sleeved letter tray must then be secured with one plastic strap placed tightly around the length of the tray without crushing the tray or sleeve.

#### 20.1.4 Strapping Exception

Strapping is not required for any letter tray placed on a 5-digit, 3-digit, or SCF pallet secured with stretchwrap. In addition, if the processing and distribution manager gives a written waiver, strapping is not required for any letter tray that originates and destines in the same SCF (mail processing plant) service area.

#### 20.1.5 Origin/Entry 3-Digit/Scheme Trays

For letter-size Periodicals, after all finer sort levels are prepared, an origin/entry 3-digit tray (or, if applicable, origin/entry 3-digit scheme tray) must be prepared to contain any remaining mail for each 3-digit (or 3-digit scheme) area serviced by the



SCF serving the Post Office where the mail is verified (origin), and may be prepared for each 3-digit (or 3-digit scheme) area served by the SCF/plant where mail is entered (if that is different from the SCF/plant serving the Post Office where the mail is verified—for example, a PVDS deposit site). In all cases, only one less-than-full tray may be prepared for each 3-digit (or 3-digit scheme) area.

#### **20.1.6 Flats and Irregular Parcels—Origin/Entry SCF Sacks**

For flat-size and irregular parcel-size Periodicals, after all finer sort levels are prepared, an origin/entry SCF sack must be prepared to contain any remaining 5-digit and 3-digit bundles for the 3-digit ZIP Code area(s) served by the SCF serving the Post Office where the mail is verified (origin), and may be prepared for the area served by the SCF/plant where mail is entered (if that is different from the SCF/plant serving the Post Office where the mail is verified—for example, a PVDS deposit site). In all cases, only one less-than-full sack may be prepared for each SCF area.

#### **20.1.7 Flats and Irregular Parcels—Origin Mixed ADC Sacks**

For flat-size and irregular parcel-size Periodicals, after all finer sort levels are prepared, mailers must prepare an origin mixed sack containing any remaining bundles for the ZIP Codes listed in [L201](#), Column B, that correspond to the 3-digit origin entry point of the mailing as listed in Column A. Mailers may prepare only one less-than-full origin mixed sack.

## **20.2 Letter Tray Preparation**

Letter trays are prepared as follows:

- a. Subject to availability of equipment, standard managed mail trays must be used for all letter-size mail, except that extended managed mail trays must be used when available for letter-size mail that exceeds the inside dimensions of managed mail trays defined in [20.1.2](#). When extended managed mail trays are not available for those larger pieces, they must be placed in managed mail trays, angled back, or placed upright perpendicular to the length of the tray in row(s) to preserve their orientation.
- b. Pieces must be “faced” (oriented with all addresses in the same direction with the postage area in the upper right).
- c. Each tray prepared must be filled before filling the next tray, with the contents in multiple trays relatively balanced. When preparing full trays, mailers must fill all possible 2-foot trays first; if there is mail remaining for the presort destination, mailers must use a combination of 1-foot and 2-foot trays that results in the fewest total number of trays for that presort level. As an alternative, if there is mail remaining, mailers may move those pieces to the next higher presort level at which there is a minimum quantity (for example, 150 pieces).
- d. For presort destinations that do not require full trays, pieces are placed in a less-than-full tray.
- e. Mailers must use as few trays as possible without jeopardizing price eligibility. For instance, a mailer will never have two 1-foot trays to a single destination; instead, that mail must be placed in a single 2-foot tray. A 1-foot tray is



707.20.3

prepared only if it is a full tray with no overflow; or if there is less than 1 foot of mail for that destination; or if the overflow from a full 2-foot tray is less than 1 foot of mail.

- f. Each tray must bear the correct tray label.
- g. Each tray must be sleeved and strapped under [1.3](#) and [1.4](#).
- h. If a mailing is prepared using an MLOCR/barcode sorter and is submitted with standardized documentation, then pieces do *not* have to be grouped by 3-digit ZIP Code prefix (or by 3-digit scheme, if applicable) in AADC trays, or by AADC in mixed AADC trays.

### 20.3 Sack Preparation

All sack preparation is subject to these standards:

- a. Each sack must bear the correct sack label.
- b. The weight of a sack and its content must not exceed 70 pounds.

### 20.4 Use of Flat Trays (Optional)

#### 20.4.1 General

When using this option (see [22.7](#) and [25.5](#)), mailers must prepare mailpieces in flat trays with green lids. Flat Tray Sizes

Flat tray sizes are as follows:

- a. Inside bottom dimensions: 14-3/4 inches long by 10-3/4 inches wide.
- b. Height: 8 inches to bottom of handhold, 11-1/4 inches to top of tray.

#### 20.4.2 Flat Tray Preparation

[\[7-28-13\]](#) Mailers using this option must prepare flat trays subject to these standards:

- a. Addresses on all pieces must face upward in the same direction.
- b. Pieces must be placed in trays to maintain their orientation (double stacks of mail are allowed). Once the required minimum volume is reached to allow or require preparation of a tray, additional pieces must be placed in the same tray up to its capacity to minimize the number of trays used. Trays must not be so full that the mailpieces inhibit adequate closure or covering of the contents.
- c. The weight of a tray and its content must not exceed 70 pounds.
- d. Each tray must bear the correct tray label.
- e. When pieces remain after one or more full trays are prepared for a 5-digit scheme, 5-digit, 3-digit, SCF, or ADC destination, an additional tray to the destination must be prepared if the remaining pieces reach the required volume. If the remaining volume is below the required minimum, the pieces must be moved to the next tray level that meets the minimum volume.
- f. Each flat tray must be covered with a green lid. Each covered flat tray must be secured with two straps placed tightly around the width (shorter dimension) of the tray.



## 21.0 Sack and Tray Labels

### 21.1 Basic Standards

#### 21.1.1 General

Sack and tray labels are subject to the following:

- a. Use 1-inch labels for sacks with metal 1-inch label holders/closures.
- b. Use 2-inch labels for sacks with 2-inch label holder pockets.
- c. Use 2-inch labels for sacks with dual plastic label holders/closures. One-inch labels may be used but are not recommended.
- d. Use 2-inch labels for trays.
- e. Illegible labels are not acceptable. Machine-printed labels (available from the USPS) ensure legibility. Legible hand-printed labels are acceptable.
- f. Container labels for automation price mailings are subject to 4.9 and 708.6.0.
- g. Intelligent Mail tray labels, used on sacks or trays, are subject to the standards in 708.6.5, *Intelligent Mail Tray Labels*, and to the specifications posted at <http://ribbs.usps.gov>.

#### 21.1.2 Line 1 (Destination Line)

Line 1 (destination line) must meet these standards:

- a. *Placement.* Line 1 must be the first visible line on the label. It must be completely visible and legible when placed in the label holder. This visibility is ensured if the top of this line is no less than 1/8 (0.125) inch below the top of the label when the label is cut and prepared.
- b. *Information.* Line 1 must contain only the information specified by standard, including the appropriate destination facility prefix (for example, "ADC"). Two zeros may follow the 3-digit ZIP Code prefix required by labeling standards (for example, 223 as 22300).
- c. *Overseas Military Mail.* On 5-digit sacks and trays for overseas military destinations, Line 1 shows, from left to right, "APO" or "FPO," followed by "AE" (for ZIP Codes within the ZIP Code prefix range 090-098), "AA" (for ZIP Codes within the 3-digit ZIP Code prefix 340), or "AP" (for ZIP Codes within the ZIP Code prefix range 962-966), followed by the destination 5-digit ZIP Code of the mail in the sack or tray.

#### 21.1.3 Line 2 (Content Line)

Line 2 (content line) must meet these standards:

- a. *Placement:* Line 2 must be the second visible line on the label. This line must show the class and processing category of the mail in the sack or tray and other information as specified by standards. Except as provided in 27.0 for combined mailings, Periodicals publications must use one of the following for Line 2 class information:
  1. "PER."
  2. "NEWS" if published weekly or more often or if authorized newspaper treatment as of March 1, 1984.



# 707

## Periodicals: Sack and Tray Labels

707.21.1.4

- b. *Codes:* The codes shown below must be used as appropriate on Line 2 of sack, tray, and pallet labels.

CONTENT TYPE	CODE
Barcoded	BC
Barcoded and Nonbarcoded	BC/NBC
Carrier Route	C (type of route)
Carrier Routes	CR-RT or CR-RTS
Digit	D
Flats	FLTS
General Delivery Unit	G
Highway Contract Route	H
Irregular Parcels	IRREG
Letters	LTR or LTRS
Machinable	MACH
Mixed	MXD
Nonbarcoded	NON BC (sacks) NBC (pallets and cotrayed or cosacked mail under <a href="#">705.9.0</a> )
Origin Mixed	OMX
Periodicals	PER or NEWS (see <a href="#">21.1.3a</a> )
Post Office Box Section	B
Rural Route	R
Scheme	SCH
Working	WKG

### 21.1.4 Line 3 (Office of Mailing or Mailer Information Line)

Line 3 (office of mailing or mailer information line) must be the bottom line of required information and must show either the city and state of the entry Post Office or the mailer's name and the city and state of the mailer's location. It is recommended that the mailer's name also appear with the city and state of the entry Post Office.

### 21.1.5 Extraneous Information

Extraneous information is not permitted on the destination and content lines. It may be placed away from required lines, subject to these conditions:

- It may be placed above Line 1 in not more than 0.083 inch high type (6-point type).
- It may appear to the right of required Line 3 information but it must not consist of numerals that resemble a ZIP Code or 3-digit ZIP Code prefix.
- It must not appear between Lines 1 and 2 (a blank line is permitted), but may appear between Lines 2 and 3 if it does not consist of numerals that resemble a ZIP Code or 3-digit ZIP Code prefix.
- It may appear below Line 3.



- e. A mailer code assigned by the USPS or such words as “Mailer,” “From” (or “FR”), or “Entered at” may appear before the required information on Line 3.

#### **21.1.6 Abbreviations**

Lines 1 and 3 may contain abbreviated information if such abbreviations are those in the USPS City State Product.

### **21.2 Sack Labels**

#### **21.2.1 Physical Characteristics**

A sack label must meet these specifications:

- a. Color: pink for Periodicals.
- b. Weight: minimum 70-pound stock (500 sheets, 24 by 36 inches).
- c. Length (parallel to printing): 3.250 inches minimum; 3.515 inches maximum.
- d. Height (perpendicular to printing):
  1. For 1-inch labels: 0.937 inch minimum; 0.980 inch maximum.
  2. For 2-inch labels: 1.860 inches minimum; 2.015 inches maximum.

#### **21.2.2 Additional Standards for Barcoded Sack Labels**

In addition to [21.2.1](#), barcoded sack labels must meet the standards in [21.4](#) and [708.6.3](#) (for 2-inch labels) or [708.6.4](#) (for 1-inch labels).

#### **21.2.3 Additional Standards for Automation-Compatible Flats**

For sack labels used on mailings of automation-compatible flats only:

- a. The human-readable content of sack labels must be machine-printed at five lines per inch. If the information cannot be shortened by postal abbreviations, it may be printed at a pitch of up to 15 characters per inch, if at least 22 human-readable characters fit on the label without interfering with the “quiet zone.”
- b. The minimum acceptable height for the destinating ZIP Code is 0.111 inch (8-point type).
- c. The minimum acceptable character height for all other information on Lines 1, 2, and 3 is 0.083 inch (6-point type).

### **21.3 Tray Labels**

#### **21.3.1 Placement**

Place the tray label securely in an adhesive-backed label holder affixed to the location designated on the tray. If no specific location is indicated, place the label securely in an adhesive-backed label holder affixed horizontally to the top left corner of one end of the tray. Do not use tape. Insert the label completely into the label holder to ensure that it does not fall out during processing. Do not insert labels upside down.

#### **21.3.2 Physical Characteristics**

A tray label must meet these specifications:

- a. Color: pink for Periodicals.



707.21.3.3

- b. Weight: minimum 70-pound stock (500 sheets, 24 by 36 inches).
- c. Height (perpendicular to printing): 1.860 inches minimum; 2.015 inches maximum.
- d. Length (parallel to printing): 3.250 inches minimum; 3.515 inches maximum.

### 21.3.3 Additional Standards for Barcoded Tray Labels

In addition to 21.3, barcoded tray labels must meet the standards in 708.6.3.

## 21.4 Use of Barcoded Sack and Tray Labels

Exhibit 21.4 shows the types of mail requiring barcoded sack or tray labels. Barcoded labels must meet these general standards:

- a. Mailers must use the appropriate size label as described in 21.1.1.
- b. Mailer-produced barcoded labels must meet the standards in 708.6.0.
- c. All information on barcoded labels must be machine-printed. Do not make alterations (such as handwritten changes) to preprinted barcoded labels.
- d. Mailers must insert barcoded labels completely into the label holder on the sack or tray to prevent their loss during transport and processing.
- e. Intelligent Mail tray labels must be used on all trays and sacks for mailings entered under the full-service Intelligent Mail automation option.

### Exhibit 21.4 Required Barcoded Sack and Tray Labels

PRICE OR TYPE	PROCESSING CATEGORY
<b>Periodicals</b>	
Automation price	Letter-size, flat-size
Cobundled and cosacked under 705.9.0 through 705.13.0	Flat-size

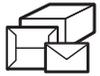
## 22.0 Preparing Nonbarcoded (Presorted) Periodicals

### 22.1 Basic Standards

#### 22.1.1 General

[7-28-13] For letter-size mail, nonletter-size mail in sacks (or flat trays when permitted), and palletized mail, the following standards apply:

- a. All pieces in each mailing must be in the same processing category.
- b. Letter-size pieces must be bundled under 22.2 and prepared in trays under 22.5. Trays prepared under this section may subsequently be palletized under 705.8.0.
- c. Nonletter-size pieces must be bundled under 22.2. Bundles placed on pallets must meet additional bundling criteria under 705.8.0.
- d. Bundles of nonletter-size pieces must be prepared under one of the following:
  1. Sacked under 22.6 or trayed (for flats) under 22.7, except that a nonbarcoded price mailing that is part of a mailing job that also contains a barcoded mailing must be prepared as described in 22.1.2.



2. Palletized under [705.8.0](#), [705.10.0](#), [705.12.0](#), or [705.13.0](#).
- e. Sacks prepared under [22.6](#) (or flat trays under [22.7](#)) may subsequently be prepared on pallets under [705.8.0](#).
- f. All pieces must be sorted together to the finest extent required under the applicable sortation standards described above.

### **22.1.2 Additional Standards for Nonletter-Size Mailing Jobs Containing More Than One Mailing**

[7-28-13] The following standards apply:

- a. Mailings prepared in sacks (or for flats, in flat trays) that are part of a mailing job that includes carrier route, barcoded price, and nonbarcoded price mailings must be prepared under one of the options listed below. Machinable barcoded and nonbarcoded pieces may be cobundled together under [705.11.0](#).
  1. The carrier route mailing must be prepared under [13.0](#) and [23.0](#), and the barcoded price and nonbarcoded price mailing must be prepared under [705.9.0](#), or
  2. All three mailings in the mailing job must be prepared under [705.10.0](#).
- b. Mailing jobs that include a barcoded price mailing and a nonbarcoded price mailing must be prepared under the "cosacking" standards in [705.9.0](#).
- c. Mailing jobs that contain only a carrier route mailing and a nonbarcoded price mailing may be separately prepared under [23.0](#) and [22.0](#) or may be prepared using the merged option under [705.10.0](#).
- d. Mailing jobs that contain only a carrier route mailing and a barcoded price mailing may be separately prepared under [23.0](#) and [25.0](#), or may be prepared using the merged option under [705.10.0](#).

### **22.1.3 Documentation**

A complete, signed postage statement, using the correct USPS form or an approved facsimile, must accompany each mailing, supported by documentation meeting the basic standards in [708.1.0](#). Postage statements must be supported by documentation produced by PAVE-certified software or standardized documentation under [708.1.0](#). Documentation of postage is not required if each piece is of identical weight and the pieces are separated by price and zone when presented for acceptance.

### **22.1.4 Merged Containerization of Nonletter-Size Carrier Route, Barcoded Price, and Nonbarcoded Price Mail**

[7-28-13] Under the optional preparation in [705.10.0](#), nonbarcoded price 5-digit bundles prepared under [22.1](#) and [22.2](#) are cosacked (or flats may be cotrayed) with carrier route bundles prepared under [23.0](#) and with barcoded price 5-digit bundles prepared under [25.0](#) in merged 5-digit and merged 5-digit scheme sacks (or flat trays). Under the optional preparation in [705.10.0](#), [705.12.0](#), or [705.13.0](#), nonbarcoded price 5-digit bundles prepared under [22.1](#) and [22.2](#) are copalletized with carrier route bundles prepared under [23.0](#) and with barcoded price 5-digit bundles prepared under [25.0](#) on merged 5-digit and merged 5-digit scheme pallets.



See [22.1.2a](#) for information on when preparation under [705.10.0](#) may be required. Machinable barcoded and nonbarcoded price pieces may be cobundled under [705.11.0](#).

#### **22.2 Bundle Preparation**

Mailings consisting entirely of nonbarcoded pieces meeting the criteria in [301.3.0](#) may be prepared in 5-digit scheme bundles for those 5-digit ZIP Codes identified in [L007](#) and in 3-digit scheme bundles for those 3-digit ZIP Codes identified in [L008](#). A bundle must be prepared when the quantity of addressed pieces for a required presort level reaches the minimum bundle size (except under [22.7](#)). Smaller volumes are not permitted except in mixed ADC bundles and 5-digit/scheme and 3-digit/scheme bundles prepared under [22.4](#). Bundling is also subject to [19.0, Bundles](#). Preparation sequence, bundle size, and labeling:

- a. Firm (optional); two-piece minimum; blue Label F or optional endorsement line (OEL).
- b. 5-digit scheme (optional); six-piece minimum; Red Label 5 SCH or OEL.
- c. 5-digit (required); six-piece minimum; Red Label 5 or OEL; bundle labeling optional for pieces in full 5-digit trays
- d. 3-digit scheme (optional); six-piece minimum; Green Label 3 SCH or OEL.
- e. 3-digit (required); six-piece minimum; Green Label 3 or OEL.
- f. ADC (required); six-piece minimum; Pink Label A or OEL.
- g. Origin mixed ADC (required); no minimum; for any remaining pieces for destinations in [L201](#), Column B, corresponding to the origin ZIP Code in Column A; Tan label X or OEL.
- h. Mixed ADC (required); no minimum; Tan Label X or OEL.

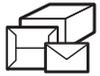
#### **22.3 Firm Bundles**

A “firm bundle” is defined as two or more copies for the same address placed in one bundle. If each copy has a delivery address, each may be claimed as a separate piece for presort and on the postage statement, or the firm bundle may be claimed as one addressed piece. A firm bundle claimed as one addressed piece must be physically separate from other bundles and may only be used to satisfy a six-piece bundle requirement to a presort destination for In-County prices. Firm bundles must not be placed in 5-digit scheme sacks.

#### **22.4 Bundles With Fewer Than Six Pieces**

Nonletter-size Periodicals may be prepared in 5-digit and 3-digit bundles containing fewer than six pieces when the publisher determines that such preparation improves service. Pieces in these low-volume bundles must be claimed at the applicable mixed ADC price (Outside-County) or basic price (In-County). Low-volume bundles are permitted only when they are sacked or prepared on pallets as follows:

- a. Place bundles in only 5-digit, 3-digit, and SCF sacks that contain at least 24 pieces, or in origin/entry SCF sacks, as appropriate.
- b. Place bundles on only merged 5-digit scheme, 5-digit scheme, merged 5-digit, 5-digit, 3-digit, and SCF pallets.



## 22.5 Tray Preparation—Letter-Size Pieces

Preparation sequence, tray size, and labeling:

- a. *5-digit*: required at 24 pieces, optional with one six-piece bundle minimum.
  1. Line 1: use city, state, and 5-digit ZIP Code on mail (see [21.1.2](#) for overseas military mail).
  2. Line 2: “PER” or NEWS” as applicable; followed by “LTRS 5D NON BC.”
- b. *3-digit*: required at 24 pieces (no minimum for required origin/optional entry 3-digit(s)), optional with one six-piece bundle minimum.
  1. Line 1: use [L002](#), Column A.
  2. Line 2: “PER” or NEWS” as applicable; followed by “LTRS 3D NON BC.”
- c. *ADC*: required at 24 pieces, optional with one six-piece bundle minimum.
  1. Line 1: use [L004](#), Column B.
  2. Line 2: “PER” or NEWS” as applicable; followed by “LTRS ADC NON BC.”
- d. *Mixed ADC*: required (no minimum).
  1. Line 1: Use [L011](#), Column B.
  2. Line 2: “PER” or NEWS” as applicable; followed by “LTRS NON BC WKG.”

## 22.6 Sack Preparation—Flat-Size Pieces and Parcels

For mailing jobs that also contain a barcoded price mailing, see [22.1.2](#) and [705.9.0](#) or [705.10.0](#). For other mailing jobs, preparation sequence, sack size, and labeling:

- a. *5-digit scheme*, optional; for pieces meeting the standards in [301.3.0](#); 24-piece minimum, fewer pieces not permitted.
  1. Line 1: [L007](#), Column B.
  2. Line 2: “PER” or “NEWS” as applicable, followed by “FLTS 5D SCH NON BC.”
- b. *5-digit*, required at 24 pieces, fewer pieces not permitted.
  1. Line 1: use city, state, and 5-digit ZIP Code on mail (see [21.1.2](#) for overseas military mail).
  2. Line 2: “PER” or NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “5D”; followed by “NON BC” for flats.
- c. *3-digit*, required at 24 pieces, fewer pieces not permitted.
  1. Line 1: use [L002](#), Column A.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “3D”; followed by “NON BC” for flats.
- d. *SCF*, required at 24 pieces, fewer pieces not permitted.
  1. Line 1: use [L002](#), Column C.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “SCF”; followed by “NON BC” for flats.



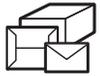
707.22.7

- e. *Origin/entry SCF*, required for the SCF of the origin (verification) office, optional for the SCF of an entry office other than the origin office, (no minimum); for Line 1 use [L002](#), Column C.
  - 1. Line 1: use [L002](#), Column C.
  - 2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “SCF”; followed by “NON BC” for flats.
- f. *ADC*, required at 24 pieces, fewer pieces not permitted.
  - 1. Line 1: use [L004](#), Column B.
  - 2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “ADC”; followed by “NON BC” for flats.
- g. *Origin mixed ADC*, required; no minimum; for any remaining bundles for destinations in [L201](#), Column B, corresponding to the origin ZIP Code in Column A.
  - 1. Line 1: Use [L201](#), Column C.
  - 2. Line 2: “PER” or “NEWS” as applicable, followed by “FLTS” or “IRREG” as applicable, followed by “WKG W FCM.”
- h. *Mixed ADC*, required (no minimum).
  - 1. Line 1: Use [L009](#), Column B.
  - 2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “NON BC WKG” for flats or “WKG” for irregular parcels.

### 22.7 Optional Tray Preparation — Flat-Size Nonbarcoded Pieces

[\[7-28-13\]](#) As an option, mailers may place in flat trays (see [20.4](#)) machinable pieces that would normally be placed in sacks. Mailers also may prepare nonmachinable (see [26.0](#)) flats in flat trays. Bundling is not permitted unless a bundle is more finely presorted than the presort destination of the tray. The trays are subject to a container charge, and any bundles are subject to a bundle charge. Tray preparation, sequence, and labeling:

- a. *5-digit scheme* (required), 24-piece minimum, labeling:
  - 1. Line 1: [L007](#), Column B.
  - 2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “5D SCH NON BC.”
- b. *5-digit* (required), 24-piece minimum, labeling:
  - 1. Line 1: city, state, and 5-digit ZIP Code on mail (see [708.6.2.2](#) for overseas military mail).
  - 2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “5D NON BC.”
- c. *3-digit* (required), 24-piece minimum, labeling:
  - 1. Line 1: [L002](#), Column A.



2. Line 2: "PER" or "NEWS" as applicable; followed by "FLTS"; followed by "3D NON BC."
- d. *SCF* (required), 24-piece minimum, labeling:
  1. Line 1: L002, Column C.
  2. Line 2: "PER" or "NEWS" as applicable; followed by "FLTS"; followed by "SCF NON BC."
- e. *Origin SCF* (required) and entry SCF(s) (optional), no minimum, labeling:
  1. Line 1: L002, Column C.
  2. Line 2: "PER" or "NEWS" as applicable; followed by "FLTS"; followed by "SCF NON BC."
- f. *ADC* (required), 24-piece minimum, no overflow tray allowed, labeling:
  1. Line 1: use L004, Column B.
  2. Line 2: "PER" or "NEWS" as applicable; followed by "FLTS"; followed by "ADC"; followed by "NON BC."
- g. *Origin mixed ADC* (required), no minimum, for any remaining pieces for destinations in L201, Column B, of the origin ZIP Code in Column A, labeling:
  1. Line 1: Use L201, Column C.
  2. Line 2: "PER" "NEWS" as applicable; followed by "FLTS"; followed by "WKG W FCM."
- h. *Mixed ADC* (required), no minimum, labeling:
  1. Line 1: Use L009.
  2. Line 2: "PER" or "NEWS" as applicable; followed by "FLTS"; followed by "NON BC WKG."

## 23.0 Preparing Carrier Route Periodicals

### 23.1 Basic Standards

#### 23.1.1 General

[7-28-13] Mailers must meet the following standards for carrier route mailings:

- a. All pieces in each carrier route Periodicals mailing must be in the same processing category.
- b. Letter-size pieces must be bundled under 23.2 and prepared in trays under 23.3. Trays prepared under this section may subsequently be palletized under 705.8.0.
- c. Nonletter-size pieces must be bundled under 23.2. Bundles placed on pallets must meet additional bundling standards under 705.8.0.
- d. Except as noted in 23.4.2, mailers must prepare bundles of nonletter-size pieces according to one of the following:



### 707.23.1.2

1. Sack under [23.4](#), or under [705.10.1](#) if eligible to be cosacked with barcoded price and nonbarcoded price Periodicals pieces.
2. Palletized under [705.8.0](#), [705.10.0](#), [705.12.0](#), or [705.13.0](#).
- e. Sacks prepared under [23.4](#), *Preparation—Flat-Size Pieces and Irregular Parcels*, may subsequently be prepared on pallets under [705.8.0](#).
- f. All pieces must be sorted together to the finest extent required under the applicable sortation standards described above.
- g. Pieces with a simplified address must meet the corresponding preparation standards in [602.3.0](#), *Use of Alternative Addressing*, and the eligibility standards in [7.0](#), *Mailing to Nonsubscribers or Nonrequesters*.

#### **23.1.2 Documentation**

A complete, signed postage statement, using the correct USPS form or an approved facsimile, must accompany each mailing, supported by documentation meeting the basic standards in [708.1.0](#). Postage statements must be supported by documentation produced by PAVE-certified software or standardized documentation under [708.1.0](#). Documentation of postage is not required if each piece is of identical weight and the pieces are separated by price and zone when presented for acceptance. Documentation of sequencing and of density standards under [23.8](#) and [23.9](#) must be submitted with each mailing.

#### **23.1.3 Sequencing**

Preparation for carrier route prices is optional and subject to additional standards. Periodicals for which a carrier route discount is claimed must be prepared as a carrier route mailing under this section, using either walk sequencing or line-of-travel sequencing, applicable to the price claimed (see [23.8](#) and [23.9](#), *Delivery Sequence Documentation*). Mailpieces prepared with a simplified address must meet the additional standards in [602.3.0](#), *Use of Alternative Addressing*.

#### **23.1.4 Merged Containerization of Nonletter-Size Carrier Route and Machinable Barcoded and Nonbarcoded Price Mail**

[7-28-13] For mailing jobs that contain machinable barcoded, machinable nonbarcoded price, and carrier route price mailings; see [22.1.4](#) for preparation options.

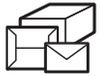
### **23.2 Bundle Preparation**

#### **23.2.1 General**

Bundle preparation is subject to [19.0](#), *Bundles*, and the specific standards below.

#### **23.2.2 Walk-Sequence Identification**

In addition to the bundle label showing carrier route type and number under [23.2.3](#), each bundle of Periodicals walk-sequence mail must show that the mail is walk sequenced and the level of sequencing. A facing slip with the phrase “HIGH DENSITY WALK-SEQUENCED CARRIER ROUTE MAIL” or “SATURATION WALK-SEQUENCED CARRIER ROUTE MAIL” (as applicable) may be placed on the top of each bundle of walk-sequence mail. It may be an address label with the required information placed on a sample mailpiece that is the top piece in the



bundle, or a slip of paper affixed to the top of the bundle. If bundles are prepared without facing slips, an optional endorsement line or carrier route information line must be placed on each piece in the bundle to provide the equivalent information.

### 23.2.3 Bundling and Labeling

Preparation sequence, bundle size, and labeling:

- a. Firm (optional); two-piece minimum; blue Label F or optional endorsement line (OEL).
- b. Carrier route (optional, but required for price eligibility); six-piece minimum (fewer pieces permitted under 23.6); labeling required (facing slip, OEL, or carrier route information line) except for bundles placed in a carrier route tray or sack.

## 23.3 Preparation—Letter-Size Pieces

### 23.3.1 Basic Preparation

Preparation sequence, tray size, and labeling:

- a. *Carrier route*: required at 24 pieces, optional with one six-piece bundle.
  1. Line 1: use city, state, and 5-digit ZIP Code on mail (see 21.1.2 for overseas military mail).
  2. Line 2: “PER” or “NEWS” as applicable; followed by “LTRS;” followed by “WSS” for saturation price mail, or “WSH” for high density price mail, or “CR” for basic price mail; followed by the route type and number.
- b. *5-digit carrier routes*: required if full tray, optional with one six-piece bundle.
  1. Line 1: use city, state, and 5-digit ZIP Code destination of bundles, preceded for military mail by the prefixes under 21.1.2.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “LTRS CR-RTS.”
- c. *3-digit carrier routes*, optional with one six-piece bundle for each of two or more 5-digit areas.
  1. Line 1: use the city, state, and ZIP shown in L002, Column A that corresponds to the 3-digit ZIP Code prefix of bundles.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “LTRS 3D CR-RTS.”

### 23.3.2 Tray Line 2 for Simplified Address

For trays that contain letter-size pieces with a simplified address prepared under 602.3.0, *Use of Alternative Addressing*, use “MAN” on Line 2 in place of “BC.”

## 23.4 Preparation—Flat-Size Pieces and Irregular Parcels

### 23.4.1 Sacking and Labeling

[1-27-13] Preparation sequence, sack size, and labeling:

- a. *Carrier route*, required at 72 pieces, optional at 24 pieces, fewer pieces not permitted.
  1. Line 1: use city, state, and 5-digit ZIP Code on mail (see 21.1.2 for overseas military mail).

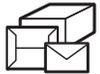


2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “WSS” for saturation price mail, or “WSH” for high density price mail, or “CR” for basic price mail; followed by the route type and number.
- b. *5-digit scheme carrier routes*, required at 72 pieces, optional at 24 pieces, fewer pieces not permitted.
  1. Line 1: use [L001](#), Column B.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “CR-RTS SCH.”
- c. *5-digit carrier routes*, required at 72 pieces, optional at 24 pieces, fewer pieces not permitted.
  1. Line 1: use city, state, and 5-digit ZIP Code destination of bundles, preceded for military mail by the prefixes under [21.1.2](#).
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS” or “IRREG” as applicable; followed by “CR-RTS.”
- d. *3-digit carrier routes*, required with one six-piece bundle. As an option to preparing carrier route bundles in 3-digit carrier routes sacks, mailers may place those bundles in flats trays (see [20.4](#)).
  1. Line 1: use the city, state, and ZIP Code shown in [L002](#), Column A, that corresponds to the 3-digit ZIP Code prefix of bundles.
  2. Line 2: “PER” or “NEWS” as applicable, followed by “FLTS 3D” or “IRREG 3D” as applicable, followed by “CR-RTS.”

#### **23.4.2 Exception to Sacking**

[\[7-28-13\]](#) Flat-size pieces in carrier route bundles may be placed in flat trays instead of sacks when the mail is sorted under standards in [23.4.1a](#) through [23.4.1d](#). Sacking or traying is not required for carrier route or 5-digit bundles entered at a DDU when the mailer unloads bundles under [29.5.5](#). Mail presented under the latter exception is not subject to the container charge (but is subject to the bundle charge). Mailers must prepare unsacked, untrayed bundles as follows:

- a. The maximum weight for a single bundle is 40 pounds; the maximum number of bundles per carrier route is one bundle for each 10 pounds (or increment) of mail for that route, as follows:
  1. Mailers must make the fewest number of bundles possible, up to the 40-pound maximum, while maintaining bundle integrity. To determine the maximum number of bundles allowable for a route, divide the total weight of mail for that route by 10 and round up to the next whole number. For example, if there are 34 pounds of mail for a carrier route, the maximum number of bundles for that route is four ( $34 \div 10 = 3.4 = 4$  bundles).
  2. Mailers may balance the weight of the bundles across the maximum number of allowable bundles for a route. For example, if there are 36 pounds of mail for a carrier route, the maximum number of bundles for that route is four ( $36 \div 10 = 3.6 = 4$  bundles), which may be in four 9-pound bundles.



- b. Mailers must clearly label carrier route bundles using optional endorsement lines under [708.7.0](#) or facing slips under [601.2.15](#).

### 23.5 Firm Bundles

A *firm bundle* is two or more copies for the same address placed in one bundle. If each copy has a delivery address, each may be claimed as a separate piece for presort and on the postage statement or the firm bundle may be claimed as one piece. The USPS considers a firm bundle that is sorted and claimed as one piece to be one addressed piece for price eligibility purposes, regardless of the number of copies in the bundle. Mailers must clearly label firm bundles using facing slips or barcoded pressure-sensitive labels under [19.0](#), or optional endorsement lines under [708.7.0](#).

### 23.6 Bundles With Fewer Than Six Pieces

Nonletter-size Periodicals may be prepared in carrier route bundles containing fewer than six pieces when the publisher determines that such preparation improves service. Pieces in these low-volume bundles must be claimed at the applicable mixed ADC price (Outside-County) or basic price (In-County). Low-volume carrier route bundles are permitted only when they are sacked or prepared on pallets as follows:

- a. Place bundles in only 5-digit scheme carrier routes and 5-digit carrier routes sacks that contain at least 24 pieces, or 3-digit carrier routes or merged 3-digit sacks that contain at least one six-piece carrier route bundle.
- b. Place bundles on only merged 5-digit scheme, 5-digit scheme carrier routes, merged 5-digit, 5-digit carrier routes, 3-digit, and SCF pallets.

### 23.7 Multi-Box Section Bundles—Optional Preparation

A mailer may combine individual copies of Periodicals for Post Office box sections into a multi-box section bundle or bundles of copies to the same 5-digit ZIP Code under these conditions:

- a. Each multi-box section bundle must contain at least six copies.
- b. Fewer than six copies to each Post Office box section are included in the bundle or bundles.
- c. Copies must be prepared in order from the lowest to the highest Post Office box section and if more than one piece exists for a specific Post Office box section, existing sequencing requirements as specified in [23.0](#) must be met.
- d. Each multi-box section bundle must be secured with at least two bands, one around the length and one around the girth; or with shrinkwrap; or with shrinkwrap plus one or more bands.
- e. Each multi-box section bundle must be labeled with an optional endorsement line (OEL). The top bundle must contain the box section information for the individual bundle preceded by the endorsement “Multi” and two asterisks (e.g., \*\*\*\*\*Multi\*\*B-001).
- f. Bundles must be placed in existing carrier-route, 5-Digit scheme, or 5-Digit carrier routes sacks.
- g. Copies prepared in multi-box section bundles qualify for 5-digit prices.



707.23.8

- h. Copies prepared in multi-box section bundles may qualify for DDU container discounts.

### **23.8 Delivery Sequence Information**

#### **23.8.1 General**

Mailpieces for which a walk-sequence discount is claimed must be organized in the delivery sequence determined by the USPS and prepared as a carrier route mailing under [23.0](#) and the standards below. Pieces prepared with a simplified address must also meet the corresponding standards.

#### **23.8.2 Missing Addresses**

Some mailpieces cannot be sequenced because an exact match for a name or address cannot be obtained. These pieces may be included in a sequenced mailing only if they are placed behind or after the sequenced mail. Arrange these pieces:

- a. Alphabetically by complete street name, then either in ascending order by ZIP+4 code sector segments or numerically in ascending order by primary address.
- b. Numerically for numbered streets, then either in ascending order by ZIP+4 code sector segments or numerically in ascending order by primary address.

#### **23.8.3 Pieces With Simplified Address**

Walk-sequence price pieces prepared with a simplified address must be based on delivery stop information obtained within 90 days before the mailing date, either from the Delivery Statistics File or from the postmaster of the destination office.

#### **23.8.4 Pieces Without Simplified Address**

Walk-sequence price pieces prepared with other than a simplified address format under [23.8.3](#) must be sequenced using USPS data from one of the following sources, issued within 90 days before the mailing date:

- a. The Computerized Delivery Sequence (CDS) file.
- b. The Delivery Sequence File, Second Generation (DSF<sup>2</sup>).
- c. Delivery sequence information from USPS address sequencing services, as requested by the customer.

#### **23.8.5 Out-of-Date Sequencing Information**

Mailings prepared with out-of-date walk-sequencing information are not eligible for walk-sequence prices.

#### **23.8.6 Line-of-Travel Sequence**

Unless the mail is prepared in carrier walk sequence, line-of-travel (LOT) sequence is required for mailings at Standard Mail Enhanced Carrier Route basic prices and Periodicals carrier route basic prices. LOT sequence is not an exact walk sequence but a sequence of ZIP+4 codes arranged in the order that the route is served by a carrier. (First the ZIP+4 groups are sequenced, then the addresses within each are identified as being in ascending or descending order.) The USPS eLOT product provides a list of the ZIP+4 codes each carrier route serves, identifies the order in which they are delivered, and provides an indicator specifying whether the addresses that share the same ZIP+4 code must be sorted in ascending or



descending order. When a range of ZIP+4 codes on the same carrier route are assigned the same sequence number, the addresses bearing those ZIP+4 codes must be arranged in ascending ZIP+4 code order before the sequence number is assigned. LOT information must be updated within 90 days before the date of mailing.

## 23.9 Delivery Sequence Documentation

### 23.9.1 General

The postage statement must be annotated in the “Carrier Route Sequencing Date” block on page 1. The mailer must annotate the postage statement to show the earliest (oldest) date of the method used to obtain sequencing information for the mailing. The mailer’s signature on the postage statement certifies that this standard has been met when the corresponding mail is presented to the USPS. The mailer must maintain documentation to substantiate compliance with the standards for carrier route sequencing. Unless submitted with each corresponding mailing, the mailer must be able to provide the USPS with documentation (if requested) of accurate sequencing or delivery statistics for each carrier route to which pieces are mailed. Acceptable forms of documentation are:

- a. The invoice showing that the addresses came from CDS.
- b. DSF<sup>2</sup> invoice or documentation.
- c. Copies of the delivery unit summaries that served as the mailer’s bills for address sequencing service charges.
- d. Evidence of receipt of information from postmasters for simplified address mailings (see [509.1.0, Address Information System Services](#)).
- e. Form 3553 showing the date of the eLOT product used, or the date from the USPS Qualification report produced by presort software.

### 23.9.2 High Density Price Mail

The following documentation must be submitted for high density price mail:

- a. Periodicals Other Than In-County Mail. For each carrier route to which high density price mail is addressed, the mailer must document the total number of addressed pieces to the route. If there are fewer than 125 addressed pieces for a given route, the documentation also must show the number of possible deliveries on the route.
- b. Periodicals In-County Mail. For each carrier route for which Periodicals In-County high density prices are claimed under the minimum 25% of the total active possible deliveries per carrier route, the documentation must show for each carrier route for which the prices are claimed the total number of active possible deliveries and the number and percentage of deliveries to which mailpieces are addressed. The documentation must be listed by 5-digit ZIP Code and, within each, by carrier route. It must be submitted with each applicable mailing.



### **23.9.3 Saturation Density Price Mail—Simplified Address**

For each carrier route to which mail with a simplified address is sent at the saturation price, the mailer must be able to document that the mailing meets the applicable density standard. This documentation must show the total number of active possible deliveries and the total number to which mailpieces in the mailing are being addressed, by 5-digit ZIP Code and, within each, by carrier route. It must be submitted with each applicable mailing.

### **23.9.4 Saturation Density Price Mail—Other**

For each carrier route to which mail without a simplified address is sent at the saturation price, the mailer must be able to document that the mailing meets the applicable density standards. This documentation must show either the total number of active possible *residential* deliveries and the number and percentage to which mailpieces are addressed, or the total number of *all* active possible deliveries and the number and percentage to which mailpieces are addressed, depending on whether qualification is based on the 90% or 75% criterion, respectively. The documentation must be listed by 5-digit ZIP Code and, within each, by carrier route. It must be submitted with each applicable mailing.

### **23.9.5 High Density and Saturation Density Price Mail**

If a mailing contains pieces qualifying for both walk-sequence prices, the documentation may be combined. Entries for pieces at the high density price must be so annotated on the documentation. For the entire mailing, a summary of the total number of pieces at each price must be provided. This documentation must be submitted with each applicable mailing.

### **23.9.6 Carrier Route Price Mail**

If a mailing includes high density and saturation price and basic carrier route price pieces, in addition to the applicable information required by [23.9.2](#) through [23.9.5](#), the documentation for the basic carrier route price mail must show, by 5-digit ZIP Code and, within each, by carrier route, the total number of addressed pieces at each price for each carrier route to which pieces are addressed. Pieces qualifying for the basic carrier route price must be so annotated. For the entire mailing, a summary by 5-digit ZIP Code of the total number of pieces at each price must be provided. This documentation must be submitted with each applicable mailing to meet the documentation standard for the carrier route price.

## 24.0 Preparing Letter-Size Barcoded (Automation) Periodicals

### 24.1 Basic Standards

#### 24.1.1 General

Letter-size barcoded price Periodicals must be prepared under [24.0](#). Bundle and tray preparation are subject to [19.0](#) through [21.0](#). Trays must bear barcoded tray labels under [708.6.0](#).



### 24.1.2 Mailings

All pieces in a mailing must meet the standards in [201.3.0](#) and must be sorted together to the finest extent required for the price claimed. A single barcoded price Periodicals mailing may include pieces prepared at 5-digit, 3-digit, ADC and mixed ADC (Outside-County), and basic (In-County) prices.

### 24.1.3 Documentation

A complete postage statement must accompany each mailing. Each mailing also must be accompanied by presort and price documentation produced by PAVE-certified or MAC-certified software or by standardized documentation under [708.1.0](#). *Exception:* For mailings of fewer than 10,000 pieces, presort and price documentation is not required if postage at the correct price is affixed to each piece or if each piece is of identical weight and the pieces are separated by price when presented for acceptance. Mailers may use a single postage statement and a single documentation report for all price levels in a single mailing. Combined mailings of more than one Periodicals publication also must be documented under [22.0](#) and [23.0](#).

### 24.1.4 Marking

Only pieces claimed at an automation price may bear "AUTO."

### 24.1.5 General Preparation

Grouping, bundling, and labeling are not generally required or permitted, except that bundles must be prepared in any mailing consisting entirely of card-size pieces and for mail in all less-than-full trays (including overflow trays) as specified in [707.24.2, Additional Standards](#). Bundles are not required to be identified with an optional endorsement line or a barcoded pressure-sensitive bundle label when placed into a correctly labeled tray.

## 24.2 Additional Standards

### 24.2.1 Preparing Barcoded Price Letters

Tray size, preparation sequence, and Line 1 labeling:

- a. 5-digit/scheme: optional, but 5-digit trays required for price eligibility (150-piece minimum); overflow allowed; for Line 1, for 5-digit trays, use city, state, and 5-digit ZIP Code destination of pieces, preceded for military mail by the prefixes under [21.1.2](#); for Line 1, for optional 5-digit scheme trays, use destination shown in the current USPS City State Product.
- b. 3-digit/scheme: required (150-piece minimum except no minimum for required origin/optional entry 3-digit(s)/scheme); overflow allowed; for Line 1, use [L002](#), Column B.
- c. AADC: required (150-piece minimum); overflow allowed; group pieces by 3-digit ZIP Code prefix (or 3-digit/scheme if applicable); for Line 1, use [L801](#), Column B.
- d. Mixed AADC: required (no minimum); group pieces by AADC; for Line 1, use [L011](#), Column B.



707.24.2.2

### 24.2.2 Tray Line 2

Line 2: "PER LTRS" or "NEWS LTRS" (except "NEWS LTR" for 5-digit scheme trays), as applicable, and:

- a. 5-digit scheme: "BC 5D SCHEME."
- b. 5-digit: "5D BC."
- c. 3-digit scheme: "BC SCHEME" and, if applicable, as shown in [L002](#), Column B, followed by the letter "A," "B," or "C."
- d. 3-digit: "3D BC."
- e. AADC: "AADC BC."
- f. Mixed AADC: "BC WKG."

## 25.0 Preparing Flat-Size Barcoded (Automation) Periodicals

### 25.1 Basic Standards

#### 25.1.1 General

Each piece must meet the physical standards in [301.3.0](#) or in [26.0](#). Bundle, sack, and tray preparation are subject to [18.0](#) through [21.0](#) and this section. Trays and sacks must bear the appropriate barcoded container labels under [708.6.0](#). Pieces may be prepared in bundles that are not placed in sacks or pallets only as provided in [23.4.2](#).

#### 25.1.2 Documentation

A complete postage statement must accompany each mailing, supported by documentation produced by PAVE-certified software or standardized documentation under [708.1.0](#). Mailers may use a single postage statement and a single documentation report for all price levels in a single mailing. Documentation of postage is not required if the correct price is affixed to each piece or if each piece is of identical weight and the pieces are separated by price when presented for acceptance. Combined mailings of Periodicals publications must also be documented under [22.0](#) and [23.0](#).

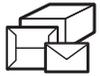
#### 25.1.3 Marking

Only pieces claimed at a barcoded price may bear "AUTO."

#### 25.1.4 Bundle Preparation

All pieces must be prepared in bundles (except under [25.5](#)) and meet the following requirements:

- a. Pieces that meet the standards in [301.3.0](#) must be prepared in separate bundles from pieces that meet the standards in [26.0](#).
- b. Firm bundles must not be included in Periodicals mailings prepared under [25.0](#).
- c. Each bundle of pieces prepared under [301.3.0](#) and each bundle of pieces prepared under [26.0](#) must separately meet the bundle minimums in [25.4](#).



- d. Bundles may contain fewer than six pieces when the mailpieces are too thick or too heavy to create a six-piece bundle. Piece price eligibility is not affected if the total number of pieces bundled for a presort destination meets or exceeds the minimum for price eligibility under [14.0](#).

#### **25.1.5 Scheme Bundle Preparation**

Pieces must be prepared in 5-digit scheme bundles for those 5-digit ZIP Codes identified in [L007](#) and in 3-digit scheme bundles for those 3-digit ZIP Codes identified in [L008](#). These bundles must meet the additional standards in [18.4i](#) or [18.4q](#).

#### **25.1.6 Sack Preparation**

Mailers may combine bundles of pieces prepared under [301.3.0](#) and bundles of pieces prepared under [26.0](#) in the same sack, with the exception of 5-digit scheme sacks, which may contain only pieces prepared under [301.3.0](#).

#### **25.1.7 Exception—Barcoded and Nonbarcoded Flats on Pallets**

When the physical dimensions of the mailpieces in a Periodicals mailing meet the definition of both a letter-size piece and a machinable barcoded flat-size piece, the entire job may be prepared, merged, and palletized under [705.9.0](#) through [705.13.0](#). The following standards apply:

- a. The nonbarcoded portion is paid at the nonbarcoded prices.
- b. Mailing jobs prepared entirely in sacks and claiming this exception must be cobundled under [705.11.0](#).
- c. As an alternative to [705.9.0](#) through [705.13.0](#), if a portion of the job is prepared as palletized barcoded flats, the nonbarcoded portion may be prepared as palletized flats and paid at nonbarcoded machinable and carrier route prices. The nonbarcoded price pieces that cannot be placed on ADC or finer pallets may be prepared as flats in sacks and paid at the nonbarcoded prices.

#### **25.1.8 Bundles With Fewer Than Six Pieces**

5-digit scheme, 5-digit, 3-digit scheme, and 3-digit bundles may contain fewer than six pieces when the publisher determines that such preparation improves service. Pieces in these low-volume bundles must be claimed at the applicable mixed ADC price (Outside-County) or basic price (In-County). These low-volume bundles are permitted only when they are sacked or prepared on pallets under these conditions:

- a. Place 5-digit and 3-digit bundles in only 5-digit scheme, 5-digit, 3-digit, and SCF sacks, as appropriate, that contain at least 24 pieces, or in merged 3-digit sacks that contain at least one six-piece carrier route bundle, or in origin/entry SCF sacks.
- b. Place 5-digit and 3-digit bundles on only merged 5-digit scheme, 5-digit scheme, merged 5-digit, 5-digit, 3-digit, and SCF pallets, as appropriate.
- c. Place 5-digit scheme and 3-digit scheme bundles in only 5-digit scheme, 3-digit, and SCF sacks, as appropriate, that contain at least 24 pieces, or in merged 3-digit sacks that contain at least one six-piece carrier route bundle, or in origin/entry SCF sacks.



707.25.1.9

- d. Place 5-digit scheme and 3-digit scheme bundles on only 3-digit and SCF pallets, as appropriate.

### **25.1.9 Cotraying, Cosacking, and Cobundling With Nonbarcoded and Carrier Route Price Mail**

The following standards apply (except as provided in [25.1.7](#)):

- a. If the mailing job contains a carrier route mailing and a machinable barcoded and nonbarcoded price mailing, then it must be prepared under one of the following options:
  1. The carrier route mailing must be prepared under [13.0](#) and [23.0](#) and the machinable barcoded and nonbarcoded mailings must be prepared under [705.9.0](#).
  2. All three mailings in the mailing job must be prepared under [705.10.0](#).
- b. If the mailing job contains an a machinable barcoded and nonbarcoded price mailing, then it must be prepared under the cosacking standards in [705.9.0](#). Machinable barcoded and nonbarcoded price pieces may be cobundled under the standards in [705.11.0](#), *Combining Automation Price and Nonautomation Price Flats in Bundles*.
- c. If the mailing job contains a carrier route mailing and a machinable barcoded price mailing, then it must be separately sacked under [23.0](#) and [25.0](#) or prepared using the merged sack option under [705.10.0](#).

### **25.1.10 Merged Containerization With Nonbarcoded and Carrier Route Flats**

When the standards in [705.10.0](#), [705.12.0](#), or [705.13.0](#) are met, 5-digit bundles of machinable barcoded, machinable nonbarcoded, and carrier route price mail that are part of the same mailing job may be combined on merged 5-digit scheme sacks or pallets and merged 5-digit sacks or pallets. Bundles that are cosacked or copalletized must be part of the same mailing job and mail class. Machinable barcoded price pieces may be cobundled with machinable nonbarcoded price pieces under [705.11.0](#).

## **25.2 Physical Standards**

Each flat-size piece must meet the standards in [301.3.0](#) or, for barcoded pieces in 5-digit bundles, the standards in [26.0](#).

## **25.3 Bundling and Labeling**

Preparation sequence, bundle size, and labeling:

- a. 5-digit scheme (required for pieces meeting the criteria in [301.3.0](#)); six-piece minimum (fewer pieces permitted under [25.1.4](#)); red Label 5 SCH or OEL.
- b. 5-digit (required); six-piece minimum (fewer permitted under [25.1.8](#)); red Label 5 or OEL.
- c. 3-digit scheme (required for pieces meeting the criteria in [301.3.0](#)); six-piece minimum (fewer pieces permitted under [25.1.4](#)); green Label 3 SCH or OEL.
- d. 3-digit (required); six-piece minimum (fewer permitted under [25.1.8](#)); green Label 3 or OEL.



- e. ADC (required); six-piece minimum (fewer permitted under [25.1.8](#)); pink Label A or OEL.
- f. Origin mixed ADC (required); no minimum; for any remaining pieces for destinations in [L201](#), Column B, corresponding to the origin ZIP Code in Column A; tan label X or OEL.
- g. Mixed ADC (required); no minimum; tan Label X or OEL.

#### 25.4 Sacking and Labeling

[1-27-13] For mailing jobs that also contain a machinable nonbarcoded price mailing, see [25.1.9](#) and [705.9.0](#). Other mailing jobs are prepared, sacked, and labeled as follows:

- a. 5-digit scheme, required at 72 pieces, optional at 24 pieces; fewer pieces not permitted, may contain 5-digit scheme bundles only; labeling:
  - 1. Line 1: [L007](#), Column B.
  - 2. Line 2: "PER FLTS 5D SCH BC" or "NEWS FLTS 5D SCH BC," as applicable.
- b. 5-digit, required at 72 pieces, optional at 24 pieces; fewer pieces not permitted; labeling:
  - 1. Line 1: city, state, and 5-digit ZIP Code on mail (see [708.6.2.2](#) for overseas military mail).
  - 2. Line 2: "PER FLTS 5D BC" or "NEWS FLTS 5D BC," as applicable.
- c. 3-digit, required at 72 pieces, optional at 24 pieces; fewer pieces not permitted; labeling:
  - 1. Line 1: [L002](#), Column A.
  - 2. Line 2: "PER FLTS 3D BC" or "NEWS FLTS 3D BC," as applicable.
- d. SCF, required at 72 pieces, optional at 24 pieces; fewer pieces not permitted; labeling:
  - 1. Line 1: [L002](#), Column C.
  - 2. Line 2: "PER FLTS SCF BC" or "NEWS FLTS SCF BC," as applicable.
- e. Origin SCF (required) and entry SCF(s) (optional), no minimum; labeling:
  - 1. Line 1: [L002](#), Column C.
  - 2. Line 2: "PER FLTS SCF BC" or "NEWS FLTS SCF BC," as applicable.
- f. ADC, required at 72 pieces, optional at 24 pieces; fewer pieces not permitted; labeling:
  - 1. Line 1: [L004](#), Column B.
  - 2. Line 2: "PER FLTS ADC BC" or "NEWS FLTS ADC BC," as applicable.
- g. Origin mixed ADC, required, no minimum; for any remaining pieces for destinations in [L201](#), Column B, corresponding to the origin ZIP Code in Column A; labeling:
  - 1. Line 1: Use [L201](#), Column C.



707.25.5

2. Line 2: “PER FLTS WKG W FCM” or “NEWS FLTS WKG W FCM,” as applicable.
- h. *Mixed ADC* (required), no minimum; labeling:
  1. Line 1: Use [L009](#), Column B.
  2. Line 2: “PER FLTS BC WKG” or “NEWS FLTS BC WKG,” as applicable.

### 25.5 Optional Tray Preparation — Flat-Size Barcoded Pieces

**[1-27-13]** As an option, mailers may place in flats trays (see 20.4) machinable pieces prepared under [301.3.0](#) that would normally be placed in sacks. Mailers must either bundle or group together all pieces for each 5-digit scheme, 5-digit, 3-digit scheme, 3-digit, SCF, and ADC destination. Bundling is not permitted unless it achieves a finer presort than the presort destination of the tray. The trays are subject to a container charge, and any bundles are subject to a bundle charge. Tray preparation, sequence, and labeling:

- a. *5-digit scheme* (required), 72-piece minimum, optional at 24 pieces, fewer pieces not permitted; labeling:
  1. Line 1: [L007](#), Column B.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “5D SCH BC.”
- b. *5-digit* (required), 72-piece minimum, optional at 24 pieces, fewer pieces not permitted; labeling:
  1. Line 1: city, state, and 5-digit ZIP Code on mail (see [708.6.2.2](#) for overseas military mail).
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “5D BC.”
- c. *3-digit* (required), 72-piece minimum, optional at 24 pieces, fewer pieces not permitted; labeling:
  1. Line 1: [L002](#), Column A.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “3D BC.”
- d. *SCF* (required), 72-piece minimum, optional at 24 pieces, fewer pieces not permitted; labeling:
  1. Line 1: [L002](#), Column C.
  2. Line 2: “PER” “NEWS” as applicable; followed by “FLTS”; followed by “SCF BC.”
- e. *Origin SCF* (required) and *entry SCF(s)* (optional), no minimum, labeling:
  1. Line 1: [L002](#), Column C.
  2. Line 2: “PER” “NEWS” as applicable; followed by “FLTS”; followed by “SCF BC.”
- f. *ADC* (required), 72-piece minimum, optional at 24 pieces, fewer pieces not permitted, no overflow tray allowed; labeling:



1. Line 1: use [L004](#), Column B.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “ADC”; followed by “BC.”
- g. *Origin mixed ADC* (required), no minimum, for any remaining pieces for destinations in [L201](#), Column B, of the origin ZIP Code in Column A, labeling:
1. Line 1: Use [L201](#), Column C.
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “WKG W FCM.”
- h. *Mixed ADC* (required), no minimum, labeling:
1. Line 1: Use [L009](#).
  2. Line 2: “PER” or “NEWS” as applicable; followed by “FLTS”; followed by “BC WKG.”

## 26.0 Physical Criteria for Nonmachinable Flat-Size Periodicals

### 26.1 General

Prepare barcoded flat-size pieces according to [25.0](#) above. Pieces may meet the physical criteria in [26.0](#) or in [301.3.0](#), but mailers cannot combine these two types of flat-size pieces in the same bundle.

### 26.2 Weight and Size

The maximum weight for each piece is 4.4 pounds. The following minimum and maximum dimensions apply (determine length and height according to [301.1.2](#)):

- a. Minimum height is 5 inches. Maximum height is 12 inches.
- b. Minimum length is 6 inches. Maximum length is 15 inches.
- c. Minimum thickness is 0.009 inch. Maximum thickness is 1.25 inches.

### 26.3 Flexibility and Deflection

Pieces prepared under [26.0](#) are not subject to the standards for flexibility in [301.1.3](#) or the standards for deflection in [301.1.6](#), except pieces claiming machinable 5-digit prices under 2.1. Nonmachinable flats in 5-digit bundles claiming 5-digit machinable flats prices must meet the deflection standards in [301.1.0](#).

### 26.4 Additional Criteria

Pieces must meet the standards for polywrap coverings in [301.1.5](#); protrusions and staples in [301.3.3](#); tabs, wafer seals, tape, and glue in [301.3.4](#); and uniform thickness and exterior format in [301.3.5](#).



707.27.1

## 27.0 Combining Multiple Editions or Publications

### 27.1 Description

Mailers may prepare Periodicals publications as a combined mailing by merging copies or bundles of copies to achieve the finest presort level possible or to reduce the total Outside-County postage. Each publication in a combined mailing must be authorized (or pending authorization) to mail at Periodicals prices. Mailers may use the following methods:

- a. Mailers may comail individually addressed copies of different editions of a Periodicals publication (one title) or individually addressed copies of different Periodicals publications (more than one title) to obtain finer presort levels.
- b. Mailers may place two or more copies of different Periodicals publications (more than one title), and/or multiple editions of the same publication in the same mailing wrapper or firm bundle and present it as one addressed piece to a single addressee to reduce the per piece charge.
- c. Mailers may copalletize separately presorted bundles of different Periodicals titles and editions to achieve minimum pallet weights (see [705.8.7.3](#)). Mailers do not have to achieve the finest pallet presort level possible.

### 27.2 Authorization

#### 27.2.1 Basic Standards

Each mailer must be authorized to comail or copalletize mailings under [27.1a](#) and [27.1c](#) by Business Mailer Support (see [608.8.1](#) for address). Requests for authorization must show:

- a. The mailer's name and address.
- b. The mailing office.
- c. Procedures and quality control measures for the combined mailing.
- d. The expected date of the first mailing.
- e. A sample of the standardized documentation.

#### 27.2.2 Denial

If the application is denied, the mailer or consolidator may reapply at a later date, or submit additional information needed to support the request.

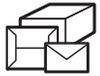
#### 27.2.3 Termination

An authorization will remain in effect until such time as the Business Mailer Support manager or the mailer cancels the authorization. If Postal Service periodic reviews or mailer supplied information demonstrates a need for modification, the authorization will be modified with concurrence by the Business Mailer Support manager and the mailer.

### 27.3 Minimum Volume

The following minimum volume standards apply:

- a. For comailings prepared under [27.1a](#), multiple publications or editions are combined to meet the required minimum volume per bundle, sack, or tray for the price claimed.



- b. For combined mailings prepared under 27.1b, the minimum volume requirements in 22.0, 23.0, or 25.0 apply for the price claimed.
- c. For copalletized mailings prepared under 27.1c, the minimum volume requirements for pallets in 705.8.5.3 apply for the price claimed.

**27.4 Labeling**

Mailers must label all containers in a combined mailing as either “NEWS” (see 21.1.3) or “PER” as follows:

- a. If at least 51% of the total number of copies in the combined mailing can qualify for “NEWS” treatment then all containers in the mailing are labeled “NEWS,” unless the mailer chooses to use “PER.”
- b. If less than 51% of the total number of copies in a combined mailing can qualify for “NEWS” treatment then all containers in the mailing are labeled “PER.”

**27.5 Documentation**

Each mailing must be accompanied by documentation meeting the standards in 17.0, as well as any additional mailing information requested by the USPS to support the postage claimed (such as advertising percentage and weight per copy). The following additional standards apply:

- a. Presort documentation required under 708.1.0 must show the total number of addressed pieces and total number of copies for each publication and each edition in the combined mailing claimed at the carrier route, 5-digit, 3-digit, ADC, and mixed ADC prices. The mailer also must provide a list, by 3-digit ZIP Code prefix, of the number of addressed pieces for each publication and each edition claimed at any destination entry discount.
- b. Copalletized mailing documentation must consolidate and identify each title and version (or edition) in the mailing. Mailers may use codes in the summary heading to represent each title and version (or edition) presorted together on pallets. The documentation must include presort and pallet reports showing by title and version (or edition) how the bundles are presorted and where they will be entered.
- c. Unless excepted by Business Mailer Support (BMS), mailers combining Periodicals publications under 27.1a must transmit postage statements and mailing documentation to the USPS using a BMS-approved electronic method.
- d. Mailers combining Periodicals publications under 27.1c must transmit postage statements and mailing documentation to the USPS using a BMS-approved electronic method.

**27.6 Additional Standards**

Mailers combining Periodicals publications under 27.1a or 27.1c must:

- a. Use Intelligent Mail tray labels on trays and sacks and Intelligent Mail container placards, under 708.6.5 and 708.6.6, on pallets or similar containers.
- b. When using a consolidator, prepare a separate postage statement for the portion of the mailing accepted at the origin site and another statement for that portion directed to a consolidator.
- c. When using a consolidator under 27.1c, pay postage at the consolidator's site.



707.27.7

- d. If consolidating multiple mailings on pallets, update the electronic data for each of the original mailings. This updated data must be reflected in the electronic data transmitted to the USPS.
- e. Meet postage payment requirements as specified by Business Mailer Support.

### **27.7 Postage Statements**

Mailers must prepare postage statements for a combined mailing as follows:

- a. Copy weight and advertising percentage determine whether separate postage statements are required for editions of the same publication:
  - 1. If the copy weight and advertising percentage for all editions of a publication are the same, mailers may report all the editions on the same postage statement or each edition on a separate postage statement.
  - 2. If the copy weight or the advertising percentage is different for each edition of a publication, mailers must report each edition on a separate postage statement.
- b. For a combined mailing prepared under [27.1a](#), mailers must prepare a separate postage statement that claims all applicable per piece, per pound, and bundle and container charges (if apportioned) for each publication or edition. The mailer must annotate on, or attach to, each postage statement, the title and issue date of each publication or edition and indicate that the pieces were prepared as part of a combined mailing under [27.1a](#).
- c. For mailings under [27.1b](#), mailers must prepare a separate postage statement claiming the applicable per pound charges for each publication or edition in the combined mailing except as provided in [27.2.5a](#). The mailer must annotate on, or attach to, each postage statement, the title and issue date of each publication or edition and indicate that the copies were prepared as part of a combined mailing under [27.1b](#). The per piece charges must be claimed as follows:
  - 1. If *all* copies in the combined mailing are eligible for the Classroom or Nonprofit discount, or if *all* copies are not eligible for the Classroom or Nonprofit discount, mailers may claim the per piece charges only on the postage statement for the publication that contains the highest amount of advertising.
  - 2. If a portion of the copies in the combined mailing are eligible for the Classroom or Nonprofit discount and a portion are not eligible, mailers may claim the per piece charges only on the postage statement for the publication that contains the highest amount of advertising and is not eligible for the Classroom or Nonprofit discount. The Classroom or Nonprofit per piece discount must not be claimed.
- d. For copalletized mailings under [27.1c](#), mailers must prepare a separate postage statement for each publication in the mailing. One consolidated postage statement and a register of mailings for each publication must accompany mailings consisting of different editions or versions of the same publication.

**27.8 Postage Payment**

Each mailing must meet the postage payment standards in 16.0. For copalletized mailings under 27.1c, mailers must pay postage at the Post Office serving the facility where consolidation takes place, except that postage for publications authorized under the Centralized Postage Payment (CPP) system may be paid to the Pricing and Classification Service Center (see 608.8.4.1 for address).

**27.9 Deposit of Mail**

Each publication in a combined mailing must be authorized (or pending authorization) for original entry or additional entry at the Post Office where the mailing is entered. For copalletized mailings under 27.1c, mailers must enter each mailing at the Post Office serving the facility where consolidation takes place.

**28.0 Enter and Deposit****28.1 Service Objectives**

The USPS does not guarantee the delivery of Periodicals within a specified time. Publications authorized or pending authorization for Periodicals entry receive, where practicable, expeditious distribution, dispatch, transit handling, and delivery. Publications labeled “NEWS” receive newspaper treatment if published weekly or more often or if authorized such treatment as of March 1, 1984.

**28.2 Basic Standards**

[1-27-13] Only a publisher or registered news agent authorized Periodicals mailing privileges may mail at the Periodicals prices. The First-Class Mail, Standard Mail, Parcel Select, Standard Post, or Package Services price must be paid on all copies mailed by the public or by a printer to a publisher. The publisher or news agent must present mailings at the Periodicals prices only:

- a. At Post Offices where original entry, additional entry, or exceptional dispatch is authorized.
- b. At the times and places designated by the postmaster of the office of mailing or by the AMC/AMF manager.

**28.3 Exceptional Dispatch****28.3.1 General**

The postmaster of an entry Post Office may authorize a publisher to deliver copies of a time-sensitive Periodicals publication, at the publisher's own expense and risk, by exceptional dispatch from the Post Office of original or additional entry to other Post Offices.

**28.3.2 Intended Use**

The provision for exceptional dispatch is intended for short-haul local distribution (zones 1 and 2) of publications with total circulation of no more than 25,000 and is not to be used to circumvent additional entry standards. Applications for exceptional dispatch for publications with over 25,000 total circulation may be considered on a case-by-case basis for possible waiver of the 25,000-circulation limit.



#### **28.3.3 Prohibited Use**

Exceptional dispatch may not be used for publications authorized to be mailed under the Centralized Postage Payment System or under the plant-verified drop shipment postage payment system.

#### **28.3.4 Destination Prices**

Copies of Periodicals publications deposited under exceptional dispatch may be eligible for and claimed at the destination sectional center facility (DSCF) or destination delivery unit (DDU) prices if the applicable standards in [29.0](#) are met.

#### **28.3.5 Applying for Exceptional Dispatch**

The publisher must file an application for exceptional dispatch at the office of original or additional entry where the postage is paid on the copies to be transported. The application must fully explain the proposed exceptional dispatch and include information on the mode of transportation and approximate time of arrival and the number of pieces qualifying for and mailed at the various presort level discount prices. If the number of pieces qualifying for and mailed at such prices changes more than 2%, the publisher must file an amended application with the approving office. The application may be filed jointly with applications for original entry, reentry, or special prices, or filed separately. No form is provided for this application.

#### **28.3.6 Decision on Application**

The postmaster who received the application approves it if the requested exceptional dispatch improves service and does not add to USPS costs.

#### **28.3.7 Appeal**

Denial of an application for exceptional dispatch may be appealed to the PCSC. The PCSC manager issues the final decision.

### **28.4 Deposit at AMC/AMF**

#### **28.4.1 General**

Periodicals publications air freighted to an AMC/AMF must have either an original or additional entry authorization at the verifying office (i.e., the Post Office where those copies are presented for postal verification) and must be presented to an AMC/AMF under the PVDS program. Postage must be paid at the verifying office unless the publication is authorized under the Centralized Postage Payment program.

#### **28.4.2 Applying for AMF Deposit**

Authorization to enter airfreighted copies of a Periodicals publication at an AMF is obtained by filing an additional entry application. The distribution plan on Form 3510 must show the AMF as the entry point rather than the administering office (i.e., the Post Office responsible for the AMF). A publisher using plant-verified drop shipment must submit a copy of the distribution plan for the airfreighted copies to the administering office postmaster.

#### **28.4.3 Fee**

The required additional entry fee is not due if:

- a. Form 3510 is submitted with either an initial application for Periodicals mailing privileges or an application for reentry at a new original entry office, and the AMF is under the jurisdiction of that original entry office.
- b. The verifying office is already an authorized original or additional entry for the publication.



#### 28.4.4 Publisher Responsibilities

For each mailing to be presented at the AMF, the publisher or agent is required:

- a. To provide the administering office postmaster with a delivery schedule (including the publication title, volume, air carrier, flight number, and arrival schedule) before the first mailing under the authorization. A revised schedule must be provided when there are changes.
- b. To arrange for delivery of the airfreighted copies of the publication to the AMC/AMF “back dock” or other area designated by the AMC/AMF manager.
- c. To arrange for payment of postage and submission of required postage statements and documentation with the copies of the publication to the verifying Post Office.
- d. To notify the designated AMF contact person when there are deviations from established transportation and delivery schedules.
- e. To ensure that Form 8125 accompanies each shipment. The total number of airline cargo containers must be annotated on the form in the comment section. Each airline cargo container must be sequentially numbered (for example, “1 of 4,” “2 of 4,” and so on), and a copy of Form 8125 must be affixed to each airline cargo container.
- f. To provide a list, at least once a year, of publications entered at the AMC/AMF and a 24-hour contact number to the AMC/AMF manager.
- g. To follow procedures outlined in Network Operation Management’s standard operating procedures (SOP). The procedures are available from the AMC/AMF manager.

#### 28.4.5 Nonconforming Mailers

When a Periodicals mailing presented to an AMC/AMF fails to meet the procedures outlined in Network Operation Management’s SOP or fails to meet either the basic mail preparation standards or the PVDS entry integrity and safety standards, the USPS will inform the mailer, or the mailer’s agent, who presented the mailing. If, after the initial notification, the mailer continues to fail to meet the standards, the mailer is considered nonconforming. If a mailer is found to be nonconforming, its authorization to enter mail at an AMC/AMF may be revoked.

## 29.0 Destination Entry

### 29.1 Basic Standards

#### 29.1.1 Price Application

Mailings of Periodicals that are transported to destination facilities, or that are expedited directly to destination facilities via Priority Mail Express Open and Distribute or Priority Mail Open and Distribute (705.18.0), may qualify for specific destination entry prices under 29.2 through 29.5. The following standards apply:

- a. An individual bundle, tray, sack, or pallet may contain pieces claimed at different destination entry pound prices.



707.29.1.2

- b. In-County carrier route price addressed pieces may qualify for the DDU discount under [29.5](#).
- c. The advertising and nonadvertising portions may be eligible for DADC, DSCF, or DDU pound prices based on the entry facility and the address on the piece.

### **29.1.2 Documentation**

Subject to [708.1.0](#), the mailer must be able to show compliance with eligibility requirements (by bundle, tray, sack, or pallet), and list the number of addressed pieces by presort level for each 5-digit and 3-digit ZIP Code destination as appropriate for the prices and discounts claimed. Documentation is not required if each addressed piece in the mailing is of identical weight and pieces are separated by zone, price, and destination entry (if applicable) when presented for mailing.

## **29.2 Destination Network Distribution Center**

### **29.2.1 Definition**

For this standard, destination network distribution center (DNDC) includes the facilities and ZIP Code ranges as noted in [L601](#) and [L602](#), or a USPS-designated facility.

### **29.2.2 Price Eligibility**

DNDC container prices apply as follows:

- a. Pieces must be prepared in bundles on ADC or more finely presorted pallets or in sacks or trays on ADC or more finely presorted pallets under [705.8.0](#).
- b. Mailers may claim a DNDC container price if the facility ZIP Code (as shown on Line 1 of the corresponding container label) is within the service area of the NDC or ASF at which the container is deposited, as noted in [L601](#) and [L602](#).

## **29.3 Destination Area Distribution Center**

### **29.3.1 Definition**

For this standard, destination area distribution center (DADC) includes the facilities listed in [L004](#), or a USPS-designated facility.

### **29.3.2 Price Eligibility**

Determine price eligibility as follows:

- a. Pound Prices. Outside-County pieces are eligible for DADC pound prices when placed on an ADC or more finely presorted container, deposited at an ADC (or USPS-designated facility), and addressed for delivery to one of the 3-digit ZIP Codes served by the facility where deposited. Automation pieces in AADC trays placed on optional SCF pallets under [705.8.10.2](#) are eligible for DADC prices when the 3-digit ZIP Code on the tray label is within that SCF's service area according to [L005](#).
- b. Container Prices. Mailers may claim a DADC container price for ADC and more finely presorted containers that are entered at and destined within the service area of the ADC at which the container is deposited.



## 29.4 Destination Sectional Center Facility

### 29.4.1 Definition

For this standard, destination sectional center facility (DSCF) includes the facilities listed in [L005](#), or a USPS-designated facility.

### 29.4.2 Price Eligibility

[4-1-13] Determine price eligibility as follows:

- a. Pound Prices. Outside-County pieces are eligible for DSCF pound prices when placed on an SCF or more finely presorted container, deposited at the DSCF or USPS-designated facility (see also [29.4.2b](#)), and addressed for delivery within the DSCF's service area. Nonletter-size pieces are also eligible when the mailer deposits 5-digit bundles at the destination delivery unit (DDU) (the facility where the carrier cases mail for delivery to the addresses on the pieces) and the 5-digit bundles are in or on the following types of containers:
  1. A merged 5-digit scheme or merged 5-digit sack.
  2. A merged 5-digit scheme, merged 5-digit, or 5-digit scheme pallet.
- b. Container Prices. Mailers may claim the DSCF container price for SCF and more finely presorted containers that are entered at and destined within the service area of the SCF at which the container is deposited. The following also applies:
  1. Mailers may claim the DSCF container price for a FSS sort plan container deposited at the USPS-designated FSS processing facility responsible for processing that container.
  2. Mailers may claim the DSCF container price for a sack or pallet deposited at a USPS-designated FSS processing facility labeled to a 5-digit ZIP Code processed by that facility in accordance with labeling list [L006](#). All pieces must include a full delivery address, an IMb and meet the physical standards for FSS-machinability provided in DMM [705.14.0](#).

## 29.5 Destination Delivery Unit

### 29.5.1 Definition

For this standard, the destination delivery unit (DDU) is the facility where the carrier cases mail for delivery to the addresses on the pieces in the mailing.

### 29.5.2 Price Eligibility

Determine price eligibility as follows:

- a. Piece Prices. In-County pieces are eligible for a per piece discount off the addressed piece price.
- b. Pound Prices. Carrier route pieces (In-County and Outside-County) are eligible for DDU pound prices when deposited at the facility where the carrier serving the delivery address on the mail is located.
- c. Container Prices. Outside-County mailers may claim a DDU container price for 5-digit scheme and more finely presorted containers that are entered at and destined within the service area of the DDU at which the container is deposited.



#### **29.5.3 Maximum Volume**

The same mailer may not present for deposit more than four DDU price mailings at the same delivery unit (or another acting as its agent) in any 24-hour period. This limit may be waived if local conditions permit. A mailer may ask for such a waiver when scheduling deposit of the mailings. There is no maximum for plant-verified drop shipments made under [705.17.0](#). This standard does not apply to mailings presented to the publication's authorized original entry, or additional entry, serving the place where the pieces were prepared for mailing, if that entry Post Office is also the facility at which the DDU price pieces must be deposited.

#### **29.5.4 Deposit Schedule**

The mailer may schedule deposit of DDU price mailings at least 24 hours in advance by contacting the district office in whose service area the destination facility is located. The mailer must follow the scheduled deposit time provided. The mailer may request standing appointments for renewable 6-month periods by written application to the district office in whose service area the destination facility is located. Mixed loads of Periodicals and Standard Mail or Package Services mail require advance appointments for deposit. For mail entered under exceptional dispatch, the application for exceptional dispatch required under [28.3](#) also serves as a request for standing appointments.

#### **29.5.5 Vehicle Unloading**

At delivery units, drivers must unload all mail within 1 hour of arrival. Unloading procedures are as follows:

- a. If pallets or pallet boxes are stacked, drivers must unload, unstrap, and unstack them.
- b. When drivers unload containerized mail, delivery unit employees may require drivers to place the containers together by 5-digit ZIP Codes or 5-digit schemes.
- c. When mail is not containerized or on pallets, drivers must place the mail into containers as delivery unit employees specify. Delivery unit employees may require drivers to place mail into containers to separate mail by 5-digit ZIP Codes or 5-digit schemes.
- d. At facilities that cannot handle pallets, drivers must unload any palletized mail from the pallets and place the mail into containers as delivery unit employees specify.
- e. For scheduled arrivals at DDUs when USPS employees are not present, drivers must place the mail in a secure location protected from the weather.

## 30.0 Additional Mailing Offices

### 30.1 Basic Standards

#### **30.1.1 Facility**

[\[1-27-13\]](#) The additional mailing office must be a Post Office.



**30.1.2 Definition**

[1-27-13] Except for publications authorized an alternative payment method, the verification Post Office is also the office where Periodicals postage is paid.

**30.1.3 Postage**

[1-27-13] Postage must be prepaid or available for all copies presented for verification at an additional mailing office before the mail can be released.

**30.2 Additional Standards**

[1-27-13] Approved Periodicals publications may be mailed at any additional mailing office that is linked to PostalOne!. Publishers who wish to present Periodicals for verification at additional mailing offices without access to PostalOne! must file a PS Form 3510-M application indicating that mailings will be presented at these offices. Publishers of publications pending approval must submit PS Form 3510-M applications with their original entry application for all mailing offices where mail will be submitted during the pending period.

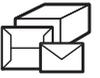


# 707

## Periodicals: Additional Entry

---

707.30.2





# 707

## Periodicals: Additional Entry

---

707.30.2